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# **Grand Fortune Securities Co., Ltd**

## **2024 Annual Report**

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## Chapter One: Letter to shareholders

Ladies and gentlemen, shareholders:

The Company has consistently operated by adhering to laws and regulations, following the policies of regulatory authorities, adapting to domestic and international political and economic situations and changes in the capital market environment, while continuously enhancing its competitive strength to maximize shareholder's rights. At the same time, upholding the concept of sustainable business operations, we continue to focus on securities business with investment banking characteristics. We are constantly strengthening the operational team's constitution, enhancing corporate governance, and implementing ESG business concepts. Our vision includes caring for employee well-being and fulfilling corporate social responsibilities. Below is an explanation of The Company's current business policy, performance, and future development plans.

### First. Business Policy

In 2024, the global economy is experiencing asymmetric growth. In Europe and emerging markets, the risk of economic recession outweighs the economic problems caused by inflation. Japan's economic structure is improving amid changes in global political and economic conditions, gradually emerging from a 30-year economic slump, with the Nikkei index reaching an all-time high in the middle of the year. Conversely, China's economy is constrained by the backlash against globalization, the competitive stance between the US and China, and structural economic deficiencies. With foreign capital withdrawal and the disappearance of the middle class, economic growth has lost momentum. Although the government has vigorously intervened in the market with unprecedented monetary policy, supplemented by fiscal policy subsidies, this has only lifted the stock market index performance, with limited improvements in the economic fundamentals. The US and Taiwan benefited from the development of emerging AI applications, with stock markets continually reaching new highs driven by tech stocks. Taiwan's stock market entered a bull run, spurred by leaders in AI high-speed computing and end applications such as NVIDIA, Amazon, Google, Microsoft, and OpenAI. In 2024, from January onwards, the Taiwan Weighted Index rose steadily under the leadership of Taiwan Semiconductor Manufacturing Company (TSMC), reaching the highest point of the year at 24,416.67 points in July, before closing at 23,035.1 points at the end of the year, with an overall increase of 5,104.29 points, marking a growth rate of 28.47%. The TPEX stock market performed relatively weakly, with the TPEX Exchange Capitalization Weighted Stock Index (TPEX) increasing by only 21.83 points for the year, a rise of 9.33%.

In 2024, considering geopolitical risks, foreign and Chinese investors had a total net sell of NT\$50.6 billion in Taiwan stocks for the year. However, due to the Taiwanese government's previous initiatives, such as the "Action Plan for Welcoming Taiwanese Businesses Back for Investment" and the "Action Plan for Accelerated Investment by Enterprises Rooted in Taiwan," external funds continued to flow back. Combined with the momentum from funds moving from fixed deposits into high-dividend ETFs, despite the impact of geopolitical risks, a capital-driven market persisted.

Grand Fortune Securities Co., Ltd. persists in developing professional securities services with investment banking characteristics, adhering to the business philosophy of "professionalism, responsibility, and enthusiasm," and remains unaffected by fluctuations in the broader environment. The underwriting, stock agency, brokerage, and asset management businesses have all shown significant growth. The various business performances are described as follows: (1) The Underwriting Department has continued stable development, with a total of 5 IPO submissions, 22 SPO submissions, 3 companies listed on the Emerging Stock Market, and 13 financial advisory cases throughout the year. Additionally, it received the "Partnership Award - Securities Underwriter" first place and the

"Innovation Promotion Award" from the Stock Exchange for the year. (2) The stock registrar business maintained steady growth, reaching 331 clients by the end of 2024, with a net increase of 19 clients throughout the year. (3) The annual trading volume, financing balance, and profit performance of the brokerage business all reached new record highs.

The Directorate-General of Budget, Accounting and Statistics revised the 2024 GDP annual growth to 4.3%, marking a three-year high. However, the International Monetary Fund (IMF) projected a global GDP annual growth rate of 3.2% in January 2025, maintaining the level of 2024. At the beginning of the year, with Trump assuming the presidency of the United States, global political and economic uncertainties increased. The Directorate-General of Budget, Accounting and Statistics estimated Taiwan's GDP annual growth rate for this year to be only 3.14%, a significant decline compared to last year. This is mainly due to the high base level set by last year and the impact of some government budgets being cut or frozen, which will affect government consumption and fixed investment. Based on the international situation and estimated GDP data, 2025 is still expected to be a challenging year for investment. However, Grand Fortune Securities Co. Ltd., continues to root itself in underwriting, stock agency, mergers and acquisitions, and asset management, strengthening its foundation and still possesses considerable development potential in the future.

The company was established in September 1989, and it has been more than 35 years so far. In November 2009, the board of directors was reorganized, and Huang Hsien-Hua was elected as the chairman, leading Grand Fortune Securities Co., Ltd to set out in a new way and positioned to assist medium-sized and small-sized enterprises to enter the capital market. As an investment bank, in the red sea of the traditional securities market with fierce competition, it has given full play to the flexible business strategies and professional services of small and medium-sized securities companies, and dexterously used the characteristics of "small, refined, and smart," and has grown vigorously step by step. In November 2016 and July 2022, Lin, Huo-Teng and Huang, Ping-Chun, both former general managers of the Taiwan Stock Exchange and the Taiwan Futures Exchange, respectively, were invited to join the management team, further implementing the development layout strategy with deep cultivation in the capital market and investment banking features. In terms of fostering employee cohesion, in addition to guiding and mentoring employees through an apprenticeship system, various professional education and training programs are planned, and activities such as employee travel, birthday celebrations, and year-end parties are regularly organized.

Grand Fortune Securities Co. has also actively cooperated with policies in recent years, vigorously promoting the implementation of sustainable development and the fair treatment of customers. In promoting sustainable development, the Company, in its role as a professional investment banking securities firm, advances sustainable financial development by assisting quality enterprises in applying for listing, fundraising, restructuring, and mergers and acquisitions. It also invites corporate clients to participate in ESG seminars and collaborates to focus on sustainable development issues. The Company also collaborates with social welfare organizations to organize inclusive finance activities, support the cultural and creative industries, and carry out charitable activities such as donations of money and materials, in order to build a coexisting and symbiotic relationship between the enterprise and society.

In terms of corporate governance performance, from the year 2018 to 2024, the Corporate Governance Evaluation was at level 2 for a total of 6 years, with the year 2020 achieving a level 1 evaluation. The Company has commissioned Fitch Ratings to conduct the company's credit rating for nine consecutive years, and in 2024, it once again obtained a long-term "A- (tw)", short-term "F1 (tw)", and a stable outlook credit rating.

In terms of the implementation of the fair treatment of customers, in 2022 broker evaluation of the fair treatment of customers, we were honored to achieve a ranking in the top 25%. In the evaluation of the fair treatment of customers for the year 2024, the measures and effectiveness of fraud prevention in financial institutions, as well as the robustness of the grievance handling mechanism, were listed as two major bonus items. To continue the excellent tradition of valuing fair treatment of customers, at the beginning of 2024, the Company assigned additional anti-fraud responsibilities to the existing "Fair Treatment of Customers Implementation and Promotion Task Force." Members of the task force also serve as members of the "Dedicated Anti-Fraud Unit," responsible for formulating anti-fraud policies and promoting anti-fraud activities. With the support of senior management and the concerted efforts of colleagues, in October 2024, The Company received the Outstanding Award in Anti-Fraud Evaluation from the Taiwan Stock Exchange.

## **Second. Business Performance**

The main business performance of the company in 2024 is as follows: 1. Sales and valuation gains on securities amounted to NT\$328,517,000; 2. Income from underwriting and equity-related procedures was NT\$405,713,000; 3. Income from financing interests and dividends amounted to NT\$159,236,000; 4. Net brokerage commission income was NT\$135,462,000; 5. Other business income totaled NT\$123,399,000. The total revenue for the year was NT\$1,152,327,000, with expenses amounting to NT\$629,769,000. Consequently, the operating profit stood at NT\$522,558,000, and the net profit from non-operating activities was NT\$31,905,000. Before tax, the net profit was NT\$554,463,000, and after tax, it amounted to NT\$437,893,000. The earnings per share after tax were NT\$1.11, and the total comprehensive income for the period was NT\$523,414,000. As of December 31, 2024, the total assets amounted to NT\$12,453,694,000, total liabilities stood at NT\$6,739,651,000, and total equity was NT\$5,714,043,000. The net worth per share was NT\$14.42, with a capital adequacy ratio of 493%.

## **Third. Future Development Plan:**

In 2024, although the Taiwan stock market benefited from the continued surge in demand for AI high-speed computing, driving significant gains in semiconductor and AI-related stocks, the Taiwan Weighted Index posted a full-year increase of 5,104.29 points, marking a growth rate of 28.47%. All employees of Grand Fortune Securities Co. Ltd. seized favorable opportunities and actively and steadily constructed a comprehensive investment banking execution platform, striving for asset management scale. Not only did they continue to maintain excellent performance in specialized areas such as underwriting and stock agency, but proprietary and brokerage businesses also made significant progress.

In 2024, although Taiwan's stock market performed well, the performance of various stock categories was overly concentrated in specific groups, and with the significantly elevated base period, future fluctuations in Taiwan's stock market are bound to be intense with alternating bullish and bearish trends, making it necessary to maintain a cautious outlook for the future market.

In response to the challenges posed by the broader environment, all employees of the company will redouble their efforts to enhance service quality and diligently execute various business operations. They will also explore new business opportunities and clients that align with the company's scale and customer attributes, in line with the company's short-, medium-, and long-term goals. The business plan includes the following development directions:

- (I) Continue to seek strategic cooperation and joint ventures with investment banks, asset management companies, and financial advisory firms from other countries.

- (II) Actively raising various specialized venture capital or private equity funds to assist companies in transformation, enhance value, and promote industry consolidation, thereby strengthening the professional capabilities of venture capital management and private equity funds.
- (III) Enhancing company digitalization includes the business and administration management department to promote operational efficiency.
- (IV) Underwriting and stock registrar services will be developed based on the principle of quality over quantity.
- (V) The brokerage business will enhance personnel recruitment and improve service attitude, and extend the reach of the business.
- (VI) Strengthening position management (including proprietary trading, underwriting, and emerging stock board) requires stability and flexibility to enhance the efficiency of capital utilization.
- (VII) The Bond Department must actively become a dealer for convertible corporate bonds and leverage spin-offs to increase benefits. This part can collaborate with the research department (investment advisory).
- (VIII) Asset management will adjust its pace, prioritizing the listing of biotech ventures on the emerging stock market. Additionally, Fortune Venture will increase capital by NT\$200 million, and the performance of private equity should also continue to improve.
- (IX) Continuously attract outstanding talent.

Although international political and economic uncertainties remain unresolved, and with Trump's unpredictable policies affecting global economic prosperity and capital market fluctuations, the only constant is figuring out how to survive in a changing environment and to live with quality. Facing a future full of opportunities and challenges, Grand Fortune Securities Co., Ltd. remains true to its original aspirations. The company continues to strive toward the goal of becoming a small (niche), expert (professional), and agile (flexible) investment bank-style brokerage. It pursues steady growth in various businesses, prioritizes customer needs, and provides the most professional securities financial services. By leveraging Taiwan's high-quality capital market and implementing corporate social responsibility under ESG policy guidelines, the company enhances corporate governance. It actively contributes to society and takes care of stakeholders, including shareholders and employees, thereby creating the highest value for the company and its shareholders. Finally, we sincerely thank all the shareholders for their long-term care, trust, and support towards GFS.

**Chairman:** Huang, Ping-Chun

**Manager:** Chen, Sung-Cheng

**Audit Manager:** Chu, Shih-Cheng

## Chapter Two: Corporate Governance Report

### I. Department responsibility

| Department                           | Responsibility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|--------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Internal Audit Department            | (I) Planning, execution, scrutiny and evaluation for company auditing.<br>(II) Check and handling of the drawbacks arising from company financial and business activities.<br>(III) Scrutiny and rectification against company extraordinary and special events.                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Risk Management Office               | (I) Establishment of risk management policy.<br>(II) Allocation of risk-bearing ceiling and risk distribution among departments.<br>(III) Ensuring the effectiveness in implementing risk management policy, and providing reports in a timely manner.<br>(IV) Oversight of the execution by business departments in face of risk-bearing ceiling and risk distribution.<br>(V) Evaluation for risk exposure and risk concentration degree faced by a securities house.<br>(VI) Evaluate risk of ESG<br>(VII) Other risk management matters.                                                                                                                                           |
| Legal Compliance Office              | (I) Setting-up of a clear and appropriate system regarding the sending, consulting, coordination and communication for regulations.<br>(II) Ensuring the updates of company operation and management to comply with relevant regulations.<br>(III) Layout of content and procedure in law compliance system, and oversight of the self-assessment by departments on a regular basis.<br>(IV) Providing appropriate training of the law compliance applied to departments.<br>(V) Scrutiny against overseas branch in compliance with local regulations.<br>(VI) Other matters required by the regulators.                                                                              |
| Administration Management Department | (I) Purchase and verification for company equipment and necessary items.<br>(II) Placement and verification of company construction projects.<br>(III) Management for environment, sanitization and safety.<br>(IV) Handling for assets protection and rent/lease activities.<br>(V) Engagement in legal issues and safeguard for company rights.<br>(VI) Assessment for business planning and business efficiency.<br>(VII) Design and execution for special projects.<br>(VIII) Collection and analysis for information about competitors and the securities market.<br>(IX) Promotion of company image.<br>(X) Arrangement for the annual shareholder meeting and director meeting. |
| Information Department               | (I) Establishment and management of a safe information system.<br>(II) Design of various information systems.<br>(III) Management and protection for computer hardware, software and files.<br>(IV) Maintenance for information network.<br>(V) Providing of information education and support to business.<br>(VI) Recycling and remedy of damaged information equipment.<br>(VII) Adjusting information systems subject to law revision by regulators and examining computer online conditions during weekends.                                                                                                                                                                      |
| Personnel Office                     | (I) Planning and adjusting corporate structure.<br>(II) Devising rules to govern personnel resources.<br>(III) Devising rules to regulate employee hiring, dismissal, promotion, performance, evaluation, rewarding, salary, insurance and bonus.<br>(IV) Devising training programs and employee participation in business trips and seminar.                                                                                                                                                                                                                                                                                                                                         |

| Department                          | Responsibility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                     | (V) Devising systems related to salary, remuneration, insurance, pension fund and personal matters.<br>(VI) Proposing budget and execution plan for personnel resources.<br>(VII) Responsible to collect, compile, review, register and manage personnel data.<br>(VIII) Receiving, dispatching and managing company documents.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Financial and Accounting Department | (I) Devising and executing company accounting system.<br>(II) Handling and filing of matters related to company accounting and taxation.<br>(III) Allocation, use and management of company capital.<br>(IV) Proposing and carrying out company budget.<br>(V) Collection and analysis of various financial data.<br>(VI) Devising rules to handle company petty cash and checks.<br>(VII) Devising rules to govern major acquisition and disposal of assets.<br>(VIII) Planning for company budget and management for subsidiary.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Proprietary Trading Department      | (I) Providing brokerage services to trade stocks and the financial derivatives that are approved by regulators.<br>(II) Mapping out trading strategy.<br>(III) Investment and management for securities.<br>(IV) Responsible for futures trading.<br>(V) Other matters approved by regulators.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| Bond Department                     | (I) Responsible to trade the bonds approved by regulators, and participate in bond repurchase activities.<br>(II) Participating in biddings for the bonds offered by the government.<br>(III) Handling settlement for bond trading.<br>(IV) Research and promotion for bond trading.<br>(V) Other matters approved by regulators.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| Stock Brokerage                     | (I) Brokerage <ol style="list-style-type: none"> <li>1. Providing brokerage services to trade stocks and the financial derivatives that are approved by regulators.</li> <li>2. Providing services for public subscription, shares auction and futures trading.</li> <li>3. Promotion of client base and business scope.</li> <li>4. Improvement for brokerage business.</li> <li>5. Providing services for stocks book-entry centrally-deposited operation and settlement.</li> <li>6. Providing services for accounts opening, settlement, liquidation and shareholders name registration.</li> <li>7. Providing services for margin trading.</li> <li>8. Solving the disputes between clients.</li> </ol> (II) Online trading business <ol style="list-style-type: none"> <li>1. Handling online trading for stocks listed at the Taiwan Stock Exchange and Taipei Stock Exchange.</li> <li>2. Oversight for online trading business.</li> <li>3. Providing information to clients, and promoting brokerage business.</li> <li>4. Design for online trading, marketing and promotion.</li> </ol> (III) Institutional investor business <ol style="list-style-type: none"> <li>1. Providing brokerage services to trade stocks and the financial derivatives that are approved by regulators.</li> <li>2. Expanding the business from institutional investors.</li> <li>3. Increasing relation with institutional investors.</li> </ol> (IV) Stock settlement <ol style="list-style-type: none"> <li>1. Handling trading settlement, cash remittance, share redemption and margin trading.</li> </ol> |

| Department                 | Responsibility                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                            | <ul style="list-style-type: none"> <li>2. Providing services for clients' openings, cancellation and alternation in their ordinary, electronics, margin and futures accounts,</li> <li>3. Correction for error trade, wrong account number and default trade.</li> <li>4. Engagement in refinancing activities with securities loan and financing company.</li> <li>5. Providing services for public subscription and shares auction.</li> <li>6. Implementation and reporting for orders to trade stocks on the Taiwan Stock Exchange, Taipei Stock Exchange, Emerging Market and futures market.</li> </ul> |
| Stock Registrar Department | <ul style="list-style-type: none"> <li>(I) Stock registrar for publicly traded companies.</li> <li>(II) Stock registrar for annual publicly traded companies.</li> <li>(III) Arrangement for shareholder meeting.</li> <li>(IV) Arrangement for the payout of dividend and distribution of rights-issue new shares.</li> <li>(V) Management for undistributed shares of publicly traded companies.</li> <li>(VI) Stock registrar development, contracting, and other matters approved by regulators.</li> </ul>                                                                                               |
| Underwriting Department    | <ul style="list-style-type: none"> <li>(I) Providing IPO services for listings on the Taiwan Stock Exchange and Taipei Stock Exchange by companies both at home and abroad.</li> <li>(II) Providing services to listed companies for fund raising programs.</li> <li>(III) Providing services for financial planning.</li> <li>(IV) Other matters approved by regulators.</li> </ul>                                                                                                                                                                                                                          |
| President Office           | <ul style="list-style-type: none"> <li>(I) Development of core business, including but not limited to underwriting, stock registrar, brokerage and asset management.</li> <li>(II) Establishment of the EMC platform.</li> <li>(III) Sustainable development and implementation of sustainable development projects such as ESG and corporate governance.</li> <li>(IV) Planning for the business project assigned by the president.</li> <li>(V) Arrangement for annual shareholder meeting and director meeting.</li> </ul>                                                                                 |

## II. Information for director and manager

### (I) Information of directors (table I.I)

March 4, 2025

| Job Title | Nationality          | Name                           | Gender<br>Age<br>(Note 3) | Date<br>elected   | Term<br>(years)                          | Date first<br>elected | Shareholding when<br>elected |              | Current<br>shareholding |              | Shares under<br>spouses and minor<br>children currently<br>held |              | Holding<br>shares in<br>someone<br>else's name |              | Major experience and academic<br>background                                                                                                                                                                                                                       | Job positions in the<br>Company and other<br>companies                                                                                                                                                                                                                                                                      | Spouse or relatives<br>within 2nd degree of<br>kinship of other<br>executives, directors |      |          | Note |
|-----------|----------------------|--------------------------------|---------------------------|-------------------|------------------------------------------|-----------------------|------------------------------|--------------|-------------------------|--------------|-----------------------------------------------------------------|--------------|------------------------------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------|----------|------|
|           |                      |                                |                           |                   |                                          |                       | Shares                       | Stake<br>(%) | Shares                  | Stake<br>(%) | Shares                                                          | Stake<br>(%) | Shares                                         | Stake<br>(%) |                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                             | Job<br>Title                                                                             | Name | Relation |      |
| Chairman  | Republic<br>of China | Huang, Ping-Chun               | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | July 1,<br>2022       | —                            | —            | 300,000                 | 0.08         | 0                                                               | 0            | 0                                              | —            | Master of Accounting and Financial<br>Management from Georgia State<br>University<br>Vice President of Taipei Exchange<br>(TPEX)<br>President of Taiwan Futures<br>Exchange                                                                                       | Legal representative:<br>Chairman of Fuyou<br>Capital Co., Ltd.<br>(Legal representative)                                                                                                                                                                                                                                   | —                                                                                        | —    | —        | —    |
| Director  | Republic<br>of China | Huang Hsien-Hua                | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | December<br>23, 2009  | 25,482,555                   | 7.08         | 30,247,243              | 7.64%        | 7,565,256                                                       | 1.91%        | 0                                              | 0.00%        | Master of Finance, National<br>Chengchi University.<br>Chairman of Grand Fortune<br>curities Co., Ltd.<br>Full-time Member of Financial<br>Supervisory Commission<br>Chairman and CEO of Beiley<br>Securities Co.<br>EVP of Taiwan International<br>Securities Co | Legal representative-<br>Chairman of Grand<br>Fortune Management<br>Corporation<br>Chairman of Beiley<br>Biofund Inc.<br>Chairman of Dayou<br>Investment Co., Ltd.<br>Chairman of Cai Ci Er<br>Asset Management Co.,<br>Ltd.<br>Director of Tatung Co.,<br>Ltd.<br>Supervisor of Taiwan<br>Incubator SME<br>Development Co. | —                                                                                        | —    | —        | —    |
| Director  | Republic<br>of China | Cai Ci Er Assets<br>management | -                         | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | July 1,<br>2021       | 456,320                      | 0.13         | 492,809                 | 0.12%        | —                                                               | —            | —                                              | —            | —                                                                                                                                                                                                                                                                 | —                                                                                                                                                                                                                                                                                                                           | —                                                                                        | —    | —        | —    |
|           | Republic<br>of China | Lin, Huo-Teng                  | Male<br>71-80             | April 14,<br>2023 | April 14,<br>2023 ~<br>March 5,<br>2025  | October<br>19, 2016   | —                            | —            | 610,362                 | 0.15%        | 0                                                               | 0            | 0                                              | 0.00%        | Department of Law, National Taiwan<br>University<br>Institute of Information<br>Management, National Chengchi<br>University.<br>Taiwan Stock Exchange CEO<br>Deputy General Manager of Taiwan<br>Depository & Clearing Corporation<br>(TDCC)                      | Independent Director of<br>Hey-Song Corporation<br>Shacom.com Inc.<br>Director                                                                                                                                                                                                                                              | —                                                                                        | —    | —        | —    |

| Job Title | Nationality          | Name                              | Gender<br>Age<br>(Note 3) | Date<br>elected   | Term<br>(years)                          | Date first<br>elected | Shareholding when<br>elected |              | Current<br>shareholding |              | Shares under<br>spouses and minor<br>children currently<br>held |              | Holding<br>shares in<br>someone<br>else's name |              | Major experience and academic<br>background                                                                                                                                                                                   | Job positions in the<br>Company and other<br>companies                                                                                                                                                                                                                                                                                                                                  | Spouse or relatives<br>within 2nd degree of<br>kinship of other<br>executives, directors |      |          | Note |
|-----------|----------------------|-----------------------------------|---------------------------|-------------------|------------------------------------------|-----------------------|------------------------------|--------------|-------------------------|--------------|-----------------------------------------------------------------|--------------|------------------------------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------|----------|------|
|           |                      |                                   |                           |                   |                                          |                       | Shares                       | Stake<br>(%) | Shares                  | Stake<br>(%) | Shares                                                          | Stake<br>(%) | Shares                                         | Stake<br>(%) |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                         | Job<br>Title                                                                             | Name | Relation |      |
| Director  | Republic<br>of China | Cheng, Keng-I                     | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | December<br>18, 2014  | 1,414,788                    | 0.39%        | 1,527,920               | 0.39%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Department of Accounting, Feng<br>Chia University<br>VP of Research Dept. of Bailey<br>Securities Co.<br>VP of Hong-Yang VC<br>Grand Fortune Management<br>Corporation, Assistant Manager,<br>Company Underwriting Department | Director of Grand Fortune<br>Management Corporation<br>(Legal representative)<br>Director of Fuyou Capital<br>Co., Ltd., (Legal<br>representative)<br>Director of Solytech<br>Enterprise Co.,<br>Director of Leader<br>Electronics Inc.<br>Independent Director of<br>Holy Stone Enterprise<br>Corp.<br>Independent Director of<br>Prolific Technology Co.,<br>Ltd. (stock)<br>Director | —                                                                                        | —    | —        | —    |
| Director  | Republic<br>of China | Dayou Investment<br>Co., Ltd.     | -                         | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | June 30,<br>2020      | 686,992                      | 0.19%        | 741,926                 | 0.19%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Not applicable                                                                                                                                                                                                                | None                                                                                                                                                                                                                                                                                                                                                                                    | —                                                                                        | —    | —        | —    |
|           | Republic<br>of China | Representative: Li,<br>Ching-Jung | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | June 30,<br>2020      | 0                            | 0%           | 28,716                  | 0.01%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Department of Economics, Cultural<br>University.<br>Chief of Taipei City Revenue<br>Service<br>Auditor, National Taxation Bureau<br>of Taipei, Ministry of Finance                                                            | None                                                                                                                                                                                                                                                                                                                                                                                    | —                                                                                        | —    | —        | —    |
| Director  | Republic<br>of China | Huang,<br>Chih-Chiang             | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | April 14,<br>2023     | 0                            | 0.00%        | 0                       | 0.00%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | MBA, Nankai University.<br>Group Leader, Taiwan Stock<br>Exchange Corporation                                                                                                                                                 | None                                                                                                                                                                                                                                                                                                                                                                                    | —                                                                                        | —    | —        | —    |

| Job Title               | Nationality          | Name           | Gender<br>Age<br>(Note 3) | Date<br>elected   | Term<br>(years)                          | Date first<br>elected | Shareholding when<br>elected |              | Current<br>shareholding |              | Shares under<br>spouses and minor<br>children currently<br>held |              | Holding<br>shares in<br>someone<br>else's name |              | Major experience and academic<br>background                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Job positions in the<br>Company and other<br>companies                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Spouse or relatives<br>within 2nd degree of<br>kinship of other<br>executives, directors |      |          | Note |
|-------------------------|----------------------|----------------|---------------------------|-------------------|------------------------------------------|-----------------------|------------------------------|--------------|-------------------------|--------------|-----------------------------------------------------------------|--------------|------------------------------------------------|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------|----------|------|
|                         |                      |                |                           |                   |                                          |                       | Shares                       | Stake<br>(%) | Shares                  | Stake<br>(%) | Shares                                                          | Stake<br>(%) | Shares                                         | Stake<br>(%) |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Job<br>Title                                                                             | Name | Relation |      |
| Independent<br>Director | Republic<br>of China | Lo, Neng-Ching | Male<br>61-70             | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | July 3,<br>2015       | 16,488                       | 0.00%        | 0                       | 0.00%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Master of Finance, National<br>Chengchi University.<br>Secretary General, Chunghua<br>Association of Public Finance<br>Professor at the Department of<br>Finance and Economics and Dean of<br>the Department of Finance, Takming<br>University of Science and<br>Technology<br>Corporate Director, Hua Nan<br>Commercial Bank<br>concurrently serves on the<br>Bookkeeping Professionals<br>Disciplinary Review Committee,<br>Ministry of Finance.<br>Professor and Vice President of<br>National Taipei University of<br>Business<br>Financial Industry Net-Zero<br>Transition Enterprise Improvement<br>Project Coordinating Convener<br>(Concurrent Role)<br>Taiwan Institute for Sustainable<br>Energy Foundation - Financial<br>Industry International Benchmark<br>ESG Issues Project Coordinating<br>Convener (Concurrent Role) | Member of the Audit<br>committee of Grand<br>Fortune Securities Co.,<br>Ltd.<br>Member of the<br>Remuneration committee<br>of Grand Fortune<br>Securities Co., Ltd.<br>Member of Risk<br>Management committee<br>of Grand Fortune<br>Securities Co., Ltd.<br>Secretary-General,<br>Chunghua Association of<br>Public Finance (concurrent<br>Role/volunteer position)<br>Adjunct Professor,<br>Department of Finance and<br>Taxation, College of<br>Finance and Economics,<br>Takming University of<br>Science and Technology | —                                                                                        | —    | —        | —    |
| Independent<br>Director | Republic<br>of China | Hsu, Mei-Li    | Female<br>61-70           | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | April 14,<br>2023     | 0                            | 0.00%        | 0                       | 0.00%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Graduate Institute of Finance,<br>National Chengchi University<br>Deputy Director, Farmers Bank of<br>China<br>Manager, Union Bank of Taiwan<br>Deputy General Manager, Bowa<br>Commercial Bank Ltd.<br>Vice President, ING Private Bank<br>Deputy General Manager, IBF<br>Securities                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Member of the Audit<br>committee of Grand<br>Fortune Securities Co.,<br>Ltd.<br>Member of the<br>Remuneration committee<br>of Grand Fortune<br>Securities Co., Ltd.<br>Member of Risk<br>Management committee<br>of Grand Fortune<br>Securities Co., Ltd.                                                                                                                                                                                                                                                                    | —                                                                                        | —    | —        | —    |

| Job Title               | Nationality          | Name           | Gender<br>Age<br>(Note 3) | Date<br>elected   | Term<br>(years)                          | Date first<br>elected | Shareholding when<br>elected |              | Current<br>shareholding |              | Shares under<br>spouses and minor<br>children currently<br>held |              | Holding<br>shares in<br>someone<br>else's name |              | Major experience and academic<br>background                                                                                                   | Job positions in the<br>Company and other<br>companies                                                                                                                                                                                                                                                                                                                                                                                        | Spouse or relatives<br>within 2nd degree of<br>kinship of other<br>executives, directors |      |          | Note |
|-------------------------|----------------------|----------------|---------------------------|-------------------|------------------------------------------|-----------------------|------------------------------|--------------|-------------------------|--------------|-----------------------------------------------------------------|--------------|------------------------------------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------|----------|------|
|                         |                      |                |                           |                   |                                          |                       | Shares                       | Stake<br>(%) | Shares                  | Stake<br>(%) | Shares                                                          | Stake<br>(%) | Shares                                         | Stake<br>(%) |                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                               | Job<br>Title                                                                             | Name | Relation |      |
| Independent<br>Director | Republic<br>of China | Wu, Chung-Chun | Female<br>51-60           | April 14,<br>2023 | April 14,<br>2023 ~<br>April 13,<br>2026 | April 14,<br>2023     | 0                            | 0.00%        | 0                       | 0.00%        | 0                                                               | 0.00%        | 0                                              | 0.00%        | Tamkang University, Department of<br>Business Administration<br>Executive Vice President, Minth<br>Group Ltd.<br>Anchor, Unique Business News | Member of the Audit<br>committee of Grand<br>Fortune Securities Co.,<br>Ltd.<br>Member of the<br>Remuneration committee<br>of Grand Fortune<br>Securities Co., Ltd.<br>Member of Risk<br>Management committee<br>of Grand Fortune<br>Securities Co., Ltd.<br>Chairman, The Foundation<br>For Childless Aging<br>People<br>Director, Angel House<br>Long-term Care<br>Foundation<br>Vice General Manager,<br>KimForest Enterprise Co.,<br>Ltd. | —                                                                                        | —    | —        | —    |

Note 1 : On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng (currently serving as the CEO of The Company) was appointed.

Note 2 : Name of an institutional shareholder and its representative shall be stated in the table 1.

Note 3 : Please list your actual age and express it in intervals, such as 41-50 years old or 51-60 years old.

Note 4 : Fill in the time when you first served as a director or supervisor of the company. Please note it, if there is any interruption.

Note 5 : The experience related to the current position, if you have worked in the audit and visa accounting firm or related companies during the previous disclosure period, the title and responsible position should be stated.

Note 6 : If the Chairman of the company and the General Manager or equivalent positions (the top executives) are the same person, spouses, or first-degree relatives, the company should explain the reasons, rationale, necessity, and measures taken (such as increasing the number of independent directors, ensuring that the majority of directors do not concurrently serve as employees or managers, etc.).

**(II) Major shareholders (stake %) of institutional investors (table 1)**

March 4, 2024

| Name of institutional investor | Major shareholders (stake %) of institutional investor |
|--------------------------------|--------------------------------------------------------|
| Dayou Investment Co., Ltd.     | Huang Hsien-Hua (50%), Huang, Yun-Ju (50%)             |
| Cai Ci Er Assets               | Huang Hsien-Hua (92.50%), Huang, Yun-Ju (7.5%)         |

Note 1 : Name of a director or supervisor, if he/she is a representative for institutional investor, must be stated in the table 1.

Note 2 : Name of a major shareholder, or a top-ten shareholder, must be stated. If the major shareholder is an institutional investor, the table 2 shall be filled out.

Note 3 : For institutional shareholders who are not company organizers, the shareholder names and shareholding ratios that should be disclosed refer to the names of contributors or donors (which can be referenced from the Judicial Yuan's public announcements) and their contribution or donation ratios. If a donor has passed away, it should be noted as "deceased."

**(III) Institutional investor as a major shareholder of the Company's institutional investors (table 1, 2)**

March 4, 2024

| Name of institutional investor | Major shareholders (stake %) of institutional investor |
|--------------------------------|--------------------------------------------------------|
| None                           | --                                                     |

Note 1 : Name of an institutional investor must be stated in the table 1.

Note 2 : Name of major shareholder of the institutional investor and stake holding ratio must be provided.

Note 3 : For institutional shareholders who are not company organizers, the shareholder names and shareholding ratios that should be disclosed refer to the names of contributors or donors (which can be referenced from the Judicial Yuan's public announcements) and their contribution or donation ratios. If a donor has passed away, it should be noted as "deceased."

**(IV) Professional qualifications and independence of director:**

March 4, 2024

| Qualification<br>Name | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                               | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Number of independent directors of other public companies |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Huang, Ping-Chun      | <p>Master of Accounting and Financial Management from Georgia State University</p> <p>Former positions held: Vice General Manager of Taipei Exchange (TPEX), General Manager of Taiwan Futures Exchange.</p> <p>Extensive experience in securities and financial management, as well as strong leadership and decision-making skills; No circumstances falling under Article 30 of the Company Act.</p> | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>3. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>4. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>5. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>6. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>7. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>8. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>9. No spousal or second-degree or closer relative relationship with other directors.</li> <li>10. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Number of independent directors of other public companies |
|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Huang Hsien-Hua                          | <p>Master of Finance, National Chengchi University.</p> <p>Served as a full-time member of the Financial Supervisory Commission, executive deputy general manager of Grand Fortune Management Corporation, chair of Beiley Securities Co. Founded Grand Fortune Securities Co., Ltd. Grand Fortune Venture Capital Grand Fortune Venture Capital Management Consultant (Shares) Company and Fuyou Capital Co., Ltd..</p> <p>Extensive experience in securities and financial management, as well as strong leadership and decision-making skills; No circumstances falling under Article 30 of the Company Act.</p> | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>3. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>4. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>5. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>6. No spousal or second-degree or closer relative relationship with other directors.</li> <li>7. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Number of independent directors of other public companies |
|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Cheng, Keng-I                            | <p>Department of Accounting, Feng-Chia University<br/>Served as the assistant manager of the underwriting department of Jinding Comprehensive Securities (stock) Company, the deputy general manager of Hongyang Venture Capital (stock) Company, and the deputy general manager of Bailey Securities Co.<br/>Currently serving as director (legal representative) of Prolific Technology Co., Ltd., director of Solytech Enterprise Co., director of Shieh Yih Co., director of Leader Electronics Inc., and Independent Director of Holy Stone Enterprise Corp., independent director of Wangjiu Technology (stock), director (legal representative) of Grand Fortune Management Corporation, director (legal representative) of Grand Fortune Venture Capital (stock), Fuyou Capital Co., Ltd. director (legal representative), director of Xinli Biotechnology (stock).<br/>Extensive experience in securities and financial management, as well as strong leadership and decision-making skills; No circumstances falling under Article 30 of the Company Act.</p> | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>3. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>4. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>5. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>6. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>7. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>8. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>9. No spousal or second-degree or closer relative relationship with other directors.</li> <li>10. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 2                                                         |

| <div>Qualification</div> <div>Name</div>                                              | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Number of independent directors of other public companies |
|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Cai Ci Er Asset Management Co., Ltd.,<br>Representative:<br>Lin, Huo-Teng<br>(Note 1) | Department of Law, National Taiwan University, Institute of Information Management, National Chengchi University.<br>Served as the section chief of the Finance Center of the Ministry of Finance, the chief of the Postal Savings and Remittance Bureau of the Ministry of Communications, the deputy general manager of the Taiwan Central Depository and Clearing Corp., and the general manager of the Taiwan Stock Exchange (stock).<br>Currently serving as Independent Director of Hey-Song Corporation<br>Director of Deputy General Manager, Taiwan Depository & Clearing Corporation<br>Extensive experience in securities and financial management, as well as strong leadership and decision-making skills; No circumstances falling under Article 30 of the Company Act. | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> <li>11. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 1                                                         |

| <div>Qualification</div> <div>Name</div>                    | Professional qualification and experience                                                                                                                                                                                                                                                                                     | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Number of independent directors of other public companies |
|-------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Da Ya Investment Co., Ltd<br>Representative: Li, Ching-Jung | Department of Economics, Cultural University.<br>Served the head of the Tax Collection Office of the Taipei City Government, and an auditor of the Taipei Internal Revenue Service of the Ministry of Finance.<br>Possess professional competence in taxation. None of the provisions of Article 30 of the Company Law apply. | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                            | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Number of independent directors of other public companies |
|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Huang, Chih-Chiang                       | MBA, Nankai University.<br>Former head of the Taiwan Stock Exchange Co., Ltd.<br>Currently serving as the convener of the Company's Audit Committee, the convener of the Remuneration Committee, the convener of the Risk Management Committee. Possess professional skills in securities management. None of the provisions of Article 30 of the Company Law apply. | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> <li>11. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Number of independent directors of other public companies |
|------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Lo, Neng-Ching<br>Independent Director   | <p>Master of Finance, National Chengchi University.</p> <p>He used to be the tax collector of Taipei County. Served as a professor at the School of Finance and Economics of Deming University of Finance and Economics, a professor and vice president of the Taipei College of Commerce and Technology, a legal person director of South China Commercial Bank Co., Ltd., and the Ministry of Finance, who also served as a disciplinary review committee for bookkeepers.</p> <p>Currently, he is the secretary general of the Chinese Society for Finance and Economics, a member of the audit committee, remuneration committee and risk management committee of the company.</p> <p>Possess professional ability in securities management and finance. None of the provisions of Article 30 of the Company Law apply.</p> | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> <li>11. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Number of independent directors of other public companies |
|------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Hsu, Mei-Li<br>Independent Director      | Graduate Institute of Finance,<br>National Chengchi University<br>Former Positions: Deputy Director,<br>Farmers Bank of China<br>Manager, Union Bank of Taiwan,<br>Deputy General Manager, Bowa<br>Commercial Bank Ltd. Vice<br>President, ING Private Bank<br>Deputy General Manager, IBF<br>Securities<br>Possesses extensive expertise in<br>securities and financial<br>management, with professional<br>capabilities in finance. No violations<br>of Article 30 of the Company Act. | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> <li>11. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

| <div>Qualification</div> <div>Name</div> | Professional qualification and experience                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Independent situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Number of independent directors of other public companies |
|------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Wu, Chung-Chun<br>Independent Director   | Tamkang University, Department of Business Administration<br>Former Positions: Executive Vice President at Minth Group Ltd., Anchor at Unique Business News<br>Current Positions: Vice General Manager at KimForest Enterprise Co., Ltd., Chairman of The Foundation For Childless Aging People, Director at Angel House Long-term Care Foundation<br>Possesses extensive expertise in business management and leadership decision-making abilities; No violations of Article 30 of the Company Act. | <ol style="list-style-type: none"> <li>1. Not an employee of this company or any related entity.</li> <li>2. Not a director or supervisor of this company or any related entity.</li> <li>3. Neither the individual nor their spouse, minor children, or any other person holds 1% or more of the company's issued shares or is among the top ten natural person shareholders.</li> <li>4. Not the spouse of any of the managers listed in item 1 or the relatives up to the second degree of kinship of the individuals listed in items 2 and 3, or relatives up to the third degree of kinship of the direct blood relatives.</li> <li>5. Not a director, supervisor, or employee of a juristic person shareholder who directly holds 5% or more of the total issued shares of this company, is among the top five shareholders, or is appointed as a representative under Article 27, Paragraph 1, or Paragraph 2 of the Company Act.</li> <li>6. Not a director, supervisor, or employee of another company where the person controlling the majority of the shares with voting rights of the company's director seats is the same individual.</li> <li>7. Not the same individual or spouse of the chairman, general manager, or equivalent position of another company or organization serving as a director, supervisor, or employee.</li> <li>8. Not a director, supervisor, manager, or shareholder holding 5% or more of a specific company or institution with financial or business transactions with this company.</li> <li>9. Not a professional, sole proprietor, partner, director (board member), supervisor (board supervisor), manager, or spouse of the aforementioned individuals, or an entity providing audit, legal, financial, accounting, or related services to the Company or its affiliated enterprises, and receiving remuneration in the past two years.</li> <li>10. No spousal or second-degree or closer relative relationship with other directors.</li> <li>11. Not elected under Article 27 of the Company Act by the government, legal person, or its representatives.</li> </ol> | 0                                                         |

Note 1 : On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng (currently serving as the CEO of The Company) was appointed.

Note 2 : Independent directors should state their independence, including but not limited to whether they, their spouse, or relatives within the second degree of kinship serve as directors, supervisors or employees of The Company or its affiliated companies; The number and proportion of the company's shares held by relatives (or in the name of others); whether they serve as a company that has a specific relationship with The Company (refer to the provisions of Article 3, Paragraph 1, Subparagraphs 5 to 8 of the Regulations on the Establishment of Independent Directors and Matters to be Complied with in Public Offering Companies) Directors, supervisors or employees; the amount of remuneration received for providing business, legal, finance, accounting and other services to The Company or its affiliates in the last two years.

Note 3 : For disclosure methods, please refer to the Best Practice Reference Examples on the website of the Corporate Governance Center of the Taiwan Stock Exchange.

**(V) Diversity and independence of the board of directors:**

The composition of the board of directors of the company has formulated a policy of diversity. According to the provisions of Article 20, paragraph 3, of the "Code of Practice on Corporate Governance" of the company, the composition of the board of directors of the company should consider diversity, except Directors who also serve as managers of the company should not exceed one-third of the number of directors, and should formulate an appropriate diversification policy based on their own operation, operation type, and development needs. It should include but not be limited to the following two standards: 1. Basic Conditions and values: gender, age, nationality, and culture, etc. 2. Professional knowledge and skills: professional background (such as law, accounting, industry, finance, marketing or technology), etc., and there is a regulation that no more than half of the seats should have spousal or second-degree or closer relative relationships among directors.

In addition, Article 20, Paragraph 4 of the Company's "Code of Practice on Corporate Governance" stipulates that member of the board of directors should generally possess the knowledge, skills and qualities necessary to perform their duties. In order to achieve the ideal goal of corporate governance, the overall capabilities of the board of directors should be as follows: 1. Management ability. 2. Crisis management ability. 3 Industry knowledge. 4 International market view. 5. Leadership. 6. Decision-making capacity. 7. Risk management knowledge and ability.

1. Diversity of board members:

The 14th board of directors of the company has a total of nine seats, including six directors and three independent directors (including two female independent directors). None of the directors has the identity of an employee. The board members have rich management experience and relevant professional backgrounds, possessing the professional knowledge, skills, and literacy necessary for the performance of duties; in the nine major abilities, at least 2/3 of the members have the relevant abilities. Independent directors shall not serve for more than 3 consecutive terms in order to maintain their independence. (3) Among the directors, the number of employees who are employees of the company, parent, child or brother company should be less than (inclusive) 1/3 of the number of directors to achieve the purpose of supervision. The 14th Board of Directors of the Company has added two female members, increasing the percentage of female directors by 22.22% compared to the previous term, gradually advancing towards the ultimate goal of one-third.

The implementation of the diversity of board members is as follows:

| Job Title                         | Name                 | basic component |          |                 | Professional ability |      |                      |                                          |                    |                           |                                                        |                           |            |                          |                                       | Industrial background |                         |                     |                |
|-----------------------------------|----------------------|-----------------|----------|-----------------|----------------------|------|----------------------|------------------------------------------|--------------------|---------------------------|--------------------------------------------------------|---------------------------|------------|--------------------------|---------------------------------------|-----------------------|-------------------------|---------------------|----------------|
|                                   |                      | Gender          | identity | Age             |                      |      | Operational Judgment | Accounting and financial analysis skills | Management ability | crisis management ability | Securities and derivative financial products expertise | international market view | leadership | Decision-making capacity | Risk management knowledge and ability | securities, finance   | Information, Technology | finance, accounting | Trade industry |
|                                   |                      |                 |          | 40-50 years old | 51-60 years old      | 61 + |                      |                                          |                    |                           |                                                        |                           |            |                          |                                       |                       |                         |                     |                |
| Chairman                          | Huang, Ping-Chun     | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | ✓                  | ✓                         | ✓                                                      | ✓                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | ✓                   | -              |
| Director                          | Huang Hsien-Hua      | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | ✓                  | ✓                         | ✓                                                      | ✓                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | ✓                   | -              |
| Director                          | Cheng, Keng-I        | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | ✓                  | ✓                         | ✓                                                      | ✓                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | ✓                   | -              |
| Corporate Director representative | Lin, Huo-Teng (Note) | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | ✓                  | ✓                         | ✓                                                      | ✓                         | ✓          | ✓                        | ✓                                     | ✓                     | ✓                       | -                   | -              |
| Corporate Director representative | Li, Ching-Jung       | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | -                  | ✓                         | ✓                                                      | -                         | ✓          | ✓                        | ✓                                     | -                     | -                       | ✓                   | -              |
| Director                          | Huang, Chih-Chiang   | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | -                  | ✓                         | ✓                                                      | -                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | -                   | -              |
| Independent Director              | Lo, Neng-Ching       | Male            | -        | -               | -                    | ✓    | ✓                    | ✓                                        | -                  | ✓                         | ✓                                                      | -                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | ✓                   | -              |
| Independent Director              | Hsu, Mei-Li          | Female          | -        | -               | -                    | ✓    | ✓                    | ✓                                        | ✓                  | ✓                         | ✓                                                      | ✓                         | ✓          | ✓                        | ✓                                     | ✓                     | -                       | -                   | -              |
| Independent Director              | Wu Zhong-Chun        | Female          | -        | -               | ✓                    | -    | ✓                    | -                                        | ✓                  | ✓                         | ✓                                                      | -                         | ✓          | ✓                        | ✓                                     | -                     | -                       | -                   | ✓              |

Note: On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo -Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng was appointed.

2.1 The company has established a director selection system. The selection process of all directors is open and fair, which is in line with the company's "Articles of Association," "Director Selection Process," "Code of Practice for Corporate Governance," "Public Issuance Company Independent Director Setup and Matters to be Followed" Regulations, and Article 14-2 of the Securities and Exchange Act, etc. The composition of the current board of directors is composed of three independent directors (33%), and all directors who do not have the status of employees or managers meet the requirements of Article 26-3, Paragraph 3 of the Securities and Exchange Act.

2.2 The board of directors of the company emphasizes the functions of independent operation and transparency. Directors and independent directors are independent individuals and exercise their powers independently. The three independent directors also abide by the relevant laws and regulations and cooperate with the functions and powers of the audit committee to supervise: (1) the fair presentation of the company's financial statements, (2) the selection (dismissal), independence and performance of certified accountants, (3) the effective implementation of the company's internal control, (4) the company's compliance with relevant laws and regulations, and (5) the management and control of the company's existing or potential risks. In addition, according to the company's "Director Selection Procedures", the cumulative voting system and candidate nomination system are adopted for the selection and appointment of directors and independent directors, and shareholders are encouraged to participate. Shareholders holding a certain number of shares or more may submit a list of candidates. The qualification review and verification of whether there are any violations listed in Article 30 of the Company Law are carried out and announced in accordance with the law to protect the rights and interests of shareholders, avoiding monopoly or excessive nomination rights, and maintaining independence.

In addition, the Company has established a performance evaluation system for the Board of Directors, and implements the completion of the Board performance evaluation self-assessment questionnaire and the Board member self-assessment questionnaire once in a year; the Board performance evaluation includes assessment items such as (1) Participation degree for the Company's operation, (2) Upgrade of decision quality, (3) Composition and structure of the Board of Directors, (4) Selection and continuous education of directors, and (5) Internal control. The self-performance evaluation of Board members includes (1) Understanding of the company's goals and tasks, (2) Directors' awareness of duties, (3) Participation degree for the Company's operation, (4) Internal relation and communication, (5) Directors' professional and continuing education, and (6) Internal control. The relevant self-assessment results of the above-mentioned assessments will be disclosed in the Company's annual report after reporting to the Board of Directors.

Note 1 : Professional qualifications and experience: state the professional qualifications and experience of individual directors and supervisors. If they are members of the audit committee and have accounting or financial expertise, their accounting or financial background and work experience should be stated, and whether they have not There are cases under Article 30 of the Company Law.

Note 2 : Independent directors should state their independence, including but not limited to whether they, their spouse, or relatives within the second degree of kinship serve as directors, supervisors or employees of the company or its affiliated companies; The number and proportion of the company's shares held by relatives (or in the name of others); whether or not he is a company that has a specific relationship with the company (refer to the provisions of Article 3, Paragraph 1, Subparagraphs 5 to 8 of the Regulations on the Establishment of Independent Directors and Matters to be Complied by Public Companies) Directors, supervisors or employees; the amount of remuneration received for providing business, legal, financial, accounting and other services to the company or its affiliates in the last two years.

Note 3 : For disclosure methods, please refer to the Best Practice Reference Examples on the website of the Corporate Governance Center of the Taiwan Stock Exchange.

**(VI) Information on the general manager, deputy general managers, assistant managers, heads of various departments and branches**

March 4, 2024

| Job Title                                    | Country of Citizenship | Name             | Gender | Elected Date    | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                                                                                   | Currently holding positions in other companies                                                                                                                                     | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|----------------------------------------------|------------------------|------------------|--------|-----------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                                              |                        |                  |        |                 | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                                                                                            |                                                                                                                                                                                    | Job Title                                                                  | Name | Relation |          |
| CEO<br>(Note 4)                              | Republic of China      | Chen, Sung-Cheng | Male   | August 14, 2018 | 267,574      | 0.07%                  | 20,000                                    | 0.01%                  | —                                     | —                      | Yuan Ze University College of Management<br>CPA Auditor,<br>PricewaterhouseCoopers Taiwan<br>Senior Vice Manager of Capital Securities Corp<br>Assistant Manager of Underwriting Department of Grand Fortune Securities Co., Ltd. (Shares) | (Legal representative) of Grand Fortune Venture Capital Consultant Co., Ltd<br>Supervisors<br>Director of Grand Fortune Venture Capital Consultant Co., Ltd.(Legal representative) | —                                                                          | —    | —        | —        |
| Executive vice president                     | Republic of China      | Shen, Hsin-Hsien | Male   | July 12, 2017   | 0            | 0                      | —                                         | —                      | —                                     | —                      | Taipei City University of Science and Technology<br>Vice General Manager of Grand Fortune Securities Co., Ltd.<br>Deputy Manager of China Jiatong Co., Ltd.<br>Deputy General Manager of Grand Fortune Securities Co., Ltd.                | None                                                                                                                                                                               | —                                                                          | —    | —        | —        |
| Underwriting Department Vice General Manager | Republic of China      | Lan, Shun-Te     | Male   | July 1, 2024    | 20,000       | 0.01%                  | —                                         | —                      | —                                     | —                      | Master of Law, National Chengchi University.<br>Assistant Manager at KGI Securities Underwriting Department<br>Manager at the Securities Counter Trading Center                                                                            | None                                                                                                                                                                               | —                                                                          | —    | —        | —        |

| Job Title                                    | Country of Citizenship | Name                 | Gender | Elected Date    | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                                                                                                                                     | Currently holding positions in other companies | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|----------------------------------------------|------------------------|----------------------|--------|-----------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                                              |                        |                      |        |                 | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                                                                                                                                              |                                                | Job Title                                                                  | Name | Relation |          |
| stock agent<br>Vice General Manager          | Republic of China      | Vice General Manager | Male   | August 14, 2018 | 154,104      | 0.04%                  | —                                         | —                      | —                                     | —                      | St. John's University<br>Electrical Engineering Division<br>Manager of Stock Affairs<br>Agency Department of Hua Nan Securities<br>Vice Manager of Asia Securities Investment Consultant Co., Ltd.<br>Associate Manager of the Stock Agency Department of Grand Fortune Securities Co., Ltd. | None                                           | —                                                                          | —    | —        | —        |
| Brokerage Department<br>Vice General Manager | Republic of China      | Wang, Mei-Chuan      | Female | August 1, 2024  | 11,398       | 0.0%                   | —                                         | —                      | —                                     | —                      | Department of Applied Business Studies, Continuing Education Division, National Taipei University of Business<br>Securities Representative at Mega Securities Co., Ltd.<br>Assistant Manager at Grand Fortune Securities                                                                     | None                                           | —                                                                          | —    | —        | —        |
| Senior Vice president                        | Republic of China      | Huang, Chun-Jung     | Male   | August 11, 2020 | 988          | 0.00%                  | —                                         | —                      | —                                     | —                      | Master of Business Administration, Fu Jen Catholic University<br>Vice General Manager of Financial Commodities<br>Business Headquarters of Mega Securities<br>Special Assistant to General Manager Office of Oriental Securities                                                             | None                                           | —                                                                          | —    | —        | —        |

| Job Title                          | Country of Citizenship | Name            | Gender | Elected Date     | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                                                                                                                          | Currently holding positions in other companies                                                                                                                                 | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|------------------------------------|------------------------|-----------------|--------|------------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                                    |                        |                 |        |                  | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                | Job Title                                                                  | Name | Relation |          |
| Accounting director                | Republic of China      | Chu, Shih-Cheng | Male   | January 29, 2002 | 200,230      | 0.05%                  | —                                         | —                      | —                                     | —                      | Department of Accounting, Soochow University<br>Director of the Audit Office of the Cheng Hsin General Hospital<br>Manager of Zhengfeng Accounting Firm<br>Senior Manager of Finance and Accounting Department of Grand Fortune Securities Co., Ltd.                              | Supervisor of Grand Fortune Venture Capital Co., Ltd (Legal representative)<br>Supervisor and Legal Representative of Grand Fortune Securities Investment Consulting Co., Ltd. | —                                                                          | —    | —        | —        |
| Hsinchu branch manager             | Republic of China      | Chiu, Meng-Chao | Female | April 1, 2019    | 0            | 0.00%                  | —                                         | —                      | —                                     | —                      | Chungyu University of Film and Arts College of Business Management<br>International Trade<br>Chungyu University of Film and Arts College of Business Management<br>International Trade Cobra Securities Salesperson<br>CTBC Securities Hsinchu Branch Manager                     | None                                                                                                                                                                           | —                                                                          | —    | —        | —        |
| Self-operated department Associate | Republic of China      | Cheng, Chih-Wen | Male   | October 26, 2023 | 35,000       | 0.00%                  | 100                                       | 0.00%                  | —                                     | —                      | 1. Master of Finance and Banking from National Taiwan University<br>2. Deputy General Manager of PineBridge Investments<br>3. Self-operated department of IBF Securities Co., Ltd manager<br>4. Associate of the Proprietary Trading Department at First Securities Incorporation | None                                                                                                                                                                           | —                                                                          | —    | —        | —        |

| Job Title                 | Country of Citizenship | Name           | Gender | Elected Date      | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                   | Currently holding positions in other companies | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|---------------------------|------------------------|----------------|--------|-------------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                           |                        |                |        |                   | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                            |                                                | Job Title                                                                  | Name | Relation |          |
| bond department Associate | Republic of China      | Huang, Chun-I  | Male   | September 1, 2021 | 2,000        | 0.00%                  | —                                         | —                      | —                                     | —                      | Master of Finance, Tamkang University<br>Manager of Bond Department, KGI Securities<br>Manager of Bond Department, KGI Securities<br>National Securities                   | None                                           | —                                                                          | —    | —        | —        |
| Associate                 | Republic of China      | Hsu, Pin-Wei   | Male   | August 12, 2022   | 161,274      | 0.04%                  | —                                         | —                      | —                                     | —                      | National Chung Cheng University, Department of Accounting<br>Assistant Manager at Taishin Securities Co., Ltd.                                                             | None                                           | —                                                                          | —    | —        | —        |
| Associate                 | Republic of China      | Li, Li-Ling    | Female | August 12, 2022   | 134,346      | 0.03%                  | —                                         | —                      | —                                     | —                      | Master of Finance and Banking from National Chung Hsing University<br>Deputy Section Chief at SinoPac Securities Corporation<br>Senior Manager at Grand Fortune Securities | None                                           | —                                                                          | —    | —        | —        |
| Associate                 | Republic of China      | Hsieh, Cho-Mei | Female | August 12, 2022   | 50,000       | 0.01%                  | 1,000                                     | 0.00%                  | —                                     | —                      | Accounting Department at Chung Yuan Christian University<br>Senior Manager at Fubon Securities<br>Assistant Manager at Cathay Securities Corporation                       | None                                           | —                                                                          | —    | —        | —        |
| Compliance officer        | Republic of China      | Yang, Mu-Yu    | Male   | November 8, 2017  | 53,660       | 0.01%                  | —                                         | —                      | —                                     | —                      | Institute of Finance, Tamkang University<br>Commercial Times writing member                                                                                                | None                                           | —                                                                          | —    | —        | —        |

| Job Title        | Country of Citizenship | Name            | Gender | Elected Date      | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                                                                                                                | Currently holding positions in other companies | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|------------------|------------------------|-----------------|--------|-------------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                  |                        |                 |        |                   | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                                                                                                                         |                                                | Job Title                                                                  | Name | Relation |          |
| Audit supervisor | Republic of China      | Huang, Wan-Chen | Female | September 6, 2002 | 124,872      | 0.03%                  | —                                         | —                      | —                                     | —                      | Department of Business Administration, Chung Hsing University<br>Chief of Audit Department of Fubon Securities Co., Ltd.<br>of the Audit Department of Grand Fortune Securities Co., Ltd.                                                                               | None                                           | —                                                                          | —    | —        | —        |
| Senior Associate | Republic of China      | Chan, Yao-Hung  |        | July 11, 2023     |              |                        |                                           |                        |                                       |                        | Department of Business Administration, National Taiwan University<br>Researcher at Shin Kong Securities Co., Ltd.<br>Officer of Grand Fortune Securities Investment Consulting Co., Ltd.<br>Manager of the Proprietary Trading Department of Fubon Securities Co., Ltd. | None                                           | —                                                                          | —    | —        | —        |
| Associate        | Republic of China      | Wang, Kuo-Lien  | Male   | August 12, 2022   | 2,468        | 0.00%                  | —                                         | —                      | —                                     | —                      | Affiliated Senior High School of National Taiwan Normal University<br>Assistant Manager at Yuanta Securities (Taipei)<br>Senior Manager at Grand Fortune Securities                                                                                                     | None                                           | —                                                                          | —    | —        | —        |
| Associate        | Republic of China      | Huang, Shen-Hui | Male   | August 12, 2022   | 0            | 0.00%                  | —                                         | —                      | —                                     | —                      | Department of Business Administration, Taipei University of Technology<br>Second Grade Specialist at Hua Nan Securities & Investment Trust Co., Ltd.<br>Senior Manager at Grand Fortune Securities                                                                      | None                                           | —                                                                          | —    | —        | —        |

| Job Title           | Country of Citizenship | Name          | Gender | Elected Date       | Shareholding |                        | Shareholding by spouse and minor children |                        | Holding shares in someone else's name |                        | Major experience and academic background                                                                                                                                                                                                                              | Currently holding positions in other companies                      | Managers with a relationship within the spouse or second degree of kinship |      |          | (Note 3) |
|---------------------|------------------------|---------------|--------|--------------------|--------------|------------------------|-------------------------------------------|------------------------|---------------------------------------|------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|------|----------|----------|
|                     |                        |               |        |                    | Shares       | Shareholding ratio (%) | Shares                                    | Shareholding ratio (%) | Shares                                | Shareholding ratio (%) |                                                                                                                                                                                                                                                                       |                                                                     | Job Title                                                                  | Name | Relation |          |
| Senior Associate    | Republic of China      | Chen, Yun-Chi | Female | August 12, 2022    | 322,792      | 0.08%                  | —                                         | —                      | —                                     | —                      | Master of Law, Department of Law, Soochow University<br>Master of Finance and International Business, Fu Jen Catholic University<br>Assistant Manager of Business at Hua Nan Securities & Investment Trust Co., Ltd.<br>Assistant Manager at Grand Fortune Securities | None                                                                | —                                                                          | —    | —        | —        |
| Information manager | Republic of China      | Wu, Wen-Jui   | Male   | September 16, 2010 | 15,000       | 0.00%                  | —                                         | —                      | —                                     | —                      | National Central University Information Management Institute<br>Assistant Manager at KGI Securities<br>Dimerco Data System Corporation Computer Assistant Manager                                                                                                     | Director of Grand Fortune Securities Investment Consulting Co., Ltd | —                                                                          | —    | —        | —        |

Note 1 : It should include the information of the general manager, deputy general manager, assistant manager, heads of various departments and branches, and any position equivalent to general manager, deputy general manager or assistant manager, regardless of the title, should also be disclosed.

Note 2 : The experience related to the current position, if you have worked in the audit and visa accounting firm or related companies during the previous disclosure period, the title and responsible position should be stated.

Note 3 : When the general manager or equivalent (top manager) and the chairman are the same person, spouse or first-degree relative, the reasons, rationality, necessity and countermeasures should be disclosed (such as increasing the number of independent directors, and there should be more than half of the directors who are not concurrently serving as an employee or manager); No such item.

Note 4 : Lin, Ying-Ming resigned on Dec. 31, 2024, and was succeeded by Chen, Sung-Cheng (whose CEO qualification was approved by the Financial Supervisory Commission on March 7, 2025).

### III. Remunerations to directors, president and vice presidents in 2024.

#### 1. (1.2.1) Remuneration of general directors and independent directors (disclosed in a summarized manner with corresponding salary tiers and names)

Unit: NT\$1,000

| Job Title            | Name                                                                         | Compensation to directors |                                               |                      |                                               |                                      |                                               |                                      |                                               | The total amount of the four items A, B, C and D and their proportion to the net profit after tax (Note10) |                                               | Part-time employees receiving related compensation     |                                               |                      |                                               |                                    |              |                                               |                | The total amount of seven items A, B, C, D, E, F and G and their proportion to the net profit after tax (Note 10) |                                               | Compensation from the Company's invested-in units other than the its subsidiary (Note 11) |
|----------------------|------------------------------------------------------------------------------|---------------------------|-----------------------------------------------|----------------------|-----------------------------------------------|--------------------------------------|-----------------------------------------------|--------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------------------------------------|-----------------------------------------------|----------------------|-----------------------------------------------|------------------------------------|--------------|-----------------------------------------------|----------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------|
|                      |                                                                              | Remuneration (A) (Note 2) |                                               | Pension Benefits (B) |                                               | Director's Remuneration (C) (Note 3) |                                               | Business execution cost (D) (Note 4) |                                               |                                                                                                            |                                               | Salary, bonus, and special expenses, etc. (E) (Note 5) |                                               | Pension Benefits (F) |                                               | Employee compensation (G) (Note 6) |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
|                      |                                                                              | The Company               | The companies in financial statement (Note 7) | The Company          | The companies in financial statement (Note 7) | The Company                          | The companies in financial statement (Note 7) | The Company                          | The companies in financial statement (Note 7) | The Company                                                                                                | The companies in financial statement (Note 7) | The Company                                            | The companies in financial statement (Note 7) | The Company          | The companies in financial statement (Note 7) | The Company                        |              | The companies in financial statement (Note 7) |                | The Company                                                                                                       | The companies in financial statement (Note 7) |                                                                                           |
| Cash Amount          | Stock Amount                                                                 |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               | Cash Amount                        | Stock Amount |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Chairman             | Huang, Ping-Chun                                                             | 23,266                    | 24,495                                        | -                    | -                                             | 8,640                                | 8,640                                         | 147                                  | 147                                           | 32,053<br>7.32%                                                                                            | 33,282<br>7.60%                               | -                                                      | 1,500                                         | -                    | -                                             | -                                  | -            | -                                             | -              | 32,053<br>7.32%                                                                                                   | 34,782<br>7.94%                               | 3,274                                                                                     |
| Director             | Huang Hsien-Hua                                                              |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Director             | Cheng, Keng-I                                                                |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Director             | Representative of Cai Ci Er asset management(Note 3): Lin, Huo-Teng (Note 1) |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Director             | Dayou Investment Co., Ltd. Rep: Li, Ching-Jung                               |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Director             | Huang, Chih-Chiang                                                           |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Independent Director | Lo, Neng-Ching                                                               | 1,800                     | 1,800                                         | -                    | -                                             | -                                    | -                                             | 126                                  | 126                                           | 1,926<br>0.44%                                                                                             | 1,926<br>0.44%                                | -                                                      | -                                             | -                    | -                                             | -                                  | -            | -                                             | 1,926<br>0.44% | 1,926<br>0.44%                                                                                                    | None                                          |                                                                                           |
| Independent Director | Hsu, Mei-Li                                                                  |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Independent Director | Wu, Chung-Chun                                                               |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |
| Independent Director |                                                                              |                           |                                               |                      |                                               |                                      |                                               |                                      |                                               |                                                                                                            |                                               |                                                        |                                               |                      |                                               |                                    |              |                                               |                |                                                                                                                   |                                               |                                                                                           |

1. Please describe the compensation policy, system, standards, and structure for Independent Directors, and explain the relationship between their responsibilities, risks, time investment, and the amount of compensation: The Company's compensation to directors is based on the salary and remuneration methods for directors and functional committees. Compensation is determined by their involvement in company operations, with travel expenses provided according to the number of meetings attended, and director compensation is allocated according to the Company's Articles of Incorporation. However, Independent Directors do not receive compensation in the remuneration distribution plan resolved by the Board of Directors. The described compensation is positively related to business performance and future risks.
2. Except as disclosed in the above table, the remuneration received by the directors of the company for providing services to all companies in the financial report (such as serving as a consultant for non-employees, etc.) in the most recent year: None.  
(Note 1) On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng (currently serving as the CEO of The Company) was appointed.

(1.2.2) Remuneration bracket:

| The compensation range for compensation to directors of the Company. | Name of Director                                                  |                                                                   |                                                                   |                                                                   |
|----------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------|
|                                                                      | Total of (A+B+C+D)                                                |                                                                   | Total of (A+B+C+D+E+F+G)                                          |                                                                   |
|                                                                      | The Company (Note 8)                                              | The companies in financial statement (Note 9)                     | The Company (Note 8)                                              | The companies in financial statement (Note 9)                     |
| Less than \$1,000,000                                                | Li, Ching-Jung,<br>Lo, Neng-Ching,<br>Hsu, Mei-Li, Wu, Chung-Chun | Li, Ching-Jung,<br>Lo, Neng-Ching,<br>Hsu, Mei-Li, Wu, Chung-Chun | Li, Ching-Jung,<br>Lo, Neng-Ching,<br>Hsu, Mei-Li, Wu, Chung-Chun | Li, Ching-Jung,<br>Lo, Neng-Ching,<br>Hsu, Mei-Li, Wu, Chung-Chun |
| \$1,000,000 (inclusive) to Less than \$2,000,000                     | Huang, Chih-Chiang                                                | Lin, Huo-Teng, Huang, Chih-Chiang                                 | Huang, Chih-Chiang                                                | Huang, Chih-Chiang                                                |
| NT\$2,000,000(included)~NT\$3,500,000(not included)                  | Lin, Huo-Teng (Note 12)                                           | Lin, Huo-Teng                                                     | Lin, Huo-Teng                                                     | Lin, Huo-Teng                                                     |
| \$3,500,000 (inclusive) to \$5,000,000 (exclusive)                   |                                                                   |                                                                   |                                                                   |                                                                   |
| \$5,000,000 (inclusive) to Less than \$10,000,000 (exclusive)        | Huang Hsien-Hua,<br>Cheng, Keng-I                                 | Huang Hsien-Hua,<br>Cheng, Keng-I                                 | Huang Hsien-Hua,<br>Cheng, Keng-I                                 | Huang Hsien-Hua,<br>Cheng, Keng-I                                 |
| \$10,000,000 (inclusive) to Less than \$15,000,000 (exclusive)       | Huang, Ping-Chun                                                  | Huang, Ping-Chun                                                  | Huang, Ping-Chun                                                  | Huang, Ping-Chun                                                  |
| \$15,000,000 (inclusive) to Less than \$30,000,000 (exclusive)       |                                                                   |                                                                   |                                                                   |                                                                   |
| \$30,000,000 (inclusive) to Less than \$50,000,000 (exclusive)       |                                                                   |                                                                   |                                                                   |                                                                   |
| \$50,000,000 (inclusive) to Less than \$100,000,000 (exclusive)      |                                                                   |                                                                   |                                                                   |                                                                   |
| More than \$100,000,000                                              |                                                                   |                                                                   |                                                                   |                                                                   |
| Total                                                                | 9                                                                 | 9                                                                 | 9                                                                 | 9                                                                 |

Note 1 : The names of directors should be listed separately (legal-person shareholders should list the names of legal-person shareholders and their representatives separately), and general directors and independent directors should be listed separately, and various payment amounts should be disclosed in aggregate. If the director concurrently serves as the general manager or deputy general manager, this form and the following table (3-1), or the following table (3-2-1) and (3-2-2) shall be filled out.

Note 2 : Refers to the director's remuneration in the most recent year (including director salary, position bonus, severance pay, various bonuses, incentives, etc.).

Note 3 : The amount of directors' remuneration distributed by the board of directors in the most recent year.

- Note 4 : Refers to the relevant business execution expenses of the directors in the most recent year (including travel expenses, special expenses, various allowances, dormitory, car allocation and other in-kind provision, etc.). When providing houses, cars and other means of transportation or exclusive personal expenses, the nature and cost of the provided assets, actual or reasonable value rents, fuel and other payments should be disclosed. In addition, if there is a driver, please note the relevant remuneration paid by the company to the driver, but it is not included in the remuneration.
- Note 5 : Refers to the salary, position bonus, severance pay, various bonuses, incentives, travel expenses, special expenses, various subsidies, dormitories, vehicles, etc. received by directors concurrently serving as employees (including concurrently serving as CEO, vice president, other managers, and employees) in the most recent year. When providing houses, cars and other means of transportation or exclusive personal expenses, the nature and cost of the provided assets, actual or reasonable value rents, fuel and other payments should be disclosed. In addition, if there is a driver, please note the relevant remuneration paid by the company to the driver, but it is not included in the remuneration. Salary expenses recognized in accordance with IFRS 2 "Share-Based Payments", including obtaining employee stock option certificates, restricting employees' rights to new shares, and participating in cash capital increase subscription shares, etc., should also be included in the remuneration.
- Note 6 : Received employee remuneration (including stock and cash) for directors and employees (including concurrently serving as general manager, deputy general manager, other managers and employees) in the most recent year. If it is impossible to estimate, the proposed distribution amount for this year shall be calculated according to the proportion of the actual distribution amount of last year, and the third table of Appendix 1-3 shall be filled out.
- Note 7 : The total amount of remuneration paid to the directors of the company by all companies (including the company) in the consolidated report should be disclosed.
- Note 8 : The company pays the total amount of remuneration to each director and discloses the director's name in the attribution level.
- Note 9 : The total amount of remuneration paid to each director of the company by all companies (including the company) in the consolidated report should be disclosed, and the name of the director should be disclosed in the attribution level.
- Note 10 : Net profit is based on the latest fiscal year, and means the net profit stated in the separate or non-consolidated financial statements, if based on the IFRS method.
- Note 11 :
- A. This column should clearly indicate the amount of remuneration received by the director of the company from the subsidiary's outsourced investment business or the parent company (if there is none, please fill in "None").
  - B. If the director of the company receives remuneration from the subsidiary's reinvestment business or the parent company, the remuneration received by the company director from the subsidiary's reinvestment business or the parent company shall be included in the I column of the remuneration scale table. Change the field name to "Parent Company and All Subsidiaries".
  - C. The contents of remuneration disclosed in this table are different from the income concept under the Income Tax Law, so this table is intended for information disclosure and not for taxation purposes.
- Note 12 : On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng (currently serving as the CEO of The Company) was appointed.
2. Remuneration to supervisor: The Company on December 18, 2014 has set up an audit committee, and the item is not applicable.

(3.2.1) Remuneration to president and vice president (summarized with name and title )

Unit: NT\$1,000

\* Regardless of titles, disclosures must be provided for the person with position equivalent to president and vice president, such as chief, CEO and general director, etc.

| Job Title                   | Name                            | Base compensation (A)<br>(Note 2) |                                                           | Pension Benefits (B) |                                                           | Bonus and allowance<br>(C) (Note 3) |                                                           | Remuneration to employee (D) (Note 4) |              |                                                  |              | The total amount of items A, B, C,<br>and D and the proportion of net profit<br>after tax. |                                                     | Compensation<br>from the<br>Company's<br>invested-in<br>units other than<br>the its<br>subsidiary<br>(Note 9) |
|-----------------------------|---------------------------------|-----------------------------------|-----------------------------------------------------------|----------------------|-----------------------------------------------------------|-------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------|--------------------------------------------------|--------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------------------------------------------------------------------------|
|                             |                                 | The<br>Company                    | The<br>companies<br>in financial<br>statement<br>(Note 5) | The<br>Company       | The<br>companies<br>in financial<br>statement<br>(Note 5) | The<br>Company                      | The<br>companies<br>in financial<br>statement<br>(Note 5) | The Company                           |              | The companies in financial<br>statement (Note 5) |              | The Company                                                                                | The companies in<br>financial statement<br>(Note 5) |                                                                                                               |
|                             |                                 |                                   |                                                           |                      |                                                           |                                     |                                                           | Cash Amount                           | Stock Amount | Cash Amount                                      | Stock Amount |                                                                                            |                                                     |                                                                                                               |
| General<br>Manager          | Chen,<br>Sung-Cheng<br>(Note 1) | 17,715                            | 17,715                                                    | -                    | -                                                         | 22,596                              | 22,596                                                    | 4,320                                 | -            | 4,320                                            | -            | 44,631<br>10.19%                                                                           | 44,631<br>10.19%                                    | None                                                                                                          |
| General<br>Manager          | Lin,<br>Ying-Ming<br>(Note 1)   |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Executive vice<br>president | Shen,<br>Hsin-Hsien             |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Shih,<br>Wei-Chou               |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Huang,<br>Chun-Jung             |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Hung, Liang<br>[Note 2]         |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Cheng,<br>Chih-Wen              |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Lan, Shun-Te<br>(Note 3)        |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |
| Deputy General<br>Manager   | Wang,<br>Mei-Chuan<br>[Note 4]  |                                   |                                                           |                      |                                                           |                                     |                                                           |                                       |              |                                                  |              |                                                                                            |                                                     |                                                                                                               |

\* Regardless of titles, disclosures must be provided for the person with position equivalent to president and vice president, such as chief, CEO and general director, etc.

Note 1 : Lin, Ying-Ming resigned on Dec. 31, 2024, and was succeeded by Chen, Sung-Cheng (whose CEO qualification was approved by the Financial Supervisory Commission on March 7, 2025).

Note 2 : Stepped down in July 2024. [Note 3] Take office in July 2024. [Note 4] Started from August 2024.

(3.2.2) Remuneration bracket:

| The compensation range for the General Manager and Deputy General Manager of the Company. | Name of the General Manager and Deputy General Manager           |                                                          |
|-------------------------------------------------------------------------------------------|------------------------------------------------------------------|----------------------------------------------------------|
|                                                                                           | The Company (Note 6)                                             | The companies in financial statement (Note 7)            |
| Less than \$1,000,000                                                                     |                                                                  |                                                          |
| \$1,000,000 (inclusive) to Less than \$2,000,000                                          |                                                                  |                                                          |
| \$2,000,000 (inclusive) to \$3,500,000 (exclusive)                                        | Hung Liang(Note 1), Huang Chun-Jung, Lan Shun-Te, Wang Mei-Chuan | Hung Liang, Huang Chun-Jung, Lan Shun-Te, Wang Mei-Chuan |
| \$3,500,000 (inclusive) to \$5,000,000 (exclusive)                                        | Lin, Ying-Ming, Shih, Wei-Chou                                   | Lin, Ying-Ming, Shih, Wei-Chou                           |
| \$5,000,000 (inclusive) to Less than \$10,000,000 (exclusive)                             | Shen, Hsin-Hsien, Chen, Sung-Cheng                               | Shen, Hsin-Hsien, Chen, Sung-Cheng                       |
| \$10,000,000 (inclusive) to Less than \$15,000,000 (exclusive)                            | Cheng, Chih-Wen                                                  | Cheng, Chih-Wen                                          |
| \$15,000,000 (inclusive) to Less than \$30,000,000 (exclusive)                            |                                                                  |                                                          |
| \$30,000,000 (inclusive) to Less than \$50,000,000 (exclusive)                            |                                                                  |                                                          |
| \$50,000,000 (inclusive) to Less than \$100,000,000 (exclusive)                           |                                                                  |                                                          |
| More than \$100,000,000                                                                   |                                                                  |                                                          |
| Total                                                                                     | 9                                                                | 9                                                        |

Note 1 : Names of president and vice presidents shall be tabulated in the corresponding columns for their respective totals of remuneration. If the director concurrently serves as the general manager or deputy general manager, this form and the table above (1-1), or (1-2-1) and (1-2-2) shall be filled out.

Note 2 : The sums of salary, compensation and severance pay to president and vice presidents in the current year.

Note 3 : Bonus and allowance include traveling fee, special allowances, stipend, boarding fee, and fee related to company cars and designated drivers, etc. When providing houses, cars and other means of transportation or exclusive personal expenses, the nature and cost of the provided assets, actual or reasonable value rents, fuel and other payments should be disclosed. In addition, if there is a driver, please note the relevant remuneration paid by the company to the driver, but it is not included in the remuneration. Salary expenses recognized in accordance with IFRS 2 "Share-Based Payments", including obtaining employee stock option certificates, restricting employees' rights to new shares, and participating in cash capital increase subscription shares, etc., should also be included in the remuneration.

Note 4 : Remuneration to employee means the compensation received by the Company's president and vice presidents, including the compensation in the form of cash dividend and stock dividend. The compensation amount, if not available, can be calculated on a prior-year pro rata basis of the proposed amount to be paid in the current year, and shall be stated in the table 1-3. Net profit is based on the latest fiscal year, and means the net profit stated in the separate or non-consolidated financial statements, if based on the IFRS method.

Note 5 : The sums of remuneration paid to president and vice presidents stated in the Company and all of the companies stated in consolidated financial statement.

Note 6 : Names of president and vice presidents must be stated in the corresponding column based on the remuneration paid by the Company.

Note 7 : Names of president and vice presidents must be stated in the corresponding column based on the sums of remuneration paid by the Company and all of the companies mentioned in the financial statement.

Note 8 : Net profit is based on the latest fiscal year, and means the net profit stated in the separate or non-consolidated financial statements, if based on the IFRS method.

Note 9 :  
a. The amount of remuneration received by president and vice presidents from the parent company and the invested-in units other than subsidiaries. A "none" shall be written in the column, if there's no such compensation.

- b. In the cases that president and vice presidents receive remuneration from the parent company and the Company's invested-in units other than subsidiaries, the remuneration amount has to be included for calculation of remuneration. The name of the column must be renamed as "remuneration from the parent company and all of the invested-in units".
- c. Remuneration refers to the remuneration, remuneration (including employee, director and supervisor remuneration) and business execution expenses received by the president and vice president of the company as directors, supervisors or managers of subsidiaries, foreign invested businesses or parent companies.

Note 1. Stepped down in July 2024.

Note 2. Take office in July 2024.

Note 3. Newly appointed in August 2024.

4. Names of managers receiving remuneration, and status of allocation thereof

|         | Title (Note 1)           | Name (Note 1)            | Stock Amount | Cash Amount | Total  | Total of net profit (%) |
|---------|--------------------------|--------------------------|--------------|-------------|--------|-------------------------|
| Manager | General Manager          | Lin, Ying-Ming (Note 1)  | 0            | 10,560      | 10,560 | 2.41%                   |
|         | Executive vice president | Shen, Hsin-Hsien         |              |             |        |                         |
|         | Senior Vice president    | Huang, Chun-Jung         |              |             |        |                         |
|         | Deputy General Manager   | Chen, Sung-Cheng         |              |             |        |                         |
|         | Deputy General Manager   | Vice General Manager     |              |             |        |                         |
|         | Deputy General Manager   | Hung, Liang [Note 2]     |              |             |        |                         |
|         | Deputy General Manager   | Cheng, Chih-Wen          |              |             |        |                         |
|         | Deputy General Manager   | Lan, Shun-Te [Note 3]    |              |             |        |                         |
|         | Deputy General Manager   | Wang, Mei-Chuan [Note 4] |              |             |        |                         |
|         | Senior Associate         | Chen, Yun-Chi            |              |             |        |                         |
|         | Associate                | Li, Li-Ling              |              |             |        |                         |
|         | Associate                | Hsu, Pin-Wei             |              |             |        |                         |
|         | Associate                | Hsieh, Cho-Mei           |              |             |        |                         |
|         | Associate                | Wang, Kuo-Lien           |              |             |        |                         |
|         | Associate                | Huang, Shen-Hui          |              |             |        |                         |
|         | Associate                | Chiu, Meng-Chao          |              |             |        |                         |
|         | Associate                | Chan, Yao-Hung           |              |             |        |                         |
|         | Associate                | Huang, Chun-I            |              |             |        |                         |
|         | Associate                | Yang, Mu-Yu              |              |             |        |                         |
|         | Associate                | Wu, Wen-Jui              |              |             |        |                         |
|         | Associate                | Chu, Shih-Cheng          |              |             |        |                         |

|  |           |                 |  |  |  |  |
|--|-----------|-----------------|--|--|--|--|
|  | Associate | Huang, Wan-Chen |  |  |  |  |
|--|-----------|-----------------|--|--|--|--|

Note 1 : Names shall be tabulated in the corresponding column for their respective totals of remuneration.

Note 2 : Remuneration to employee means the compensation received by the Company's managers, including the compensation in the form of cash dividend and stock dividend. The compensation amount, if not available, can be calculated on a prior-year pro rata basis of the proposed amount to be paid in the current year. Net profit is based on the latest fiscal year, and means the net profit stated in the separate or non-consolidated financial statements, if based on the IFRS method.

Note 3 : The scope of application for Managers is defined according to the ruling issued by this Commission on 2003.03.27, Tai-Tsai-Cheng (III) No. 0920001301, as follows:  
(1) Definition of managers: president and the equivalent position, (2) Vice president and the equivalent position, (3) Assistant vice president and the equivalent position, (4) chief in the financial department, (5) chief in the accounting department, (6) persons that are responsible for the Company's management affairs and are qualified to sign the Company's name.

Note 4 : The Company's directors, president and vice president that receive employee remuneration. Including the compensation in the form of cash dividend and stock dividend, must fill out the table in addition to the table 1.2.

[Note 1] Resign from July 2024. [Note 2] Resign from July 2024. [Note 3] Take office in July 2024. [Note 4] Started from August 2024.

5. Disclosure of names and the form of remuneration of the top-five remuneration received by managers of the firms listed on the TWSE and TPEX:  
The item is not available.
6. Comparison of the ratios by calculations of the total remuneration, that is paid to the Company's directors, supervisors, president and vice presidents by the Company and the companies in the consolidated financial statements, as a percentage of net profit in the last two years; description of the policy, standard, and combination of remuneration paid; and procedure of defining remuneration and its relation to business performance and future risks.
  - (1) Total remuneration paid to the Company's directors, supervisors, president, and vice presidents in the past two years as a percentage of net profit:

Unit: NT\$1,000

| Item \ Year                   | 2024                |                        |                                                        |                        | 2023                |                 |                                                        |                 |
|-------------------------------|---------------------|------------------------|--------------------------------------------------------|------------------------|---------------------|-----------------|--------------------------------------------------------|-----------------|
|                               | Paid by the Company | % of net profit (loss) | Paid by companies in consolidated financial statements | % of net profit (loss) | Paid by the Company | % of net profit | Paid by companies in consolidated financial statements | % of net profit |
| Director                      | 33,979              | 7.76%                  | 36,708                                                 | 8.38%                  | 46,910              | 7.50%           | 51,339                                                 | 8.21%           |
| President and vice presidents | 44,631              | 10.19%                 | 44,631                                                 | 10.19%                 | 36,870              | 5.89%           | 36,870                                                 | 5.89%           |
| Total                         | 78,610              | 17.95%                 | 81,339                                                 | 18.57%                 | 83,780              | 13.39%          | 88,209                                                 | 14.10%          |

The director's remuneration for 2024 decreased by 28.50% compared to 2023, while the manager's remuneration increased by 21.05%. This change was mainly due to a 30.00% decrease in post-tax net profit, from NT\$625,603 thousand in 2023 to NT\$437,893 thousand in 2024.

- (2) Remuneration policy, standard and combination; relation between remuneration procedure, corporate performance and future risk
- A. Remuneration paid to directors and independent directors includes traveling fee, execution fee and bonus from the Company's profit-sharing program is subject to the Articles of Incorporation, Article 24, and is finalized by the board of directors and remuneration committee which together consider the peers' levels, participation degree and contribution extent.
- B. Remuneration paid to president and vice presidents includes salary and severance pay, with salary level based on the peers' levels, risk adjustment and contribution degree to the Company, while remuneration paid to employee is based on the Articles of Incorporation, Article 28, and is approved by the board of director and the shareholder meeting.

Remuneration policy and standard subject to the Articles of Incorporation and personnel regulation are as follows:

| Item/Person                                          | Directors (including independent directors)                                                                                                                                                                                                                                                                                                                                                                 | Manager                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Policy                                               | Directors receive remuneration on the basis of supervision duties delegated by shareholder meetings.                                                                                                                                                                                                                                                                                                        | Managers receive remuneration on the basis of management duties and professional experience.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Standard and combination                             | <ol style="list-style-type: none"> <li>1. Remuneration: Based on the peers' levels, participation degree and contribution extent.</li> <li>2. Execution fee: Based on the fee arising from execution.</li> <li>3. Bonus: Based on the 1.5% to 2.5% of the Company's annual net profit.</li> </ol>                                                                                                           | <ol style="list-style-type: none"> <li>1. Fixed monthly salary: Based on the job positions.</li> <li>2. Bonus: Based on the performance after evaluation.</li> <li>3. Compensation: Based on the 1.5% to 2.5% of the Company's annual net profit.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| Procedure in deciding the amount                     | <p>The board of director, taking into the considerations of CSR effect, approves the remuneration proposed by directors and remuneration committee.</p> <p>The remuneration is appropriated by the percentage of the pre-tax profit and is decided by directors and the committee.</p> <p>The paid amount will be adjusted at the current fiscal year, if rounded up by NT\$10,000.</p>                     | <p>The board of director and the remuneration committee, taking into considerations of the Company's policy and the CSR effect, approves the remuneration to be paid to managers. (including the promotion of ESG benefits.)</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Performance-responsive compensation evaluation items | <ol style="list-style-type: none"> <li>A. Mastery of company goals and tasks (%)</li> <li>B. Directors' responsibilities awareness (%)</li> <li>C. Participation in the company's operations (%)</li> <li>D. Internal relationship management communication (%)</li> <li>E. Professional and continuing education of directors (%)</li> <li>F. Internal control (%)</li> <li>G. Other items (%).</li> </ol> | <ol style="list-style-type: none"> <li>(1) General Manager and Executive Vice President: Organizational Development (20%), Operation (35%), business (20%), finance (25%)</li> <li>(2) Business unit managers: business objectives and customer management (60%-80%), risk control (10%-15%), project industry services (10%-25%)</li> <li>(3) Managers of administrative units: administrative management (70%-80%), Risk control (10%-15%), project business (10%-15%).</li> <li>(4) Employees: by unit, level, and function set corresponding indicators and give appropriate weights, according to the annual indicators are used to evaluate the performance of each employee.</li> </ol> |

Based on the description above, the Company's remuneration to directors, president and vice presidents are in positive relation with the Company's performance and future risks.

Note: For the specific content of the evaluation indicators for the remuneration of directors, managers and employees, please refer to the

company website for details. Measures to reflect compensation of managers and employees.

#### IV. Corporate governance implementation status

(I) Board of directors implementation status (Table 1)

1. From January 1, 2024, to March 10, 2025, the board of directors held 9 meetings (A) The attendance of directors is as follows:

| Job Title                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Name (Note 1)                                      | Attendance in person (B) | By Proxy | Attendance rate (%) (B/A) | Note                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------|--------------------------|----------|---------------------------|------------------------------|
| Chairman                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Huang, Ping-Chun                                   | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Huang Hsien-Hua                                    | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Lin, Huo-Teng (Note)                               | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Cheng, Keng-I                                      | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Dayou Investment Co., Ltd.<br>Rep.: Li, Ching-Lung | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Huang, Chih-Chiang                                 | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Lo, Neng-Ching                                     | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Hsu, Mei-Li                                        | 9                        | 0        | 100%                      | Required attendance times: 9 |
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Wu, Chung-Chun                                     | 9                        | 0        | 100%                      | Required attendance times: 9 |
| <p>Note: On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng was appointed.</p> <p>Note 1 : Names of an institutional shareholder, as being a director, must be disclosed along with the representative name of the institution.</p> <p>Note 2 : (1) Date of resignation and attendance rate during the term must be described in the column of remarks by a director who resigns before a fiscal year.</p> <p>(2) If there is a re-election of directors before the end of a fiscal year, both new and old directors should be listed, with the status as former, new, or re-elected and the re-election date noted in the Remarks column. The attendance rate (%) is calculated based on the number of Board of Director meetings held during their term and their actual attendances.</p> |                                                    |                          |          |                           |                              |

The meeting is attended by one independent director at least. [✓]

| Date<br>Name   | January<br>29, 2024 | March<br>12, 2024 | May<br>6, 2024 | July<br>11, 2024 | Aug.<br>13, 2024 | October<br>22, 2024 | December<br>17, 2024 | January<br>22, 2025 | February<br>25, 2025 |
|----------------|---------------------|-------------------|----------------|------------------|------------------|---------------------|----------------------|---------------------|----------------------|
| Lo, Neng-Ching | ✓                   | ✓                 | ✓              | ✓                | ✓                | ✓                   | ✓                    | ✓                   | ✓                    |
| Hsu, Mei-Li    | ✓                   | ✓                 | ✓              | ✓                | ✓                | ✓                   | ✓                    | ✓                   | ✓                    |
| Wu, Chung-Chun | ✓                   | ✓                 | ✓              | ✓                | ✓                | ✓                   | ✓                    | ✓                   | ✓                    |

Other mentionable items:

- If any of the following circumstances happens in the operation of the Board of Directors, it shall describe the date, term, agenda, and opinion of independent directors and the Company's treatment of these opinions: Please refer to page 62-64 the parts of major resolutions by the shareholder meeting and the board of director.
  - The provision of the Securities and Exchange Act, Article 14-3:
  - In addition to the previous provisions, other resolutions of the Board meeting against which independent directors make objections or reserve opinions or submit written statements: None.
- Director's name, contents of motion, causes for avoidance and voting shall be specified, if there are director's avoidance of motions in conflict of interest.

| Meeting date     | Directors absent in meeting                                          | Content of motion                                                                                  | Cause for avoidance                                                                                                                        | Resolutions results                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|------------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| January 29, 2024 | Director Huang Hsien-Hua recused himself in accordance with the law. | The matter of the remuneration payout for executives and subsidiary managers for the 2022 and 2023 | The directors are individuals who serve as directors of the subsidiary company (Grand Fortune Securities Co., Ltd., legal representative). | <ol style="list-style-type: none"> <li>During the discussion on the bonus payout for Huang Hsien-Hua, General Manager of the subsidiary, Huang Hsien-Hua, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law. The resolution was passed successfully.</li> <li>Apart from the interested director abstaining from participating in the discussion and voting on this matter, the Chairman sought the unanimous consent of all other attending directors, and the proposal was passed accordingly.</li> </ol> |

|  |  |                                                                       |                                                                                                    |                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |
|--|--|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  |  | Huang Hsien-Hua, Lin, Huo-Teng, Cheng, Keng-I, Huang, Ping-Chun (CEO) | Discussion on the remuneration distribution for the Chairman and Executive Directors for the 2023. | The party abstained from their lawful interests. | <ol style="list-style-type: none"> <li>1. During the discussion on the bonus payout for Huang Hsien-Hua, General Manager of the subsidiary, Huang Hsien-Hua, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law. The resolution was passed successfully.</li> <li>2. During the discussion on the bonus payout for Lin, Huo-Teng of the subsidiary, Lin, Huo-Teng, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law. The resolution was passed successfully.</li> <li>3. During the discussion on the bonus payout for Cheng, Keng-I of the subsidiary, Cheng, Keng-I, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law.</li> <li>4. During the discussion on the bonus payout for Huang Bing-Jing of the subsidiary, Huang, Ping-Chun, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law. The meeting was chaired by Director Cheng, Keng-I and the resolution was passed successfully.</li> </ol> |  |
|--|--|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

|  |               |                                                                                                                                                                                                                                                                                                                    |                                                                  |                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |
|--|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  | July 11, 2024 | Huang, Ping-Chun, as CEO, recused himself in accordance with the law. Director Huang Hsien-Hua, Director Cheng, Keng-I, Director Huang, Chih-Chiang, and Director Lin, Huo-Teng, Representative of Cai Ci Er Asset Management Co., Ltd.(Note 3), Dayou Investment Co., Ltd. Representative Li, Ching-Jung Director | Distribution of directors' remuneration for 2023 by The Company. | The party abstained from their lawful interests. | <ol style="list-style-type: none"> <li>1. During the discussion on the allocation for Huang, Ping-Chun, the CEO, Huang, Ping-Chun, recused himself in accordance with the law and did not join the discussion. The meeting was chaired by Lin, Huo-Teng, acting as Chairman.</li> <li>2. During the discussion on the allocation for Directors Huang Hsien-Hua, Cheng, Keng-I, and Huang, Chih-Chiang, each recused themselves in accordance with the law and did not join the discussion.</li> <li>3. During the discussion on the allocation for Cai Ci Er Asset Management Co., Ltd.(Note 3), Director Lin, Huo-Teng, as the Representative, recused himself in accordance with the law and did not join the discussion.</li> <li>4. During the discussion on the allocation for Dayou Investment Co., Ltd., Director Li, Ching-Jung, as the Representative, recused himself in accordance with the law and did not join the discussion.</li> </ol> |  |
|--|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                  |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                   |                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | January 17, 2025 | Huang Hsien-Hua, as a Director, recused himself in accordance with the law.<br>Lin, Huo-Teng, as a Director, recused himself in accordance with the law, and<br>Cheng, Keng-I, as a Director, recused himself in accordance with the law.<br>When the case of issuing to CEO Huang, Ping-Chun was discussed, he recused himself in accordance with the law. | Discussion on the remuneration distribution for the Chairman, Executive Directors, managers, and subsidiary managers for the years 2023 and 2024. | The party abstained from their lawful interests.                                                                                               | <div>1. During the discussion on the bonus payout for Huang Hsien-Hua, General Manager of the subsidiary, Huang Hsien-Hua, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law.</div> <div>2. During the discussion on the bonus payout for Lin, Huo-Teng of the subsidiary, Lin, Huo-Teng, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law.</div> <div>3. During the discussion on the bonus payout for Cheng, Keng-I of the subsidiary, Cheng, Keng-I, as a director, recused himself from participating in the deliberation and voting on this matter in accordance with the law. The resolution was passed successfully.</div> <div>4. During the discussion on the bonus payout for Huang Bing-Jing, the CEO, Huang, Ping-Chun recused himself in accordance with the law, and the meeting was chaired by Director Cheng, Keng-I, acting as Chairman.</div> |  |
| 3. Disclosures must be provided by the board of directors of TWSE/TPEX listed companies regarding self- or peer-evaluation, covering the evaluation cycle, period, scope, method, and content, with the evaluation details outlined in Table 2(2) of the board's evaluation implementation status. Please refer to detailed explanation of (2) the board's evaluation implementation status:<br>Implementation status of the evaluation by the board of director: |                  |                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                   |                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Frequency        | Period                                                                                                                                                                                                                                                                                                                                                      | Scope                                                                                                                                             | Method                                                                                                                                         | Content                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Once in a year   | Performance evaluation from January 1, 2024, to December 31, 2024.                                                                                                                                                                                                                                                                                          | Board of Director                                                                                                                                 | Full evaluation must be activated in accordance with the Article 6 under the Company's procedures for evaluating performance of the directors. | Evaluations for the board of director shall cover the five aspects in the following:<br>1. Participation degree for the Company's operation<br>2. Upgrade of decision quality<br>3. Composition and structure of the board of director<br>4. Directors' election and advanced study<br>5. Internal control                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |

|  |  |  |                                                                                |                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|--|--|--|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |  |  | Individual directors                                                           | Full evaluation must be activated in accordance with the Article 6 under the Company's procedures for evaluating performance of the directors.            | Self- or peer-evaluations of individual directors shall cover the following six aspects:<br>1. Mastery of company goals and tasks<br>2. Directors' responsibilities awareness<br>3. Participation degree for the Company's operation<br>4. Internal relation and communication<br>5. Directors' expertise and continued study<br>6. Internal control                                                                                                   |
|  |  |  | Functional committees (Members of the Audit Committee, Remuneration Committee) | Full evaluation must be activated in accordance with the Article 6 under the Company's procedures for evaluating performance of the functional committee. | The members of the Company's Audit Committee and Remuneration Committee are all current independent directors, totaling three members; evaluations for functional committees shall cover the five aspects in the following:<br>1. Participation degree for the Company's operation<br>2. Cognition for responsibility<br>3. Upgrade of decision quality for functional committees<br>4. Composition and election of the members<br>5. Internal control |

Note 1 : Frequency means the times of activating the evaluation: for example, once in a year.

Note 2 : Period means the evaluation interval: for example, the company's performance evaluation results for 2024 on Jan. 17 2025.

Note 3 : Scope covers the performance evaluations by the board of director, individual directors and functional committees.

Note 4 : Method means the evaluations by the board of director itself, directors themselves, and colleagues, outside specialists, experts or other appropriate channels.

Note 5 : Note Content covers the following items:

- (1) Performance evaluations for the board of director shall include participation degree for the Company's operation, decision quality, composition and structure, advanced study and internal control.
- (2) Performance evaluations for individual directors shall include the Company's objectives and achievement of targets, cognition for responsibility, participation degree for the Company's operation, internal relation and communication, directors' expertise and continued study, and internal control.
- (3) Performance evaluations for functional committees shall include participation degree for the Company's operation, cognition for responsibility, upgrade of decision quality, composition and election of the members and internal control.

4. The attendance of the independent directors at the Board of Director to reinforce the board's function in the current and recent year. For example, setting-up of an audit committee and upgrade of information transparency.

(II) Operation of the audit committee:

1. Major works:

| Item/year                                                                                                                                                                                                               | Q1                                                       | Q2                          | Q3                                                                  | Q4                                                                                                                      |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| I. Establishment or amendment of internal control system based on the Securities and Exchange Act, Article 14-1                                                                                                         | (By case)                                                | (By case)                   | (By case)                                                           | (By case)                                                                                                               |
| II. Review of the effectiveness of internal control system                                                                                                                                                              | Declaration of self-assessed reports of internal control |                             |                                                                     |                                                                                                                         |
| III. Pursuant to the Securities and Exchange Act, Article 36-1 to establish and amend the procedures covering asset disposal, trading in financial derivatives, capital lending, endorsement and guarantee.             | (By case)                                                | (By case)                   | (By case)                                                           | (By case)                                                                                                               |
| IV. Items related to personal conflict of interest in directors. [Note 1]                                                                                                                                               |                                                          |                             |                                                                     |                                                                                                                         |
| V. Transactions of major assets and financial derivatives                                                                                                                                                               | (By case)                                                | (By case)                   | (By case)                                                           | (By case)                                                                                                               |
| VI. Major items for capital lending, endorsement and guarantee.                                                                                                                                                         | (By case)                                                | (By case)                   | (By case)                                                           | (By case)                                                                                                               |
| VII. Stocks subscription, offering or private placement of voting rights-included marketable securities                                                                                                                 | (By case)<br>capital increase via new shares offering    |                             | (By case)<br>record date for capital increase and relevant matters. |                                                                                                                         |
| VIII. Delegation, discharge or rewards to CPA.                                                                                                                                                                          | (Temporary none)                                         | (Temporary none)            | (Temporary none)                                                    | (Temporary none)                                                                                                        |
| IX. Delegation and discharge for the chiefs at the financial, accounting and internal audit departments                                                                                                                 | (Temporary none)                                         | (Temporary none)            | (Temporary none)                                                    | (Temporary none)                                                                                                        |
| X. The annual financial report signed or stamped by the chairman of the board, the manager and the accounting supervisor, and the second quarter financial report that must be verified and certified by an accountant. | Q4 Financial statement and earnings distribution         | Q1 Financial statement      | Q2 financial statement                                              | Q3 Financial statement                                                                                                  |
| XI. Other major items stipulated by the Company and competent authorities [Note 2]                                                                                                                                      | Internal auditing (by case)                              | Internal auditing (by case) | Internal auditing (by case)                                         | Internal auditing report, planning for auditing in next fiscal year, and operating budget in next fiscal year (by case) |

Note 1 : Avoidance of motions in conflict of interest (Company's regulation §10, item#1)  
The member must be absent when the interest of the identity or that of the institutional shareholder it represents will risk the interest of the Company. The member determines they should recuse themselves.

Decided by the Board of Director or this committee, members should recuse themselves. The board of director shall make resolutions when the board fails to reach resolutions due to the reasons mentioned above. (Regulation §10 item #2)

Note 2 : Subject to the regulation No. 15/16/22/24 of the Company's internal control principle.

2. Operation of the audit committee: The audit committee convened 9 meetings from 2024 to March 10, 2025.

| Job Title                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Name           | Attendance in person (B) | By Proxy | Attendance rate (%) (B/A) | Note     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------|----------|---------------------------|----------|
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Lo, Neng-Ching | 9                        | -        | 100.00                    | Chairman |
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Hsu, Mei-Li    | 9                        | -        | 100.00                    |          |
| Independent Director                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Wu, Chung-Chun | 9                        | -        | 100.00                    |          |
| <p>Note 1 : The Company at the extraordinary shareholder meeting on December 18, 2014, set up the audit committee to take over the function.</p> <p>Note 2 : At the shareholder meeting on April 14 2023, Hsu, Mei-Li, Wu, Chung-Chun and Lo, Neng-Ching were elected as the Company's independent directors and became the members in the audit committee with the term from April 14 2023, to April 13 2026.</p> <p>Other mentionable items:</p> <p>I. For matters listed in Article 14-5 of the Securities and Exchange Act and other matters that have not been approved by the audit committee but have been approved by more than two-thirds of all directors, the date of the board of directors, the period, the content of the proposal, and the resolution of the audit committee shall be stated. Results and the Company's handling of the Audit Committee's opinion:<br/>Refer to 3.(d) for details on the communication during the audit committee meeting.</p> <p>II. Independent director's name, contents of motion, causes for avoidance and voting shall be specified, if there are independent director's avoidance of motions in conflict of interest:<br/>None</p> <p>III. Communication between independent directors and the audit chief and CPA in the Company's matters related to financial and business conditions.</p> <p>(a) The audit chief report to independent directors for the regular and non-regular auditing reports and is present in the audit meetings with the board of director.<br/>The communications are described as below:<br/>Regular communication:</p> <ol style="list-style-type: none"> <li>1. The auditing and follow-up reports, after being approved, will be sent to each independent director for reference.</li> <li>2. At the Company's quarterly board meeting, independent directors and audit chief will be present. The audit chief reports the internal auditing situation at each board meeting.</li> </ol> <p>Non-regular communication:</p> <ol style="list-style-type: none"> <li>1. Independent directors, by phone or in person, will ask for more details for the matters that need further explanation from the committee.</li> <li>2. The committee will immediately report to each independent director for any violation of laws or the matters that will pose material impairment to the Company.</li> </ol> |                |                          |          |                           |          |

- (b) CPA will communicate with independent directors for the financial conditions on a regular and non-regular basis.
  - 1. The committee will provide its communication papers with the Company's departments in the period preceding and following the Company's preparation of annual, semi-annual and quarterly financial statements, while the CPA will report its process and scope of the auditing of the Company's financial statements, as well as the analysis of the Company's variation in income and equity.
  - 2. If necessarily, the CPA will directly communicate with all of the directors to promulgate relevant regulations and KAM issues, with the meetings being processed smoothly.
- (c) Independent directors have direct communication channels with internal audit and certified accountants, and the communication is good. According to the annual audit plan and actual implementation, the internal audit supervisor reports to the audit committee and interacts with the independent directors in the convened audit committee; the internal audit supervisor reports and communicates with the independent directors in a monthly written audit report. Accountants attend the audit committee held irregularly and communicate and interact with independent directors on financial report review or review, or issues related to finance, taxation, or internal control. The important contents of the communication and interaction between the independent directors and the financial director, accounting director, internal audit director, and certified accountants in the audit committee are recorded in the minutes of the audit committee.
- (d) Main points at the audit committee meetings in the recent fiscal year, up to the date of the report printed, are as follows:

Note 1 : The Company at the extraordinary shareholder meeting on December 18, 2014, set up the audit committee to take over the function.

Note 2 : At the shareholder meeting on April 14 2023, Hsu, Mei-Li, Wu, Chung-Chun and Lo, Neng-Ching were elected as the Company's independent directors and became the members in the audit committee with the term from April 14 2023, to April 13 2026.

Other mentionable items:

- I. For matters listed in Article 14-5 of the Securities and Exchange Act and other matters that have not been approved by the audit committee but have been approved by more than two-thirds of all directors, the date of the board of directors, the period, the content of the proposal, and the resolution of the audit committee shall be stated. Results and the Company's handling of the Audit Committee's opinion:  
Refer to 3.(d) for details on the communication during the audit committee meeting.
- II. Independent director's name, contents of motion, causes for avoidance and voting shall be specified, if there are independent director's avoidance of motions in conflict of interest: None
- III. Communication between independent directors and the audit chief and CPA in the Company's matters related to financial and business conditions.
  - (a) The audit chief report to independent directors for the regular and non-regular auditing reports and is present in the audit meetings with the board of director. The communications are described as below:  
Regular communication:
    - 1. The auditing and follow-up reports, after being approved, will be sent to each independent director for reference.
    - 2. At the Company's quarterly board meeting, independent directors and audit chief will be present. The audit chief reports the internal auditing situation at each board meeting.

Non-regular communication:

1. Independent directors, by phone or in person, will ask for more details for the matters that need further explanation from the committee.
  2. The committee will immediately report to each independent director for any violation of laws or the matters that will pose material impairment to the Company.
- (b) CPA will communicate with independent directors for the financial conditions on a regular and non-regular basis.
1. The committee will provide its communication papers with the Company's departments in the period preceding and following the Company's preparation of annual, semi-annual and quarterly financial statements, while the CPA will report its process and scope of the auditing of the Company's financial statements, as well as the analysis of the Company's variation in income and equity.
  2. If necessarily, the CPA will directly communicate with all of the directors to promulgate relevant regulations and KAM issues, with the meetings being processed smoothly.
- (c) Independent directors have direct communication channels with internal audit and certified accountants, and the communication is good. According to the annual audit plan and actual implementation, the internal audit supervisor reports to the audit committee and interacts with the independent directors in the convened audit committee; the internal audit supervisor reports and communicates with the independent directors in a monthly written audit report. Accountants attend the audit committee held irregularly and communicate and interact with independent directors on financial report review or review, or issues related to finance, taxation, or internal control. The important contents of the communication and interaction between the independent directors and the financial director, accounting director, internal audit director, and certified accountants in the audit committee are recorded in the minutes of the audit committee.
- (d) Main points at the audit committee meetings in the recent fiscal year, up to the date of the report printed, are as follows:

| Date                            | Communication items on the agenda                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Securities Act Items listed in §14-5 | Independent directors' opinion and company response | Communicate with independent directors & resolve results              |
|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------|
| 2024.1.29<br>The 4th, 5th times | * Report: Regular audit operations for December 2023, including the monitoring of investments in subsidiary Fuyou Capital Co., Ltd. and Grand Fortune Management Corporation and its affiliated enterprises, as well as the evaluation of the Beiley Biofund Inc. for Q4 2023 and the supplementary report on the assessment of anti-money laundering and counter-terrorism financing risks for the 2023. Additionally, the first report on anti-money laundering and counter-terrorism financing operations for 2024 and the first report on legal compliance execution and legal compliance risk management for 2024. |                                      |                                                     | All passed without objection and submitted to the board of directors. |

|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |   |      |                                                                                                                                                                                                                                                                                                                              |
|--|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |                                                 | <p>* Discussion:</p> <ol style="list-style-type: none"> <li>1. Proposal for the financial report for the fiscal year 2023.</li> <li>2. Pre-review of the independence and suitability of the auditor and pre-review of non-assurance matters.</li> <li>3. Amendment and revision of internal controls systems for computer operations and information provision, outsourcing operations, and the handling and reporting mechanism for significant and unforeseen events.</li> <li>4. Amendment and revision of the subsidiary monitoring procedures, procedures for handling significant and unforeseen events, board meeting regulations, and audit committee organizational regulations.</li> <li>5. Proposal to approve the change of institutional name for Grand Fortune Venture (Stock) Company.</li> </ol>                                                                                                                                                                                      | V | None | <p>All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation.</p> <p>The company's handling of the committee's opinions: All directors present agreed to approve it.</p>                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  | <p>March 12, 2024</p> <p>The 4th, 6th times</p> | <p>* Report : Routine audit operations for the fiscal year 2022. Compliance operations for anti-money laundering and counter-terrorism financing for the 2023, #2 Quarterly supervision for investment in subsidiary companies: Grand Fortune Securities Investment Consulting Co., Ltd., Grand Fortune Management Corporation, and Fuyou Capital Co., Ltd..</p> <p>* Discussion:</p> <ol style="list-style-type: none"> <li>1. Proposal for the 2023 Annual Operating Report.</li> <li>2. Proposal for the distribution of profits for the fiscal year 2023.</li> <li>3. Proposal for the distribution of cash dividends for the fiscal year 2023.</li> <li>4. Proposal for the self-assessment of internal controls systems and the submission of internal control system statements for the fiscal year 2023 (including information security implementation), as well as the submission of statements on anti-money laundering and counter-terrorism financing internal control systems.</li> </ol> | V | None | <p>All passed without objection and submitted to the board of directors.</p> <p>All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation.</p> <p>The company's handling of the committee's opinions: All directors present agreed to approve it.</p> |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |
|  |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | V | None |                                                                                                                                                                                                                                                                                                                              |

|  |                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                            |                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |
|--|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  |                                                | <p>5. Amendment and revision of the internal control system for computer operations and information provision.</p> <p>6. Amendment and revision of the "Bond Department Investment Limit and Risk Management Measures" and the "Grand Fortune Securities Sustainability Report Compilation and Verification Measures."</p>                                                                                                                                                                                                                                                                           | <p>V</p> <p>V</p>          | <p>None</p> <p>*[6]</p>             | <p></p> <p>*[6] Resolution: Amend certain clauses in the regulations!</p>                                                                                                                                                                                                                                                                                                                                                         |  |
|  | <p>May 6, 2024</p> <p>The 4th, 7th times.</p>  | <p>* Report: Q1 2024 supervision for investment in subsidiary companies Fuyou Capital Co., Ltd. and Grand Fortune Management Corporation, and routine audit operations for 2024.</p> <p>* Discussion:</p> <p>1. Proposal for the first quarter financial report for the 2024.</p> <p>2. Amendment of the internal control systems for "Brokerage Commissioned Trading and Transaction Operations," "Equity Services Unit," and "Management for Preventing Insider Trading" for the company.</p> <p>3. Amendment of the Company's "Financial Consumer Dispute and Complaint Handling Procedures."</p> | <p>V</p> <p>V</p> <p>V</p> | <p>None</p> <p>None</p> <p>None</p> | <p>Internal Audit and Independent Director Symposium Communication: Detailed in the web records.</p> <p>All passed without objection and submitted to the board of directors.</p> <p>All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation.</p> <p>The company's handling of the committee's opinions: All directors present agreed to approve it.</p> |  |
|  | <p>July 11, 2024</p> <p>The 4th, 8th times</p> | <p>* Report: Routine audit operations (2024).</p> <p>* Discussion:</p> <p>1. Amendment of the internal control system (including futures trading auxiliary business) for the company.</p> <p>2. Amendment of the Company's "Insider Trading Prevention Management Procedures" and "Subsidiary Monitoring Procedures."</p> <p>3. The Company proposes the issuance of the first domestically unsecured convertible corporate bonds.</p>                                                                                                                                                               | <p>V</p> <p>V</p> <p>V</p> | <p>None</p> <p>None</p> <p>None</p> | <p>All passed without objection and submitted to the board of directors.</p> <p>All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation.</p> <p>The company's handling of the committee's opinions: All directors present agreed to approve it.</p>                                                                                                      |  |

|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |   |      |                                                                                                                                   |
|--|--|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------|-----------------------------------------------------------------------------------------------------------------------------------|
|  |  | Aug. 13, 2024<br>The 4th, 9th times      | <p>* Report: Q2 2024 supervision report for subsidiary companies Fuyou Capital Co., Ltd. and Grand Fortune Management Corporation, routine audit operations report for 2024, and the second report on legal compliance, anti-money laundering, and counter-terrorism financing operations for 2024.</p> <p>* Discussion:</p> <ol style="list-style-type: none"> <li>1. Proposal for the second quarter financial report for 2024.</li> <li>2. Amendment of the internal control system for "Brokerage of Foreign Securities - Brokerage Commissioned Trading and Transaction Operations" for the company.</li> <li>3. Formulation of the Company's "Operational Outsourcing Management Procedures" and regular evaluation review reports.</li> <li>4. Amendment of the Company's "Risk Management Policy" and "Risk Management Procedures."</li> <li>5. Proposal to change the institutional name of the subsidiary, Foryou Capital Corporation.</li> <li>6. The Company's subsidiary, Creative Management Corporation, plans to invest in establishing a new venture capital business.</li> </ol> | V | None | All passed without objection and submitted to the board of directors.                                                             |
|  |  | October 22, 2024.<br>The 4th, 10th times | <p>* Report: 2024 routine audit operations report, report on Fuyou Capital's change of institutional name, and Q3 2024 supervision report for subsidiary companies Grand Fortune Venture (Stock) Company [after Fuyou Capital's name change] and Grand Fortune Management Corporation.</p> <p>* Discussion:</p> <ol style="list-style-type: none"> <li>1. Proposal for the third quarter financial report for 2024.</li> <li>2. Amendment of the internal control system for "Brokerage of Foreign Securities" for the company.</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | V | None | Internal Audit and Independent Director Symposium: Detailed in the web records.                                                   |
|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | V | None | All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation. |
|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | V | None | The company's handling of the committee's opinions: All directors present agreed to approve it.                                   |
|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | V | *[3] | *[3] Resolution: Amend certain clauses in the regulations!                                                                        |
|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | V | None |                                                                                                                                   |
|  |  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | V | None |                                                                                                                                   |

|  |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                              |                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|--|------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |                                          | 3. Amendment of the Company's "Corporate Governance Practices" and "OTC Stock Negotiated Buying and Selling Internal Operation Regulations."                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | V                                            | *[3]                                                        | The company's handling of the committee's opinions: All directors present agreed to approve it.<br><br>*[2/3] Resolution: Amend certain clauses in the regulations!                                                                                                                                                                                                                                                                                                                                                                    |
|  | December 17, 2024<br>The 4th, 11th times | <p>* Report: 2024 routine audit operations report, 2024 assessment report on the core operational systems and equipment for information security, and investigation report on unusual massive purchases of futures ETFs by clients of the Hsinchu Branch.</p> <p>* Discussion:</p> <p>1. Proposal for the operational plan and budget for the fiscal year 2025.</p> <p>2. Proposal for the internal audit operation check plan for the fiscal year 2025.</p> <p>3. Amendment of the internal control system (including futures trading auxiliary IB business) for the company.</p> <p>4. Establishment of the Company's "Internal Control System for the Management of Sustainable Information."</p> <p>5. Amendment of the Company's "Sustainable Development Committee Organizational Regulations," formulation of the "Sustainable Information Management Procedures," and amendment of the "Financial Consumer Dispute and Complaint Handling Procedures."</p> | <p>V</p> <p>V</p> <p>V</p> <p>V</p> <p>V</p> | <p>None</p> <p>None</p> <p>None</p> <p>None</p> <p>None</p> | <p>CPA and Independent Director Communication: Detailed in the annual report (including promulgation of regulations or KAM issues) and other information: Communication: Detailed in the web records.</p> <p>All passed without objection and submitted to the board of directors.</p> <p>All the members present had no objection, and the proposal was approved and submitted to the board of directors for deliberation.</p> <p>The company's handling of the committee's opinions: All directors present agreed to approve it.</p> |



Assessment by the audit committee and the board of director against the independence and competency of the designated CPA and the CPA firm

| <b>Independence</b>                                                                                                                                                                                                                                                                               | <b>Y</b> | <b>N</b> | <b>Note</b>                                          |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|------------------------------------------------------|
| Does the designated CPA act as a general director or an independent director for the Company or its associates?                                                                                                                                                                                   |          | ✓        |                                                      |
| Does the designated CPA act as a related party with the Company?<br>Does the individual have a spousal or second-degree kinship relationship with the Company's responsible person or managerial personnel?<br>Is there an investment or profit-sharing relationship, or any financial borrowing? |          | ✓        |                                                      |
| Does the designated CPA get salary from the Company or its associates?                                                                                                                                                                                                                            |          | ✓        |                                                      |
| Does the designated CPA have continued to provide auditing service for the Company for the seventh straight year?                                                                                                                                                                                 | ✓        |          | Handled according to the [letter] from the CPA firm. |
| Does the designated CPA confirm that its CPA company has complied with relevant requirements of independence? (With reports of independence being provided each year)                                                                                                                             | ✓        |          |                                                      |
| No former partner of the designated CPA serves as a director, supervisor, or manager of the Company or in a position having significant influence on the audit case within one year after leaving his/her office.                                                                                 | ✓        |          |                                                      |
| After assessment that matches the requirements of independence, accountants Hsieh, Chien-Hsin and Chen, Chiang-Hsun are qualified to be designated as the CPAs for the Company.                                                                                                                   |          |          |                                                      |

| <b>Competency</b>                                                                                                                                                                | <b>Y</b> | <b>N</b> | <b>Note</b> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|-------------|
| Do the auditing and tax services not match the requirements?                                                                                                                     |          | ✓        |             |
| Does the designated CPA not communicate well with them with the Company's management team or internal audit chiefs?                                                              |          | ✓        |             |
| Does the Company face any correction from regulators for financial statement, or get involved in any lawsuits in the annual auditing period?                                     |          | ✓        |             |
| Does business or reputation of the designated CPA firm get hurt by any material impact?                                                                                          |          | ✓        |             |
| Are the Audit Quality Indicator (AQI) data provided by the designated CPA firm obtained and considered in the appointment (or reappointment) of the designated CPA for the year? | ✓        |          |             |
| After assessment that matches the requirements of competency, accountants Hsieh, Chien-Hsin and Chen, Chiang-Hsun are qualified to be designated as the CPAs for the Company.    |          |          |             |

### **Declaration**

Recipient: Grand Fortune Securities Co., Ltd.

Subject: The firm intends to accept the entrustment to check the financial statements of your company in the 2024. In accordance with the "Professional Ethics Bulletin No. 10 Integrity, Fairness, Objectivity and Independence" of the National Federation of Certified Public Accountants of the Republic of China, the members of the audit team declare that they have complied with the following Norms, no violation of independence. Note

- I. The members of the audit team and their spouses and dependent relatives did not have the following circumstances:
  - 1. Hold your company's direct or indirect significant financial interests.
  - 2. There is a commercial relationship with your company or its directors, supervisors, and managers that affects independence.
- II. During the audit period, members of the audit team, their spouses and dependent relatives did not serve as directors, supervisors, managers, or positions that have a direct and significant influence on the audit work.
- III. The members of the audit team and the directors, supervisors or managers of your company do not have a spouse, direct blood relative, direct in-laws or second relatives and other internal collateral blood relatives.
- IV. The members of the audit team have not received gifts or gifts of great value from your company or its directors, supervisors, managers or major shareholders.
- V. The members of the audit team have implemented the necessary independence/conflict of interest procedures and have not found any violation of independence or unresolved conflicts of interest.

Deloitte & Touche Taipei, Taiwan Republic of China  
Deloitte & Touche Taipei, Taiwan Republic of China  
CPA Liao, Wan-Yi

Hsieh, Chien-Hsin

(III) Deviations and reasons from “the Corporate Governance Best-Practice Principles for TWSE/TPEX Listed Companies”

| Evaluation items                                                                                                                                                                                                                       | Implementation status |   |                                                                                                                                                                                                                   | Implementation Status Deviation and reason                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                        | Y                     | N | Explanation                                                                                                                                                                                                       |                                                                                                                                                          |
| I. Does the Company establish and disclose the Corporate Governance Best-Practice Principles for TWSE/TPEX Listed Companies”?                                                                                                          | V                     |   | The Company has established and disclosed the Corporate Governance Best-Practice Principles for TWSE/TPEX on its website after revising it in accordance with the competent authority's letter in September 2024. | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| II. Shareholder structure and shareholder interest<br>(I) Does the Company establish the internal operating system to deal with shareholder's suggestion, question, dispute and litigation, or implement the procedure as established? | V                     |   | The Company has established its spokesperson and deputy spokesperson system to handle shareholders' suggestions and disputes.                                                                                     | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| (II) Does the Company possess any list of major shareholders and ultimate owners of those shares?                                                                                                                                      | V                     |   | The Company on a regular basis cooperates with the stock registrar agent to update the list of major shareholders and ensure the stability of operation.                                                          | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |

|                                                                                                                                                                    |   |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| (III) Does the Company establish or execute the risk management and firewall system with its associates?                                                           | V |  | The Company has regulations to supervise operation of subsidiary, and transaction with special firm, conglomerate enterprise and interested party, in order to regulate inter-company's financial activities and internal control, as well as to reduce risk in the group.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| (IV) Does the Company have any rules to forbid the insider trading based on undisclosed information?                                                               | V |  | To prevent any insider trading, the Company at its website indicates its procedure in stopping the spread of the Company's information at will and asking its relevant persons to keep financial secret.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| III. Director's structure and responsibilities<br>(I) Does the board of directors formulate diversity policies, specific management objectives and implement them? | V |  | <p>According to Article 20 of the "Code of Practice on Corporate Governance," the diversity policy of board members considers the company's future business direction. The "Director Selection Procedure" should consider the diversity of members and their professional skills and industry experience in the selection of directors, in order to implement the qualifications and selection procedures of executive directors, thus enhancing decision-making quality that aligns with the business characteristics and positioning. In addition to considering different professional backgrounds and work fields, the composition of the board of directors of the company has the following three goals:</p> <p>(1) The board of directors focuses on operational judgment, business management and crisis management capabilities, and more than 2/3 of the board members should have relevant core items.</p> <p>(2) Independent directors shall not serve for more than 3 consecutive terms in order to maintain their independence.</p> | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |

|                                                                                                                             |                        | <p>(3) Among the directors, the number of employees who are employees of the company, parent, child or brother company should be less than (inclusive) 1/3 of the number of directors to achieve the purpose of supervision.</p> <p>In this term (from April 14, 2023 to April 14, 2026), there are a total of three independent directors and nine directors in total. None of the directors has the identity of an employee. The members of the board of directors have rich management experience, and each has relevant professional backgrounds and has the necessary professional knowledge to perform their duties, skills, and literacy; in the 9 major ability items, at least 2/3 of the members have the ability to execute relevant business.</p> <p>The implementation of the diversity of board members is disclosed on the Company's official website in the ESG section under corporate governance.</p> |                           |                        |                 |                           |                |          |          |          |             |   |   |   |                |   |   |   |                                                                                                                                                          |
|-----------------------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------------|-----------------|---------------------------|----------------|----------|----------|----------|-------------|---|---|---|----------------|---|---|---|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| (II) Does the Company set up any other functional committees in addition to the remuneration committee and audit committee? | V                      | <p>The Company has set up a risk management committee responsible for controlling the risk limits of the company's various business holdings, and established a sustainable development committee to assist the board of directors in planning and promoting sustainable development policies and plans. For the operation of each functional committee, please refer to the ESG section/Corporate Governance/Functional Committees on the official website.</p> <table border="1"> <thead> <tr> <th>Independent Director</th><th>Remuneration committee</th><th>Audit committee</th><th>Risk Management Committee</th></tr> </thead> <tbody> <tr> <td>Lo, Neng-Ching</td><td>V(Chair)</td><td>V(Chair)</td><td>V(Chair)</td></tr> <tr> <td>Hsu, Mei-Li</td><td>V</td><td>V</td><td>V</td></tr> <tr> <td>Wu, Chung-Chun</td><td>V</td><td>V</td><td>V</td></tr> </tbody> </table>                                       | Independent Director      | Remuneration committee | Audit committee | Risk Management Committee | Lo, Neng-Ching | V(Chair) | V(Chair) | V(Chair) | Hsu, Mei-Li | V | V | V | Wu, Chung-Chun | V | V | V | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| Independent Director                                                                                                        | Remuneration committee | Audit committee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Risk Management Committee |                        |                 |                           |                |          |          |          |             |   |   |   |                |   |   |   |                                                                                                                                                          |
| Lo, Neng-Ching                                                                                                              | V(Chair)               | V(Chair)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | V(Chair)                  |                        |                 |                           |                |          |          |          |             |   |   |   |                |   |   |   |                                                                                                                                                          |
| Hsu, Mei-Li                                                                                                                 | V                      | V                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | V                         |                        |                 |                           |                |          |          |          |             |   |   |   |                |   |   |   |                                                                                                                                                          |
| Wu, Chung-Chun                                                                                                              | V                      | V                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | V                         |                        |                 |                           |                |          |          |          |             |   |   |   |                |   |   |   |                                                                                                                                                          |

| (III) Does the Company set up a standard to evaluate director performance, and use it as the references for director remuneration and reappointment?                                                                                                       | V                                 |                                | The company has established the "Board Performance Evaluation Method," conducting regular evaluations of board performance each year and submitting the results for approval at the first board meeting of the following year. The performance evaluation results for 2024 were reported to the Board of Directors in January 2025. The evaluation process and results are disclosed on the official website under the ESG section, specifically in Corporate Governance/Board of Directors.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |                                   |                          |                      |      |                                                                                       |                  |                               |          |  |                                                                   |                  |                                |   |                      |                                                                                                                                                          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|--------------------------|----------------------|------|---------------------------------------------------------------------------------------|------------------|-------------------------------|----------|--|-------------------------------------------------------------------|------------------|--------------------------------|---|----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
| (IV) Does the Company regularly evaluate the independence of CPA?                                                                                                                                                                                          | V                                 |                                | The company annually assesses the independence and suitability of its auditors based on Audit Quality Indicators (AQIs). There have been no instances of disciplinary actions or compromises on the independence of the company's auditors. The operations of the Audit Committee in 2024 are disclosed in the ESG section of the company's website under Corporate Governance/Functional Committees.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |                                   |                          |                      |      |                                                                                       |                  |                               |          |  |                                                                   |                  |                                |   |                      |                                                                                                                                                          |
| IV. Does the Company arrange appropriate personnel and chiefs to handle corporate governance (including but not limited to providing needed data and assistance for director and supervisor in business, laws compliance and shareholder meeting matters)? | V                                 |                                | <p>The Company's chief of corporate governance is responsible to lead all of the departments to provide data, new regulation and assistance to directors for the purpose of complying with the law and safeguarding shareholder interest. A routine reporting to the board of director is held in the fourth quarter. Note that other responsibilities related to corporate governance are governed by Article 3-1 of the Company's "Corporate Governance Principles," with a routine annual report to the board of directors on the implement situation.</p> <table border="1"> <thead> <tr> <th>Promotion items</th><th>Chief of the Corporate Governance</th><th>Organizer (Co-organizer)</th><th>Reports to the board</th><th>Note</th></tr> </thead> <tbody> <tr> <td>1. Routine reporting to the board for new regulations regarding corporate governance.</td><td>Shen, Hsin-Hsien</td><td>Yang, Mu-Yu (Chou Ching-tung)</td><td>Reported</td><td></td></tr> <tr> <td>2. Reporting to the board for the Company's material information.</td><td>Shen, Hsin-Hsien</td><td>Yang, Ya-Hui (Chou Ching-tung)</td><td>—</td><td>Reported on the MOPS</td></tr> </tbody> </table> | Promotion items                                                                                                                                          | Chief of the Corporate Governance | Organizer (Co-organizer) | Reports to the board | Note | 1. Routine reporting to the board for new regulations regarding corporate governance. | Shen, Hsin-Hsien | Yang, Mu-Yu (Chou Ching-tung) | Reported |  | 2. Reporting to the board for the Company's material information. | Shen, Hsin-Hsien | Yang, Ya-Hui (Chou Ching-tung) | — | Reported on the MOPS | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| Promotion items                                                                                                                                                                                                                                            | Chief of the Corporate Governance | Organizer (Co-organizer)       | Reports to the board                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Note                                                                                                                                                     |                                   |                          |                      |      |                                                                                       |                  |                               |          |  |                                                                   |                  |                                |   |                      |                                                                                                                                                          |
| 1. Routine reporting to the board for new regulations regarding corporate governance.                                                                                                                                                                      | Shen, Hsin-Hsien                  | Yang, Mu-Yu (Chou Ching-tung)  | Reported                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                          |                                   |                          |                      |      |                                                                                       |                  |                               |          |  |                                                                   |                  |                                |   |                      |                                                                                                                                                          |
| 2. Reporting to the board for the Company's material information.                                                                                                                                                                                          | Shen, Hsin-Hsien                  | Yang, Ya-Hui (Chou Ching-tung) | —                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Reported on the MOPS                                                                                                                                     |                                   |                          |                      |      |                                                                                       |                  |                               |          |  |                                                                   |                  |                                |   |                      |                                                                                                                                                          |

|                                                                                                                                                                                                                                                                                                                                                                                  |        |     |                                                                                                                                                                                                                                         |                                                                                            |                                                                                   |          |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|----------|------------------------------------------------|-----|-----------------|------------|---------|------------------|------|----|-------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 3. Providing agenda, including avoidance of conflict of interest, seven days preceding the board of director meeting, and concluding the minutes within 20 days following the meeting. Shen Xin-xian                                    | Shen, Hsin-Hsien                                                                           | Yang, Ya-Hui                                                                      | Reported |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 4. Evaluating the performance of the board of director and individual directors.                                                                                                                                                        | Shen, Hsin-Hsien                                                                           | Hsu, Hui-Lan                                                                      | Reported |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 5. Preparing needed materials for shareholder meeting, and filing for change of Article of Incorporation and change of board of director.                                                                                               | Shen, Hsin-Hsien                                                                           | Yang, Ya-Hui                                                                      | Reported |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 6. Providing training for director and evaluating the purchases of liability insurance for director and key employees.                                                                                                                  | Shen, Hsin-Hsien                                                                           | Hsu, Hui-Lan                                                                      | Reported | Reported on the MOPS                           |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 7. Convening a meeting from time to time with CPA, independent director, audit and financial chiefs to fulfill the internal control system. The records are recorded on the Company's website. Declare corporate governance evaluation. | Shen, Hsin-Hsien                                                                           | Chu, Shih-Cheng<br>Huang, Wan-Chen<br>(Tung, Ching-Tung)                          | Reported | Reported on the Securities & Futures Institute |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | 8. Holding an analyst meeting and setting up the window to provide service to interested party and build a diversified communication channel.                                                                                           | Shen, Hsin-Hsien                                                                           | Chu, Shih-Cheng<br>(The contact person for the avoidance of conflict of interest) |          | Reported on the company's website              |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
|                                                                                                                                                                                                                                                                                                                                                                                  |        |     | Note 1. The chief of the corporate governance was approved by the board of director on March 27, 2019.                                                                                                                                  |                                                                                            |                                                                                   |          |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
| <table><tr><td>Name</td><td>Gender</td><td>Age</td><td>Academic degree</td><td>Experience</td><td>License</td></tr><tr><td>Shen, Hsin-Hsien</td><td>Male</td><td>64</td><td>Dept. of EE, TPCU</td><td>1. June, 1997 ~ June, 2009(12 yrs) VP of Stock Registrar, TISC<br/>2. July, 2009 ~ October,</td><td>1. Securities Broker<br/>2. Senior Securities Broker</td></tr></table> |        |     |                                                                                                                                                                                                                                         |                                                                                            |                                                                                   | Name     | Gender                                         | Age | Academic degree | Experience | License | Shen, Hsin-Hsien | Male | 64 | Dept. of EE, TPCU | 1. June, 1997 ~ June, 2009(12 yrs) VP of Stock Registrar, TISC<br>2. July, 2009 ~ October, | 1. Securities Broker<br>2. Senior Securities Broker |
| Name                                                                                                                                                                                                                                                                                                                                                                             | Gender | Age | Academic degree                                                                                                                                                                                                                         | Experience                                                                                 | License                                                                           |          |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |
| Shen, Hsin-Hsien                                                                                                                                                                                                                                                                                                                                                                 | Male   | 64  | Dept. of EE, TPCU                                                                                                                                                                                                                       | 1. June, 1997 ~ June, 2009(12 yrs) VP of Stock Registrar, TISC<br>2. July, 2009 ~ October, | 1. Securities Broker<br>2. Senior Securities Broker                               |          |                                                |     |                 |            |         |                  |      |    |                   |                                                                                            |                                                     |

|                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                   | <table><tr><td></td><td></td><td></td><td></td><td>2010 (1 yr) VP of stock registrar Dept. Unitech Co., Ltd<br/>3. November 1, 2010~<br/>now EVP, GFS</td><td></td></tr></table> <p>Note 2. Except for those newly appointed, the Chief of the Corporate Governance shall undergo at least 18 hours of training courses within one year from the date of assuming the position and at least 12 hours of training each subsequent year.</p> <table><tr><th>Date</th><th>Course name</th><th>Hours</th></tr><tr><td>Feb 23, 2024</td><td>Corporate Governance and Securities Regulations<br/>1. Discussing the Prevention of Insider Trading from the Perspective of Internal Control<br/>2. Regulations and applicability issues related to dividend policy</td><td>3</td></tr><tr><td>April 26, 2024</td><td>Challenges and Business Mindset of Taiwanese Enterprises in Turbulent Times</td><td>3</td></tr><tr><td>August 28, 2024</td><td>Due diligence and financial evaluation of merger and acquisition transactions.</td><td>3</td></tr><tr><td>September 4, 2024</td><td>Corporate governance and independent directors' operational practices</td><td>3</td></tr></table> <p>Note 3. The corporate governance team has achieved its annual target in the review.</p> |                                                                                                                                                          |                                                                                                  |  |  | 2010 (1 yr) VP of stock registrar Dept. Unitech Co., Ltd<br>3. November 1, 2010~<br>now EVP, GFS |  | Date | Course name | Hours | Feb 23, 2024 | Corporate Governance and Securities Regulations<br>1. Discussing the Prevention of Insider Trading from the Perspective of Internal Control<br>2. Regulations and applicability issues related to dividend policy | 3 | April 26, 2024 | Challenges and Business Mindset of Taiwanese Enterprises in Turbulent Times | 3 | August 28, 2024 | Due diligence and financial evaluation of merger and acquisition transactions. | 3 | September 4, 2024 | Corporate governance and independent directors' operational practices | 3 |  |
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|                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                          | 2010 (1 yr) VP of stock registrar Dept. Unitech Co., Ltd<br>3. November 1, 2010~<br>now EVP, GFS |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| Date                                                                                                                                                                                                                                                           | Course name                                                                                                                                                                                                       | Hours                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                          |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| Feb 23, 2024                                                                                                                                                                                                                                                   | Corporate Governance and Securities Regulations<br>1. Discussing the Prevention of Insider Trading from the Perspective of Internal Control<br>2. Regulations and applicability issues related to dividend policy | 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                          |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| April 26, 2024                                                                                                                                                                                                                                                 | Challenges and Business Mindset of Taiwanese Enterprises in Turbulent Times                                                                                                                                       | 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                          |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| August 28, 2024                                                                                                                                                                                                                                                | Due diligence and financial evaluation of merger and acquisition transactions.                                                                                                                                    | 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                          |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| September 4, 2024                                                                                                                                                                                                                                              | Corporate governance and independent directors' operational practices                                                                                                                                             | 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                          |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| V. Does the Company set up any communication channels with interested parties (including but not limited to shareholder, employee, client and supplier), create any interested-party page on the Company's website, or properly answer the CSR-related issues? | V                                                                                                                                                                                                                 | <p>1. The Company has set up its spokesperson and deputy spokesperson systems and has established a page for interested party on its official website for communication.</p> <p>2. The Company holds an analyst meeting for its annual results and has a contact window with interested party.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |
| VI. Does the Company appoint any professional stock registrar firm to handle the shareholder                                                                                                                                                                   | V                                                                                                                                                                                                                 | The Company has designated a professional stock registrar firm to handle the events including the shareholder meeting.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | No deviation from the "Codes of Ethical                                                                                                                  |                                                                                                  |  |  |                                                                                                  |  |      |             |       |              |                                                                                                                                                                                                                   |   |                |                                                                             |   |                 |                                                                                |   |                   |                                                                       |   |  |

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| meeting?                                                                                                                                                                                                                                                                          |   |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future.                                         |
| VII. Information transparency<br>(I) Does the Company set up any website to disclose information about financial condition and corporate governance?                                                                                                                              | V |  | The Company has set up an investor-relation page on its website, and disclosed information on the MOPS to disclose corporate operation, financial condition, material information and governance. No deviation and disclosed information on the MOPS to disclose corporate operation, financial condition, material information and governance. No deviation                                                                                       | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| (II) Does the Company have any other ways to release its information, such as setting-up of an English website, appointing any designated person to handle disclosure or collection, effectively implement its spokesperson system, and webcasting the Company's analyst meeting? | V |  | The Company has set up its spokesperson and deputy spokesperson system and has designated a person for update and collection of information. After listing on the Taipei Stock Exchange, the Company since 2018 had held its analyst meeting on its own, each in the first and second half of a fiscal year. <u>Our company has established an English website, disclosing financial, operational, and conference call information in English.</u> | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| (III) Does the Company within two months following the end of a fiscal year to report its annual financial statement, or report as schedule or earlier its Q1, Q2, Q3 financial statements and monthly sales?                                                                     | V |  | According to the Company's schedule, the annual report is reported by mid-March of the following year.                                                                                                                                                                                                                                                                                                                                             | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| VIII. Is there any other information that will facilitate a better understanding of corporate governance, including but not limited to employee rights,                                                                                                                           | V |  | 1. Employee rights: The Company has valued employee as the most important assets, paying much attention to employee welfare, health and on-job training. Therefore, employee rights are safeguarded well,                                                                                                                                                                                                                                          | No deviation from the "Codes of Ethical Conduct for                                                                                                      |

|                                                                                                                                                                                                                                                                                                                                       |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                             |
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| <p>employee wellness, investor relation, supplier relation, rights of interested party, advanced study by director/supervisor, risk management policy, policy execution with client and purchase of liability insurance for director/supervisor?</p>                                                                                  |  | <p>pursuant to Labor Standards Act.</p> <ol style="list-style-type: none"> <li>2. Labor-employee care: The Company has devoted itself to strengthen the labor-employee relation by holding beneficial activities.</li> <li>3. Investor relation: The Company has set up its spokesperson and deputy spokesperson system to deal with relevant matters, with details provided on the investor relation page on the official website.</li> <li>4. Supplier relation: The Company established a procedure for purchase management that is based on a fair and transparent method, with details provided at the interested-party page on the official website.</li> <li>5. Interested party relation: The interested party can communicate with the Company for the personal rights, with details provided at the interested-party page on the official website.</li> <li>6. Advanced study by director: The study is based on the Article 40 of the Company's Corporate Governance Principles, detailed on the Market Observation Post System or the Company's official website ESG section.</li> <li>7. Risk management policy and execution of risk measurement standards: All of the Company's departments are responsible to execute the policy. Details are provided at the risk management page of its official website.</li> <li>8. Execution of client policy: The Company has taken into effect its KYC policy, pursuant to anti-money laundering and resolution of trading dispute regulations.</li> <li>9. Since 2018, the Company has begun to purchase liability insurance for directors and key employees, and in every first quarter will report the purchases to the board of director.</li> </ol> | <p>TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future.</p> |
| <p>IX. Reports of correction and proposed improvement items and measures will be provided to Taiwan Stock Exchange Corporate Governance Center.</p> <p>In reaction to the Company's sub-par ratings issued by the center every year, the Company will prepare an improvement schedule and has rectified most of the poor ratings.</p> |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                             |

## (IV) Operation of the remuneration committee

March 10, 2025

| <div>Qualification</div> <div>Identity (Note 1)</div> <div>Name</div> |                | Professional qualification and experience (Note 2)                                                                                                              | Independent situation (Note 3)                                                                                                                                  | Number of members who are concurrently members of the compensation and remuneration committees of other public offering companies |
|-----------------------------------------------------------------------|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Independent director (Convener)                                       | Lo, Neng-Ching | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | 0                                                                                                                                 |
| Independent Director                                                  | Hsu, Mei-Li    | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | 0                                                                                                                                 |
| Independent Director                                                  | Wu, Chung-Chun | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | Please refer pages13-17 for the Disclosure of Professional Qualifications of Directors and Supervisors and Disclosure of Independence of Independent Directors. | 0                                                                                                                                 |

Note 1 : Please specify in the form the relevant working years, professional qualifications and experience and independence of each member of the Compensation and Remuneration Committee. If they are independent directors, please refer to table 1 page 00 for directors and supervisors, and information (1) Related content. Please fill in the series as independent directors or others respectively (if it is the convener, please add a note).

Note 2 : Professional qualifications and experience: describe the professional qualifications and experience of individual compensation committee members.

Note 3 : Condition of independence: state that the members of the Compensation and Remuneration Committee meet the conditions of independence, including but not limited to in-person, spouse, or relatives within the second degree of kinship serve as directors, supervisors or employees of the company or its affiliated companies; in-person, spouse, relatives within the second degree of kinship (or in the name of others) hold the number and proportion of the company's shares; whether it is a company that has a specific relationship with the company (refer to the establishment and exercise of powers of the compensation committee of the company whose stock is listed or traded at the business office of a securities firm) The director, supervisor or employee of Article 6, Paragraph 1, Subparagraphs 5 to 8); the amount of remuneration received for providing business, legal, financial, accounting and other services to the company or its affiliates in the last two years.

2. Responsibility of remuneration committee:

The committee shall act as good administrator to truthfully fulfill the following functions and to submit recommendations to the board of director for discussion.

- (1) Periodically review the guidelines and propose recommendation for revision.
- (2) Formulate and periodically review the performance appraisal for directors, supervisors and managers, as well as the policy, system, standards, and structure for salary and remuneration.
- (3) Periodically evaluate and specify the salary and remuneration for directors, supervisors and managers.
- (4) The remuneration of directors (including independent directors) includes travel expenses, business execution expenses and the remuneration of surplus distribution, according to the company's remuneration Article 24 of the Articles of Association stipulates the remuneration of all directors and authorizes the board of directors to make the same industry standard and the degree of participation and contribution to the operation of the company are negotiated.
- (5) The remuneration of the general manager and deputy general manager is salary and severance pay, and the salary level is adjusted according to the industry standard and risk adjustment, rectification, and contribution to the company; the distribution standard of employee remuneration is in accordance with Article 28 of the company's articles of association and is reported to the directors. It will be issued after the resolution of the shareholders meeting.
- (6) The principle of remuneration is mainly that the salary is determined according to the salary standard of each rank, the bonus is based on the results of business performance evaluation, and the remuneration is based on According to the company's articles of association, if the company has annual profits, 1.5% should be allocated.

3. Operation of remuneration committee:

- (1) The committee has three members.
- (2) The salary and remuneration committee has its current term during April 14, 2023, and April 13, 2026.

The salary and remuneration committee convened a total of 9 meetings from 2023 to March 10, 2025.

(A) The qualifications and attendance of the members are described below.

| Job Title                                                                                                                                                                                                                                                                                                                                                                                                                                  | Name           | Attendance in person (B) | By Proxy | Attendance rate (%) (B/A) (Note) | Note |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------|----------|----------------------------------|------|
| Convener                                                                                                                                                                                                                                                                                                                                                                                                                                   | Lo, Neng-Ching | 9                        | 0        | 100%                             |      |
| Member                                                                                                                                                                                                                                                                                                                                                                                                                                     | Hsu, Mei-Li    | 9                        | 0        | 100%                             |      |
| Member                                                                                                                                                                                                                                                                                                                                                                                                                                     | Wu, Chung-Chun | 9                        | 0        | 100%                             |      |
| Other mentionable items:                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                          |          |                                  |      |
| I. If not accepting or rectifying the proposal by the remuneration committee, the board of director shall specify the date, term, content of motion, resolution and treatment to the committee's opinions, such as in the event that the board's proposed remuneration is higher than suggested by the committee. Descriptions must be provided to explain the discrepancy and causes. The Company does not have any aforementioned event. |                |                          |          |                                  |      |
| II. The date, term, content of motion, as well as proposed opinion and treatment by all of the members in the committee must be mentioned, if any member of the committee holds any records or written statement for objection or reservation to the committee's resolutions. The Company does not have any aforementioned event.                                                                                                          |                |                          |          |                                  |      |

Note:

- (1) The resignation date must be stated by a committee's member who leaves the Company before the end of a fiscal year. The member's attendance rate shall be based on the times of the committee meetings and the member's actual attendance during the stay in the committee.

- (2) In the case that an election of the committee members takes place before the end of a fiscal year, the status of a new, old and re-elected members and the election date of the committee must be stated. The members' attendance rate shall be based on the times of the committee meetings and the actual attendance during the stay in the committee.
- (3) Content of motion and resolution by the remuneration committee during January 1, 2020, to May 10, 2021.

| Meeting date | Content of motion and subsequent actions                                                                                                                                                                                                                                                                              | Resolution                                                                                                       | Company's solution to opinion by the committee         |
|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|
| 2023.05.05   | Discussion on the remuneration of the managerial personnel of the subsidiary, Fuyou Capital.                                                                                                                                                                                                                          | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2023.08.04   | 1. Amendment of certain clauses in the "Managerial Personnel Remuneration Regulations".<br>2. Proposal for the participation of managerial personnel in employee stock allocation for the cash increase of Grand Fortune Management Corporation.                                                                      | Approved as proposed by all directors in attendance.<br><br>Approved as proposed by all directors in attendance. | None, submitted to the board of director for approval. |
| 2023.10.26   | Personnel adjustment proposal for the company.                                                                                                                                                                                                                                                                        | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2023.12.14   | Discussion on the salary adjustment for the Chairman of the Grand Fortune Management Corporation.                                                                                                                                                                                                                     | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2024.1.29    | 1. Proposal for the allocation ratio of directors' and employees' remuneration for the 2023.<br>2. The matter of the remuneration payout for executives and subsidiary managers for the 2022 and 2023<br>3. Discussion on the remuneration distribution for the Chairman and Executive Directors for the 2023.        | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2024.07.11   | 1. Discuss the distribution of directors' remuneration for 2023 by The Company.<br>2. Discussion on the changes of the Company Manager.<br>3. Discussion on the remuneration of the managers of the Company.                                                                                                          | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2024.10.22   | Discussion on the remuneration of the managers of the Company.                                                                                                                                                                                                                                                        | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2024.12.17   | 1. Discussion on the appointment proposal for the Company's CEO.<br>2. Discussion on the changes of the Company Manager.<br>3. The severance payment proposal for CEO Lin, Ying-Ming.                                                                                                                                 | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |
| 2025.01.17   | 1. Proposal for the allocation ratio of directors' and employees' remuneration for FY2025 and recognition of directors' and employees' remuneration for 2024.<br>2. Discussion on the remuneration distribution for the Chairman, Executive Directors, managers, and subsidiary managers for the years 2023 and 2024. | Approved as proposed by all directors in attendance.                                                             | None, submitted to the board of director for approval. |

## (V-I) Operation of the promoting sustainable development and Deviations and reasons:

| Evaluation items                                                                                                                                                                                                                                                                               | Implement situation |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Deviations and reasons                                                                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                                                | Y                   | N | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                          |
| I. Does the company establish a governance structure to promote sustainable development, and set up a dedicated (part-time) unit to promote sustainable development, which is authorized by the board of directors to handle senior management, and the supervision of the board of directors? | V                   |   | <ol style="list-style-type: none"> <li>1. The Company uses the "Sustainable Development Policy" and "Sustainable Development Code" as guidelines for sustainable development. In 2021, the Sustainable Development Committee was established, and in December 2024, the "Sustainable Development Committee Organizational Regulations" were amended. In January 2025, three Members were re-elected. The independent director serves as the chairman, while the other two members are the CEO and the chief financial officer.</li> <li>2. Based on the sustainable development strategy, the Company has established four teams under the Sustainable Development Committee to promote the Company's efforts in sustainable finance, social participation, environmental sustainability, and sustainable information management, thereby implementing corporate governance, developing a sustainable environment, maintaining social welfare, and strengthening information disclosure.</li> <li>3. Article 3 of the Organizational Regulations of the Sustainable Development Committee stipulates that a report shall be made to the Board of Directors at least once a year covering the execution of (1) sustainable development implementation, (2) annual sustainable development work plan, (3) identification and communication with stakeholders, (4) operation report of corporate governance, and (5) Fulfill operation with integrity, among other implementation situations and execution strategies and plans.</li> <li>4. According to Article 3 of the Organizational Regulations of the Sustainable Development Committee of the Company, it is stipulated that the committee shall report to the board of directors at least once a year. On December 12, 2023, the committee reported to the board of directors on the execution status and execution strategies and plans of various matters, including (1) sustainable corporate governance, (2) participation in social responsibility, (3) identification and communication with stakeholders, (4) operation report of corporate governance, and (5) implementation of integrity management, etc.</li> <li>5. Board members also supervise and provide suggestions on the company's implementation efforts, including but not limited to management policies, strategy and goal setting, and review measures.</li> <li>6. In addition to receiving reports on the effectiveness of ESG promotion, board members also supervise the strengthening of improvement strategies for sustainable development during meetings, serving as a reference for the operation of the Sustainable Development Committee.</li> </ol> | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |

|                                                                                                                                                                                                                                                             |          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>II. Does the company conduct risk assessments on environmental, social and corporate governance issues related to company operations in accordance with the principle of materiality, and formulate relevant risk management policies or strategies?</p> | <p>V</p> | <ol style="list-style-type: none"> <li>1. The Company compiles the sustainability report in accordance with international standards and incorporates it into the Company's internal control system. In March 2024, the "Sustainability Report Compilation and Verification Measures" were amended.</li> <li>2. To attach importance to the opinions of stakeholders, we have established a variety of communication channels and stakeholder communication. This serves as a reference for the formulation of company management policies, and we conduct regular analyses of significant issues to identify topics of concern to stakeholders. We collect environmental (E), social (S), and governance (G) issues that stakeholders are concerned about to determine the degree of impact (or impact) on the company's sustainable operation, based on which the sustainability report is prepared. For details, please refer to the identification and communication of stakeholders in the report and the corporate website governance section in the annual report.</li> <li>3. In response to the impact of climate change on the environment and economy and the significant impact on the sustainable development of the company, the company revised the "Risk Management Policies" and "Risk Management Procedures" in August 2024 to incorporate climate risk management, and submitted to the Risk Management Committee and the Board of Directors for approval.</li> <li>4. According to the "Roadmap for the Sustainable Development of Listed OTC Companies" issued by the Financial Supervisory Commission in March 2022, the company is an OTC company with a paid-in capital of 3 billion yuan. The GHG inventory is applicable to each stage (i.e., the inventory was completed before 2026, and the verification was completed before 2028). Therefore, in accordance with the reference guidelines and relevant regulations issued by the competent authority, the completion of the GHG inventory and verification and disclosure schedule will be continuously controlled.</li> <li>5. Since July 2022, it will be implemented gradually from the second half of the year. A brief list of factors affecting climate change and countermeasures is as follows:</li> </ol> | <p>No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future.</p> |
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|  |  |  |                                  |                 |  |
|--|--|--|----------------------------------|-----------------|--|
|  |  |  | Factors Affecting Climate Issues | coping measures |  |
|--|--|--|----------------------------------|-----------------|--|

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|                                                                                                                                                          |                           |                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <table><tr><td rowspan="3">Fubon Internal</td><td>carbon emissions</td><td>Investigate the fuel consumption of official vehicles, the carbon and paper consumption of printers, the fuel consumption of generators, and set carbon reduction targets</td></tr><tr><td>monthly water consumption</td><td>Investigate the overall water consumption of the Taipei Headquarter, Hsinchu Branch, and Tainan Operation Base, and set a water saving target</td></tr><tr><td>Waste consumption</td><td>* Inventory wastepaper recycling and waste weight, and set waste reduction targets<br/>* Continued push for a paperless policy</td></tr><tr><td rowspan="2">business derivative</td><td>Investment targets</td><td>Increased identification and integration of climate-related risks in investment portfolios</td></tr><tr><td>service case</td><td>* Actively support green energy related industries (including power generation and environmental recycling)<br/>* Promote and arrange clients to participate in ESG trend sharing seminars</td></tr></table> | Fubon Internal | carbon emissions | Investigate the fuel consumption of official vehicles, the carbon and paper consumption of printers, the fuel consumption of generators, and set carbon reduction targets | monthly water consumption | Investigate the overall water consumption of the Taipei Headquarter, Hsinchu Branch, and Tainan Operation Base, and set a water saving target | Waste consumption | * Inventory wastepaper recycling and waste weight, and set waste reduction targets<br>* Continued push for a paperless policy | business derivative | Investment targets | Increased identification and integration of climate-related risks in investment portfolios | service case | * Actively support green energy related industries (including power generation and environmental recycling)<br>* Promote and arrange clients to participate in ESG trend sharing seminars |  |
| Fubon Internal                                                                                                                                           | carbon emissions          | Investigate the fuel consumption of official vehicles, the carbon and paper consumption of printers, the fuel consumption of generators, and set carbon reduction targets                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
|                                                                                                                                                          | monthly water consumption | Investigate the overall water consumption of the Taipei Headquarter, Hsinchu Branch, and Tainan Operation Base, and set a water saving target                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
|                                                                                                                                                          | Waste consumption         | * Inventory wastepaper recycling and waste weight, and set waste reduction targets<br>* Continued push for a paperless policy                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
| business derivative                                                                                                                                      | Investment targets        | Increased identification and integration of climate-related risks in investment portfolios                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
|                                                                                                                                                          | service case              | * Actively support green energy related industries (including power generation and environmental recycling)<br>* Promote and arrange clients to participate in ESG trend sharing seminars |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
| III. Environmental issues<br>(I) Has the company established an appropriate environmental management system according to its industrial characteristics? | V                         | (I)                                                                                                                                                                                       | <p>The company is in the securities and financial services industry. It has no manufacturing process and does not produce wastewater, waste gas, and other environmental pollution wastes. It is a low-carbon industry and has little impact on the overall environmental issues. In addition, the company has formulated the "Enterprise Environment and Energy Conservation and Carbon Reduction Management Policy" and continues to carry...</p> <p>Continue to carry out environmental protection and energy conservation and carbon reduction plans in daily operations, and The Company also actively promotes the reuse of resources, such as: electricity consumption in business premises using contracted capacity, high power consumption in offices to save energy with electric appliances, and calls for the use of recycled paper. Additionally, paperless meetings and electronic billing plans are implemented in each Department, along with garbage sorting and resource recycling to reduce waste. The Company continues to set an annual reduction target of 1% for year water, electricity, and paper consumption, and continues to implement energy-saving and carbon-reduction plans. For details, please refer to the company's website ESG section.</p> | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |
| (II) Is the company                                                                                                                                      | V                         | (II)                                                                                                                                                                                      | The actual measures taken by the company to                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                |                  |                                                                                                                                                                           |                           |                                                                                                                                               |                   |                                                                                                                               |                     |                    |                                                                                            |              |                                                                                                                                                                                           |  |

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| <p>committed to improving energy efficiency and using recycled materials with low impact on the environment?</p> <p>(III) Has the company</p> | <p>V</p> | <p>promote and improve energy efficiency are as follows:</p> <ol style="list-style-type: none"> <li>1. Office environmental protection: <ol style="list-style-type: none"> <li>(1) Implementation of energy-saving and carbon-reduction policies: including the brightness setting of personal computers and screen off, photocopier standby settings, network equipment power-saving settings, and air-conditioning temperature settings, etc., there are regulations to achieve the goal of saving electricity. There are also usage regulations for matters such as water and paper consumption, and paper recycling is actually implemented.</li> <li>(2) Resource recycling (including waste disposal): Resource recycling bins are set up on each floor. In addition to requiring the cooperation of all colleagues, the cleaning personnel are also required to further sort out the garbage, and discarded computer equipment, toner cartridges, etc. It is handled by professional recycling manufacturers and will never be discarded at will, causing secondary pollution.</li> <li>(3) Purify the air: In order to purify the toxic substances in the air (such as carbon monoxide and formaldehyde and other harmful substances) and the dust generated by the operation of the business machine, so as to maintain the health of colleagues, all kinds of potted plants are placed in the offices on each floor. Regularly clean the filter screen and central air-conditioning equipment at least twice, clean the water tower four times, and completely smoke-free in the office.</li> <li>(4) Green Procurement: When decorating and renewing office equipment, in terms of construction design and material procurement, the proportion of green building materials is required to be more than 90%, which is higher than the 45% standard set by the competent authority, and the lamps have been completely changed to power-saving LEDs.</li> <li>(5) Care for the earth and sustainable environment: All departments of the company actively participate in environmental cleaning activities such as cleaning mountains and beaches from time to time, so as to restore the natural environment to its original appearance.</li> </ol> </li> </ol> <p>(III) Has the company assessed the current and future</p> |  |
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| <p>assessed the current and future potential risks and opportunities of climate change to the company, and taken measures to address climate-related issues?</p> |  | <p>potential risks and opportunities of climate change to the company, and taken measures to address climate-related issues?</p> <ol style="list-style-type: none"> <li>1. Promote various energy-saving measures at our company's business premises, and before engaging in self-operated or venture investment issuance companies and underwriting advisory selection, also consider whether the target companies have addressed climate change issues.</li> <li>2. In accordance with the framework outlined in the Task Force on Climate-related Financial Disclosures (TCFD) recommendations published by the Financial Supervisory Commission, we commissioned experts in 2022 to assess the risks and opportunities arising from climate change for our company, including immediate physical risks, long-term physical risks, and opportunities for managing transitional risks. The recommendations have been disclosed in our company's sustainability report.</li> <li>3. In addition, the company has formulated the "Enterprise. Environment and Energy Conservation and Carbon Reduction Management Policy" and "Energy Conservation and Carbon Reduction Management Measures" to pay attention to and adjust the air-conditioning temperature of the company's office environment at any time, considering energy conservation and carbon reduction and employee welfare. The actual promotion measures are as follows:               <ol style="list-style-type: none"> <li>(1) Irregularly remind colleagues by E-MAIL.</li> <li>(2) Perform refrigerator cleaning at least twice a month.</li> <li>(3) Prioritize the purchase of electrical appliances with a first-grade energy efficiency label.</li> <li>(4) "Caring for the earth" and "sustainable environment" are the social responsibilities of all colleagues in the company. We hope that through preferential purchase of electrical appliances with the first-class energy-saving label, and actively promoting measures such as energy conservation and carbon reduction, garbage classification, and resource recycling, we hope that the office area is divided into areas of responsibility, to strengthen publicity and urge employees to work together to maintain the natural environment.</li> </ol> </li> </ol> <p>(5) There is an annual reduction target of</p> |  |
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|                                                                                                                                                                                                                                                                                                                           |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-------------|-------------|----------------|-----------------------------------------------------------------|---------|------------|-------------------------------------------------|-----------|----------|----------------------------------------------------------|---------|-----------|----------------------------|----------|-----------|---------------------------------------------------------|--------|--------|
| (IV) Has the company counted the greenhouse gas emissions, water consumption and total weight of waste in the past two years, and formulated the company's policies for energy conservation and carbon reduction, greenhouse gas reduction, water reduction or other waste management?                                    | V           | 1.0% in water/electricity/paper consumption. In addition, the wastepaper treatment will be reduced according to the current situation, and the improvement operations will be continuously tracked and reviewed. The assessment scope includes the company and its subsidiaries.                                                                                                                                                                                                                                                                                                  |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | (IV) Greenhouse gas emissions in the last two years: and total weight of waste:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | 1. Greenhouse gas emissions in recent 2 years                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | <table><tr><td></td><td>2023</td><td>2024</td></tr><tr><td>Direct Greenhouse Gas Emissions (Scope 1) (metric tons of CO2e)</td><td>62.2056</td><td>1,870.8760</td></tr><tr><td>Energy Indirect (Scope 2) (metric tons of CO2e)</td><td>220.3062</td><td>208.9640</td></tr><tr><td>Other Indirect (Scope 3) (metric tons of CO2 equivalent)</td><td>12.4998</td><td>8.6376</td></tr><tr><td>Total Emission (Tons CO2e)</td><td>295.0116</td><td>2088.2076</td></tr><tr><td>Greenhouse gas emission intensity (tons CO2e / revenue)</td><td>0.2138</td><td>1.8069</td></tr></table> |               |             | 2023        | 2024           | Direct Greenhouse Gas Emissions (Scope 1) (metric tons of CO2e) | 62.2056 | 1,870.8760 | Energy Indirect (Scope 2) (metric tons of CO2e) | 220.3062  | 208.9640 | Other Indirect (Scope 3) (metric tons of CO2 equivalent) | 12.4998 | 8.6376    | Total Emission (Tons CO2e) | 295.0116 | 2088.2076 | Greenhouse gas emission intensity (tons CO2e / revenue) | 0.2138 | 1.8069 |
|                                                                                                                                                                                                                                                                                                                           |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               | 2023        | 2024        |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Direct Greenhouse Gas Emissions (Scope 1) (metric tons of CO2e)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               | 62.2056     | 1,870.8760  |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Energy Indirect (Scope 2) (metric tons of CO2e)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               | 220.3062    | 208.9640    |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Other Indirect (Scope 3) (metric tons of CO2 equivalent)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |               | 12.4998     | 8.6376      |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Total Emission (Tons CO2e)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               | 295.0116    | 2088.2076   |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Greenhouse gas emission intensity (tons CO2e / revenue)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |               | 0.2138      | 1.8069      |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 1: Includes the consolidated financial statements of parent and subsidiary companies.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 2: Total emissions unit: metric tons of CO2e per year.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 3: Intensity is metric tons CO2e/revenue (million yuan)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 4: Revenue for 2023: 1,379.822 million dollars.<br>Revenue for 2024: 1,155.672 million dollars.                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 5: Category 3 includes employee commuting, business travel, and tap water.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | Note 6: The increase in Total Emission of Greenhouse Gases for 2024 is due to the omission of 3 fire extinguisher units (specifically for information equipment) in 2023.                                                                                                                                                                                                                                                                                                                                                                                                         |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | 2. Electricity/water/paper usage (including waste shredded paper) in the last 2 years:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           |             | <table><tr><td></td><td>electricity</td><td>water (degree)</td><td>paper (sheet)</td></tr><tr><td>2023</td><td>446,558</td><td>4,520</td><td>1,122,711</td></tr><tr><td>2024</td><td>423,004</td><td>4,355</td><td>1,061,897</td></tr></table>                                                                                                                                                                                                                                                                                                                                    |               |             | electricity | water (degree) | paper (sheet)                                                   | 2023    | 446,558    | 4,520                                           | 1,122,711 | 2024     | 423,004                                                  | 4,355   | 1,061,897 |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           | electricity | water (degree)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | paper (sheet) |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| 2023                                                                                                                                                                                                                                                                                                                      | 446,558     | 4,520                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 1,122,711     |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| 2024                                                                                                                                                                                                                                                                                                                      | 423,004     | 4,355                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 1,061,897     |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| 3. Electricity/water/paper usage (including waste shredded paper) in the last 2 years:                                                                                                                                                                                                                                    |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| 4. Waste shredded paper from the past two years                                                                                                                                                                                                                                                                           |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| <table><tr><td></td><td>2023</td><td>2024</td></tr><tr><td>Trash (Ton)</td><td>3.406</td><td>3.426</td></tr><tr><td>Recycle (Ton)</td><td>1.502</td><td>5.526</td></tr><tr><td>Total (ton) (Ton)</td><td>4.908</td><td>8.952</td></tr><tr><td>Average (ton/Per) (ton/Per)</td><td>0.0206</td><td>0.0430</td></tr></table> |             | 2023                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 2024          | Trash (Ton) | 3.406       | 3.426          | Recycle (Ton)                                                   | 1.502   | 5.526      | Total (ton) (Ton)                               | 4.908     | 8.952    | Average (ton/Per) (ton/Per)                              | 0.0206  | 0.0430    |                            |          |           |                                                         |        |        |
|                                                                                                                                                                                                                                                                                                                           | 2023        | 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| Trash (Ton)                                                                                                                                                                                                                                                                                                               | 3.406       | 3.426                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| Recycle (Ton)                                                                                                                                                                                                                                                                                                             | 1.502       | 5.526                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| Total (ton) (Ton)                                                                                                                                                                                                                                                                                                         | 4.908       | 8.952                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| Average (ton/Per) (ton/Per)                                                                                                                                                                                                                                                                                               | 0.0206      | 0.0430                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |
| Note 1: Resource recycling includes statistics on paper recycling.                                                                                                                                                                                                                                                        |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |               |             |             |                |                                                                 |         |            |                                                 |           |          |                                                          |         |           |                            |          |           |                                                         |        |        |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------|------|-------------------------------------|-----------------------|-----------------------|-----------------------------|-----------------------|----------------|--------------------------------------------------------------------------------|-----------------------|-----------------------|----------------------------|-----------------------|
|                                                                                                                                                                                                                                     |                       | Note 2: The number of employees was 237 in 2023; and in 2024, the number of employees 208 people.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| IV. Social Issues                                                                                                                                                                                                                   |                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| (I) Does the company formulate relevant management policies and procedures in accordance with relevant laws and international human rights conventions?                                                                             | V                     | (I) The company has always complied with the "Labor Standards Law" and relevant government regulations and established complete and compliant personnel management regulations to protect the legitimate rights and interests of employees. In terms of human rights policy management, the company has formulated the "Sexual Harassment Prevention and Complaint" and Punishment Measures", which includes matters such as the company's internal complaint and handling mechanism. The "Human Rights Policy" was approved by the Board of Directors in Dec.2022.                                                                                                                                                                                                                                                                                                                                               | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| (II) Does the company formulate and implement reasonable employee welfare measures (including remuneration, vacation and other benefits, etc.), and appropriately reflect business performance or results in employee compensation? | V                     | (II) The Company has formulated a remuneration policy for reasonable wages, which combines the employee performance appraisal system with the corporate social responsibility policy to make the reward and punishment system clearer and more effective. For details, please refer to the Company's annual report "Remuneration Policy and Standards". For employee benefit measures, please refer to the quotation in "Labor-Management Relations" in the Company's Annual Report "Wu, Operation Overview" or visit the corporate governance section of the Company's website.<br>For the employee evaluation mechanism, corresponding indicators are formulated and given appropriate weights according to the unit, level, and function, and each employee's performance is evaluated annually based on these indicators.<br><br>The various employee welfare indicators for the past 2 years are as follows: |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
|                                                                                                                                                                                                                                     |                       | <table><tr><td>Disclosure item</td><td>2023</td><td>2024</td></tr><tr><td>Average number of employee benefits</td><td>1,452 thousand/person</td><td>1,375 thousand/person</td></tr><tr><td>Average salary of employees</td><td>1,270 thousand/person</td><td>1,214 thousand</td></tr><tr><td>The average salary of full-time employees who are not in supervisory positions</td><td>1,347 thousand/person</td><td>1,235 thousand/person</td></tr><tr><td>Median salary of full-time</td><td>1,227 thousand/person</td><td>1,207 thousand/person</td></tr></table>                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                          | Disclosure item | 2023 | 2024 | Average number of employee benefits | 1,452 thousand/person | 1,375 thousand/person | Average salary of employees | 1,270 thousand/person | 1,214 thousand | The average salary of full-time employees who are not in supervisory positions | 1,347 thousand/person | 1,235 thousand/person | Median salary of full-time | 1,227 thousand/person |
| Disclosure item                                                                                                                                                                                                                     | 2023                  | 2024                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| Average number of employee benefits                                                                                                                                                                                                 | 1,452 thousand/person | 1,375 thousand/person                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| Average salary of employees                                                                                                                                                                                                         | 1,270 thousand/person | 1,214 thousand                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| The average salary of full-time employees who are not in supervisory positions                                                                                                                                                      | 1,347 thousand/person | 1,235 thousand/person                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |
| Median salary of full-time                                                                                                                                                                                                          | 1,227 thousand/person | 1,207 thousand/person                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                          |                 |      |      |                                     |                       |                       |                             |                       |                |                                                                                |                       |                       |                            |                       |

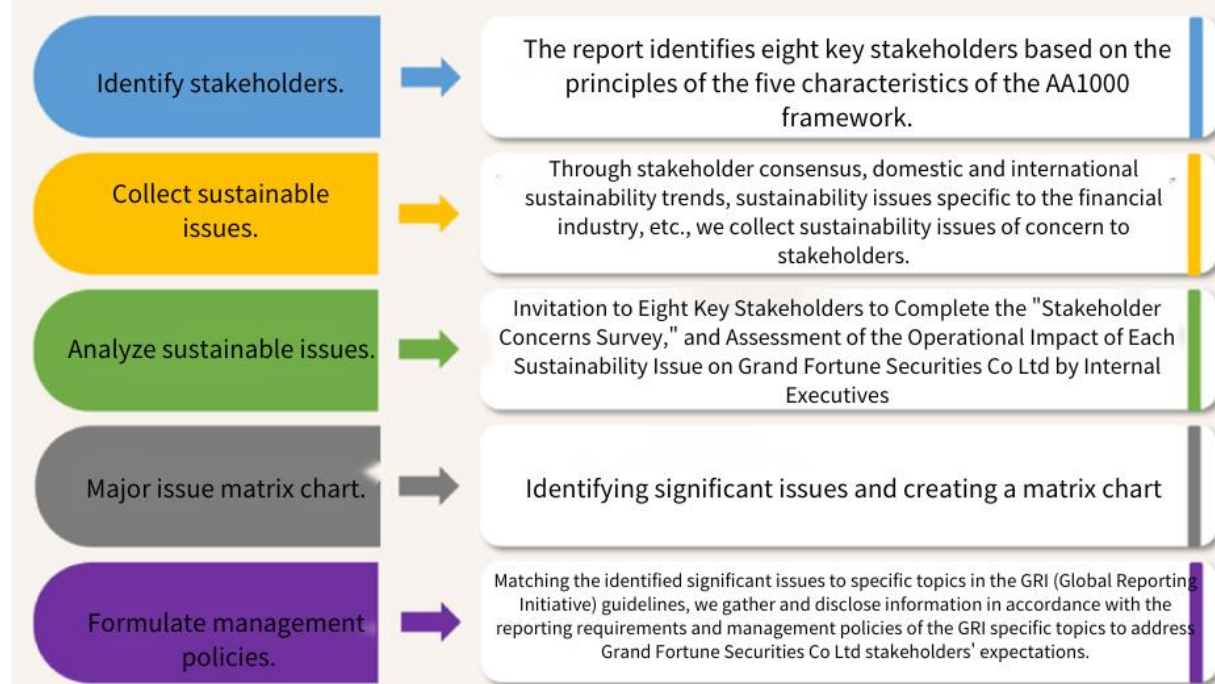
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|                                                                                                                                                      |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | The average proportion of female staff                                                                                                                                                                                                                                                        | (Note 3) | (Note 3) |
|                                                                                                                                                      |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             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| (III) Does the company provide employees with a safe and healthy working environment, and conduct regular safety and health education for employees? | V | (III) The company's implementation measures for maintaining employee safety, environment and health education are as follows:<br>1. Working environment:<br>(1) In order to provide a safer office space for colleagues, the office decoration is in accordance with relevant laws and regulations and is reported to the competent authority to pass public safety inspections and fire safety inspections.<br>(2) The company has a security system, which is entrusted to the listed company Shin Kong Security to provide online security services set by the system. In addition, the office building is also entrusted with the entry and exit control of security personnel stationed in the office building. The elevators in the building are regularly maintained and installed with surveillance video equipment.<br>(3) Considering the health of colleagues, the company cleans air-conditioning equipment every year to prevent Legionnaires' disease; and places first aid kits on each floor in case of emergency.<br>(4) Provide employees with non-occupational medical and health services:<br>Service:<br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br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|------|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|--------|----------|------|-----------|---------|------|------------|---------|------|------------|---------|--|
|      |            | <p>long-term employee health inspection contract with the clinic, provide employees with free health inspections and consultations on a regular basis, file and continuously track health inspection reports, and require the clinic to regularly send staff to the office to accept the health of employees. In accordance with the "Labor Health Inspection Protection Rules", sign a long-term employee health inspection contract with the clinic, provide employees with free health inspections and consultations on a regular basis, file and continuously track health inspection reports, and require the clinic to regularly send staff to the office to accept the health of employees</p> <p>(4.1) Analyze and evaluate the results of employee health checkups.</p> <p>(4.2) Track management and health guidance for employees with abnormal health results and health guidance.</p> <p>(4.3) Maternity protection interviews and follow-up.</p> <p>To protect the physical and mental health of employees, the Chang Teacher Foundation organizes an "Awareness and Coping with Stress" course, assisted by clinical counseling psychologists to help colleagues with self-awareness and changing their mindset. A total of 20 people participated, with a duration of 3 hours.</p> <p>2. Personal protection:<br/>The company's office space and moving lines are planned according to business needs and safety considerations, and relevant equipment is placed according to the requirements of operation convenience and safety, and environmental safety introductions are implemented to new colleagues.</p> <p>3. The number and rate of occupational accidents:<br/>(1) The number and rate of occupational accidents, no such incident occurred in 2023.</p> <p>(2) Hours of occupational safety and health education and training:</p> <table><tr><td>Year</td><td>Visits</td><td>man time</td></tr><tr><td>2022</td><td>20 people</td><td>3 hours</td></tr><tr><td>2023</td><td>215 people</td><td>3 hours</td></tr><tr><td>2024</td><td>208 people</td><td>3 hours</td></tr></table> | Year | Visits | man time | 2022 | 20 people | 3 hours | 2023 | 215 people | 3 hours | 2024 | 208 people | 3 hours |  |
| Year | Visits     | man time                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |      |        |          |      |           |         |      |            |         |      |            |         |  |
| 2022 | 20 people  | 3 hours                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |      |        |          |      |           |         |      |            |         |      |            |         |  |
| 2023 | 215 people | 3 hours                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |      |        |          |      |           |         |      |            |         |      |            |         |  |
| 2024 | 208 people | 3 hours                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |      |        |          |      |           |         |      |            |         |      |            |         |  |
|      |            | <p>4. State the number of fire incidents,</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |      |        |          |      |           |         |      |            |         |      |            |         |  |

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|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|                                                                                                                                                                                                                                                                                                                          |   | casualties, and injuries in the year, and the ratio of the number of casualties to the total number of employees, and the response. The ratio of casualties to total employees, and response measures. Remedial measures related to fires. The Company had no fire incidents in 2024.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |
| (IV) Does the company establish an effective career development training program for employees?                                                                                                                                                                                                                          | V | (IV) The company regularly arranges employees to participate in securities professional on-the-job training courses, in order to respond to the knowledge of new laws and regulations related to the financial market, and formulate different training plans for relevant professional development, and send employees to participate in seminars from time to time. Professional training for employees to continuously strengthen their professional knowledge, extend and strengthen their career capabilities. In 2023, a total of 15 people completed pre-employment training for new employees with a total of 174 hours, and a total of 142 employees completed professional training with a total of 1,725 hours, a total of 14 supervisors completed professional training, with a total duration of 159 hours.                                                                                                                                                                             |  |
| (V) Does the company follow relevant laws and international standards, and formulate relevant policies and complaint procedures for the protection of consumer or customer rights and interests regarding issues such as customer health and safety, customer privacy, marketing and labelling of products and services? | V | (V) The company belongs to the securities service industry. The business service process is strictly implemented in accordance with the relevant laws and regulations and the internal control system. It attaches great importance to the ability to provide customers with professional services, confidentiality of customer information, and compliance with the regulations of the competent authority. Currently, it has established relevant regulations such as the "Stock Brokerage Financial Consumer Complaints and Transaction Dispute Handling Operating Guidelines," "Financial Consumer Protection Law," and "Principles of Fair Hospitality in the Financial Services Industry" for implementation, and the specific implementation situation will be reported to the Board of Directors. The company has established a grievance mechanism and channel, and properly handles related matters. For details, please refer to the contact window on the company's website for handling. |  |
| (VI) Has the company formulated a                                                                                                                                                                                                                                                                                        | V | (VI) The company has established a "Supplier Management Policy" to evaluate the                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |

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| supplier management policy, requiring suppliers to follow relevant norms on issues such as environmental protection, occupational safety and health, or labor rights, and their implementation?                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |        | environmental protection implementation of its suppliers. In order to implement green procurement, it requires the suppliers to sign the "Supplier Social Responsibility Statement", and annually follow the "Supplier Management Questionnaire"., evaluate whether the supplier complies with labor and environmental regulations, etc., and will terminate or rescind the contract at any time if there is a violation of the policy.<br>Performance:<br>In 2023, a total of 34 evaluated suppliers (with annual procurement amounts exceeding NT\$300,000) were assessed.<br><table><tr><td>level</td><td>belong</td><td>number</td></tr><tr><td>Level A</td><td>Qualified</td><td>33</td></tr><tr><td>Level B</td><td>Limited</td><td>0</td></tr><tr><td>Level C</td><td>unqualified</td><td>0</td></tr></table> | level | belong | number | Level A | Qualified | 33 | Level B | Limited | 0 | Level C | unqualified | 0 |  |
| level                                                                                                                                                                                                                                                                                                                                                 | belong                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         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| V. Has the company prepared corporate social responsibility reports and other reports that disclose the company's non-financial information with reference to internationally accepted reporting standards or guidelines?<br><br>Has the previous disclosure report obtained the assurance or assurance opinion of the third-party verification unit? | V<br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br><br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## The process of identifying stakeholders and Identify major issues.



| Matrix of Major Issues      |      |                                                                                                                                                    |                                                                                                                                                                            |                                                                                                                                                                                                              |
|-----------------------------|------|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Stakeholders' Concern Level | High | Impact Level on Operations                                                                                                                         |                                                                                                                                                                            |                                                                                                                                                                                                              |
|                             |      | Low                                                                                                                                                | High                                                                                                                                                                       |                                                                                                                                                                                                              |
|                             |      | Low                                                                                                                                                | High                                                                                                                                                                       |                                                                                                                                                                                                              |
| 1                           |      | 13. information disclosure<br>9. Sustainable Finance                                                                                               | 2. Corporate Governance and Integrity Management<br>1. Customer privacy protection and information security<br>5. service quality<br>7. Talent cultivation and development | 1. Customer privacy protection and information security<br>2. Corporate Governance and Integrity Management<br>3. Talent attraction and retention<br>4. compliance with laws<br>5. service quality           |
|                             |      | 11.workplace environment<br>12. Human rights and gender equality<br>14. Brand Imagination<br>10. code of conduct                                   | 8. operating performance<br>4. compliance with laws<br>6. Risk Management<br>3. Talent attraction and retention                                                            | 6. Risk Management<br>7. Talent cultivation and development<br>8. operating performance<br>9. Sustainable Finance<br>10. code of conduct<br>11.workplace environment<br>12. Human rights and gender equality |
|                             |      | 15. Financial education<br>18. Social welfare<br>19. financial inclusion<br>17. Climate change and environmental protection<br>16.green purchasing |                                                                                                                                                                            | 13. information disclosure<br>14. Brand Imagination<br>15. Financial education<br>16.green purchasing<br>17. Climate change and environmental protection<br>18. Social welfare<br>19. financial inclusion    |
| Low                         |      |                                                                                                                                                    |                                                                                                                                                                            |                                                                                                                                                                                                              |

Note: For quotations of important performance under various communication frequencies, please refer to Chapter II of the annual "Corporate Social Responsibility Report " or the company's website area for details.

Note 1 : Details of policy, strategy, measure and execution must be provided for the implementation conditions that are ticked with a "Y", while details must be also provided if with a "N".

Note 2 : The principle of materiality refers to those who have a significant impact on the company's investors and other stakeholders in relation to environmental, social and corporate governance issues.

Note 3 : For disclosure methods, please refer to the Best Practice Reference Examples on the website of the Corporate Governance Center of the Taiwan Stock Exchange.

## (V-II) Climate-related information implementation status

| Item                                                                                                                                                                           | Implement situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Describe the oversight and governance of climate-related risks and opportunities by the board of directors and management.                                                  | <p>1. The board of directors of our company serves as the highest authority for overseeing and governing climate-related risks and opportunities. It is responsible for supervising and reviewing the progress of the implementation of the group's relevant risk policies and action plans.</p> <p>2. There are two functional committees that assist the board of directors in promoting climate-related risks and opportunities.</p> <p>2.1 Sustainability Committee: Formulates climate action plans and goals, regularly reviews the implementation of these plans, and reports on climate-related progress to the board of directors annually.</p> <p>2.2 Risk Management Committee: Reviews management policies for various risks, identifies climate-related risks and opportunities, and reports on the execution of climate risk management to the board of directors annually.</p>                                                             |
| 2. Describe how the identified climate risks and opportunities may impact the business, strategies, and finances of the company in the short term, medium term, and long term. | <p>The company convened senior executives and various departments for a climate change workshop to identify and assess potential climate risks and opportunities based on their significance and relevance to the company's operations.</p> <p>The identified climate risks with high impact and probability for the company include extreme weather events (such as flooding, strong typhoons, landslides), droughts, reputational risks, technological risks, and uncertain policy and regulatory changes. High-impact climate opportunities include potential increases in customer demand for products and services and associated business opportunities, as well as benefits from market trends towards green investments. Based on the identified climate risks and opportunities, the company has also devised response strategies aimed at reducing the impacts of climate change risks while seeking new opportunities amid the transition.</p> |

| Item                                                                                                                                                                                                      | Implement situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 3. Describe the financial impact of extreme climate events and transition actions.                                                                                                                        | <p>In response to physical risks arising from extreme weather events, such as operational disruptions to investment targets or losses due to flooding affecting operational sites and collateral, and opportunities for transition actions, such as costs associated with carbon reduction policies and regulations, industry transformation towards green energy and environmental protection, and reputational impacts from investing in high-polluting industries, the financial impacts are detailed on our company's official website under the ESG section in the Climate Sustainability Statement.</p> <p>Our company will continue to mitigate climate risk factors' impact on the value of financial products through portfolio diversification. To effectively manage extreme weather events and the transition to a low-carbon economy, climate change risks are integrated into operational decision-making, identifying and managing risks while addressing the crises of global warming and resource depletion. We are committed to responding to the energy-saving and carbon-reduction trend, undertaking mitigation and adaptation measures.</p> |
| 4. Describe how climate risk identification, assessment and management processes are integrated into the overall risk management system.                                                                  | <p>Our company has established risk policies and management practices covering operational, legal, and climate-related risks, addressing environmental protection, social responsibility, and corporate governance. The risk management policy of our company has incorporated climate risks and integrated their identification, assessment, and processes into the overall risk procedures of the company.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 5. If scenario analysis is used to assess resilience to climate change risks, the scenarios, parameters, assumptions, analysis factors and main financial impacts used should be explained.               | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 6. If there is a transformation plan to manage climate-related risks, describe the content of the plan, and the indicators and goals used to identify and manage physical risks and transformation risks. | <p>The Company follows low-carbon operational management indicators and sets short-term, medium-term, and long-term goals for greenhouse gas emissions reduction (Category 1 and Category 2) as follows:</p> <ol style="list-style-type: none"> <li>Greenhouse Gas Emissions (Category 1 and Category 2) <ol style="list-style-type: none"> <li>In 2024, the greenhouse gas emission intensity increased by 607% compared to the previous year (2023) due to the omission of fire extinguisher equipment as an emission source in the inventory for Category 1 in 2023.</li> <li>In 2024, the Company's energy indirect emissions (Category 2) decreased by 5.15% due to the installation of energy-saving equipment in data centers with higher electricity consumption.</li> </ol> </li> </ol> <p>Future Plans: We plan to complete the installation of office circulation fans and LED light panels within the next three years to sustainably reduce our electricity consumption.</p>                                                                                                                                                                         |

| Item                                                                                                                                                                                                                                                                                                                                                                                                                                            | Implement situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 7. If internal carbon pricing is used as a planning tool, the basis for setting the price should be stated.                                                                                                                                                                                                                                                                                                                                     | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 8. If climate-related goals are set, information such as the activities covered, greenhouse gas emission scope, planning schedule, annual achievement progress, etc. should be explained; if carbon offsets or renewable energy certificates (RECs) are used to achieve relevant goals, information such as Explain the source and quantity of carbon reduction credits or the quantity of renewable energy certificates (RECs) being redeemed. | <ol style="list-style-type: none"> <li>1. The Company follows the greenhouse gas emission reduction target (hereinafter referred to as the carbon reduction target) and sets a carbon reduction path with reference to the Science-based Carbon Reduction Target (SBT) method within the control of temperature rise of 1.5°C. The company sets a carbon reduction path every five years. Short-, medium- and long-term goals:<br/> (1) Short-term goal: cumulative reduction of 15% in 2028,<br/> (2) Mid-term goal: cumulative reduction of 25% in 2030.<br/> (3) Long-term goal: cumulative reduction of 50% in 2050.<br/> The carbon reduction targets cover operational activities at operational locations under Category 1 and Scope 2.</li> <li>2. We plan to use renewable energy sources and aim to utilize green energy by the year 2028, thereby achieving the medium-term target ahead of schedule.</li> <li>3. Regarding low-carbon transformation management indicators and goals, our company uses 2024 as the baseline year and calculates carbon emissions and carbon intensity.</li> </ol> |
| 9. Greenhouse gas inventory and confirmation, reduction goals, strategies and specific action plans (fill in 1-1 and 1-2 separately).                                                                                                                                                                                                                                                                                                           | Refer to 1-1 and 1-2 explanation,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

**Description:1-1 Company greenhouse gas inventory and confirmation status in the last two years**

**1-1-1 Greenhouse gas inventory information**

Describe the emission volume (metric tons CO<sub>2</sub>e), intensity (metric tons CO<sub>2</sub>e/million yuan) and data coverage of greenhouse gases in the past two years.

|                                                                      | 2023     | 2024       |
|----------------------------------------------------------------------|----------|------------|
| Total Emission (Tons CO <sub>2</sub> e)                              | 295.0116 | 1,870.8760 |
| Greenhouse gas emission intensity (tons CO <sub>2</sub> e / revenue) | 0.2138   | 1.8069     |

Note 1 : Includes the consolidated financial statements of parent and subsidiary companies.

Note 2 : The emissions unit is metric tons of CO<sub>2</sub>e.

Note 3 : Intensity is metric tons CO<sub>2</sub>e/revenue (million yuan)

Note 4 : Revenue for 2023: 1,379.822 million dollars.

Note 5 : Revenue for 2024: 1,155.672 million dollars.

Note 6 : Due to timing differences in electricity bill acquisition, the Tainan office uses the complete electricity bill for the maximum coverage period in 2024 as the basis for electricity consumption statistics.

Note 7 : After reviewing the greenhouse gas inventory operations, this year added the emission source inventory for fire extinguisher equipment (Category 1) to ensure the completeness of the inventory scope.

Note 8 : Category 3 includes employee commuting, business travel, and tap water.

- Note 1 : Direct emissions (Category 1, that is, directly from emission sources owned or controlled by the company), energy indirect emissions (Category 2, that is, indirect greenhouse gas emissions from the input of electricity, heat or steam) and other indirect emissions Amount (Category 3, that is, emissions generated by company activities, which are not indirect energy emissions, but come from emission sources owned or controlled by other companies).
- Note 2 : The coverage of direct emissions and energy indirect emissions data shall be handled in accordance with the timetable specified in the order specified in Paragraph 2 of Article 10 of these Guidelines. Other indirect emissions information may be disclosed voluntarily.
- Note 3 : Greenhouse gas inventory standard: Greenhouse Gas Protocol (GHG Protocol) or ISO 14064-1 issued by the International Organization for Standardization (ISO).
- Note 4 : The intensity of greenhouse gas emissions can be calculated per unit of product/service or turnover, but at least the data calculated in terms of turnover (NT\$ million) should be stated.

#### 1-1-2 Greenhouse Gas Confirmation Information

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Describe the confidence situation in the last two years as of the publication date of the annual report, including the scope of the confidence, the organization of the confidence, the criteria for the confidence and the opinion of the confidence.                                                                                                                                                                                                                                                                                                                                                                                                |
| The company's greenhouse gas emissions for 2023 and 2024 are self-reported and have not been verified by a third party.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| Note 1 : It should be handled in accordance with the timetable specified in the order stipulated in Article 10, Paragraph 2 of this Code. If the company fails to obtain a complete greenhouse gas assurance opinion by the publication date of the annual report, it should indicate that "the complete assurance information will be included in the sustainability report." "Disclosure". If the company does not prepare a sustainability report, it should indicate that "complete and confident information will be disclosed in the Public Information Observatory" and disclose complete and confident information in the next annual report. |
| Note 2 : Confirmed institutions should comply with the relevant requirements for certified institutions on sustainability reports stipulated by the Taipei Exchange (TPEX) and the Securities Over-the-Counter Trading Center of the Republic of China.                                                                                                                                                                                                                                                                                                                                                                                               |
| Note 3 : For disclosure content, please refer to the Best Practice Reference Examples on the website of the Taiwan Stock Exchange's Corporate Governance Center.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |

#### Description: 1-2 Greenhouse gas reduction goals, strategies and specific action plans

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Describe the greenhouse gas reduction base year and its data, reduction targets, strategies, specific action plans and achievement of reduction targets.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <p>The Company follows the greenhouse gas emission reduction target (hereinafter referred to as the carbon reduction target) and sets a carbon reduction path with reference to the Science-based Carbon Reduction Target (SBT) method within the control of temperature rise of 1.5°C. The company sets a carbon reduction path every five years. Short-, medium- and long-term goals:</p> <p>(1) Short-term goal: cumulative reduction of 15% in 2028,</p> <p>(2) Mid-term goal: cumulative reduction of 25% in 2030.</p> <p>(3) Long-term goal: cumulative reduction of 50% in 2050.</p>                                                              |
| Note 1 : It should be handled in accordance with the timetable specified in the order stipulated in Article 10, Paragraph 2 of these Guidelines.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Note 2 : The base year should be the year in which the review is completed based on the boundaries of the consolidated financial report. For example, in accordance with the provisions of Article 10, Paragraph 2 of these Standards, companies with a capital of more than 10 billion yuan should complete the consolidated financial report in 2025. For the inventory, the base year of 2024. If the company has completed the inventory of the consolidated financial report in advance, the earlier year can be used as the base year. In addition, the data for the base year can be calculated as the average of a single year or several years. |
| Note 3 : For disclosure content, please refer to the Best Practice Reference Examples on the website of the Taiwan Stock Exchange's Corporate Governance Center.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

|                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Company basic information</p> <p><input type="checkbox"/> Companies with capital of more than NT\$10 billion, steel industry, cement industry</p> <p><input type="checkbox"/> Companies with capital of more than NT\$5 billion but less than NT\$10 billion</p> <p><input checked="" type="checkbox"/> Companies with capital of less than NT\$5 billion</p> | <p>According to the provisions of the sustainable development road map of listed companies, at least the following should be disclosed.</p> <p><input checked="" type="checkbox"/> Parent company individual inspection</p> <p><input checked="" type="checkbox"/> Consolidated Financial Reporting Subsidiary Inventory</p> <p><input type="checkbox"/> The parent company is personally confirmed</p> <p><input type="checkbox"/> Consolidated Financial Reporting Subsidiary Confirmed</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| Category 1                                        | Total Emission (Tons CO <sub>2</sub> e) | density (metric tons CO <sub>2</sub> e/million) (Note 2) | Believe in the organization | Description of convinced circumstances (Note 3) |
|---------------------------------------------------|-----------------------------------------|----------------------------------------------------------|-----------------------------|-------------------------------------------------|
| Merger of parent and subsidiary companies in 2024 | 1,870.8760                              | 1.6189                                                   | None                        | None                                            |
| Merger of parent and subsidiary companies in 2023 | 62.2056                                 | 0.0451                                                   |                             |                                                 |
| Category 2                                        | Total Emission (Tons CO <sub>2</sub> e) | density (metric tons CO <sub>2</sub> e/million) (Note 2) | Believe in the organization | Description of convinced circumstances (Note 3) |
| Merger of parent and subsidiary companies in 2024 | 208.9640                                | 0.1808                                                   | None                        | None                                            |
| Merger of parent and subsidiary companies in 2023 | 220.3062                                | 0.1597                                                   |                             |                                                 |
| Category 3 (Voluntary disclosure)                 | Total Emission (Tons CO <sub>2</sub> e) | density (metric tons CO <sub>2</sub> e/million) (Note 2) | Believe in the organization | Description of convinced circumstances (Note 3) |
| Merger of parent and subsidiary companies in 2024 | 8.3676                                  | 0.0072                                                   | None                        | None                                            |
| Merger of parent and subsidiary companies in 2023 | 12.4998                                 | 0.0091                                                   |                             |                                                 |

(VI) Implementation status as well as deviations and reasons from "the Ethical Corporate Management Principles for TWSE/TPEX Listed Companies"

| Evaluation items                                                                                                                                                                                                                                                                                            | Implementation status |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Deviations and reasons |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
|                                                                                                                                                                                                                                                                                                             | Y                     | N | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                        |
| I. Establishment of the ethical corporate management policy and project.                                                                                                                                                                                                                                    |                       |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                        |
| (I) Does the Company declare its ethical corporate management policy and procedure in its guideline and external document, as well as the commitment from its board to implement the policy?                                                                                                                | V                     |   | The Company has established its ethical corporate management policy for its enterprise culture and commercial operation, with the board and high-ranking executives promising to materialize the policy.                                                                                                                                                                                                                                                                                     | No deviation           |
| (II) Does the Company establish appropriate precautions against high-potential unethical conducts or listed activities stated in Article 2, Paragraph 7 of the Ethical Corporate Management Principles for TWSE/ TPEX Listed Companies?                                                                     | V                     |   | With relevant rules being established to govern director and manager, the Company has routinely executed precaution measure based on the Article 2, Paragraph 7 of the Ethical Corporate Management Principles for TWSE/TPEX Listed Companies With relevant rules being established to govern director and manager, the Company has routinely executed precaution measure based on the Article 2, Paragraph 7 of the Ethical Corporate Management Principles for TWSE/TPEX Listed Companies. | No deviation           |
| (III) Does the Company establish policy to prevent unethical conduct with clear statement regarding relevant procedure, guideline of conduct, punishment for violation, rule of appeal, and the commitment to implement the policy?                                                                         | V                     |   | The Company has established procedure, guideline of conduct, punishment for violation, and reporting system to prevent unethical conduct.                                                                                                                                                                                                                                                                                                                                                    | No deviation           |
| II. Fulfill operation with integrity                                                                                                                                                                                                                                                                        |                       |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                        |
| (I) Does the Company evaluate business partners' ethical records and include ethics-related clauses in business contracts?                                                                                                                                                                                  | V                     |   | Does the Company establish any exclusively dedicated unit supervised by the board to be in charge of corporate integrity, or regularly report to the board its execution?                                                                                                                                                                                                                                                                                                                    | No deviation           |
| (II) Does the company establish a dedicated unit under the board of directors for promoting corporate integrity management and regularly (at least once a year) report to the board on its integrity management policies, unethical behavior prevention plans, and the supervision of their implementation? | V                     |   | To avoid the deceitful conduct, the Company undertakes credit examination against the supplier, and includes the rights-obligations clause in business contracts. The Company has set up a sustainable development committee to watch over corporate integrity, check potential deceitful events, and report to the board regularly for its execution and promulgation to employees.                                                                                                         | No deviation           |

| Evaluation items                                                                                                                                                                                                     | Implementation status |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Deviations and reasons |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|
|                                                                                                                                                                                                                      | Y                     | N | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                        |
| (III) Does the Company establish policies to prevent conflicts of interest and provide appropriate communication channels, and implement it?                                                                         | V                     |   | According to the board's rules of procedure, directors are forbidden to join the discussion and voting, or act as the proxy for other directors, in the matters that will impact the Company's interest due to personal interest or the interest of institutional shareholders represented by the directors.                                                                                                                                                                                     | No deviation           |
| (IV) Has the Company established effective systems for both accounting and internal control to facilitate ethical corporate management, and are they audited by either internal auditors or CPAs on a regular basis? | V                     |   | The Company has a rigorous accounting system and an exclusively dedicated accounting unit, in order to ensure the fairness of financial statements which are also audited by internal audit team.                                                                                                                                                                                                                                                                                                | No deviation           |
| (V) Does the Company regularly hold internal and external educational trainings related to operational integrity?                                                                                                    | V                     |   | The company conducts relevant internal and external education and training sessions periodically. The company conducts relevant internal and external education and training sessions periodically. Information regarding the implementation of integrity management education and training, as well as related procedures, is disclosed on the company's official website/ESG section. Whenever there are new additions or revisions to these procedures, all employees are notified via email. | No deviation           |
| III. Operation of the integrity reporting channel                                                                                                                                                                    |                       |   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                        |
| (I) Does the Company establish a reward/punishment system and an integrity reporting hotline? Can the accused be reached by an appropriate person for follow-up?                                                     | V                     |   | The Company has enacted the reporting channel according to personnel regulation and internal control system set by business departments. In addition, employees can file an integrity reporting and complaint with direct chiefs, while the investor-relation page also offers the accessibility for such reporting.                                                                                                                                                                             | No deviation           |
| (II) Does the Company establish a standard operating procedure for confidential reporting when investigating accusation cases, or prepare any follow-up and protection move?                                         | V                     |   | The Company has stipulated it well for the setting-up of the rules about the ethical corporate management principles which are enacted accordingly.                                                                                                                                                                                                                                                                                                                                              | No deviation           |
| (III) Does the Company provide proper protection to whistleblower who will not face inappropriate treatment?                                                                                                         | V                     |   | The Company has stipulated it well for the setting-up of the rules about the ethical corporate management principles which are enacted accordingly.                                                                                                                                                                                                                                                                                                                                              | No deviation           |

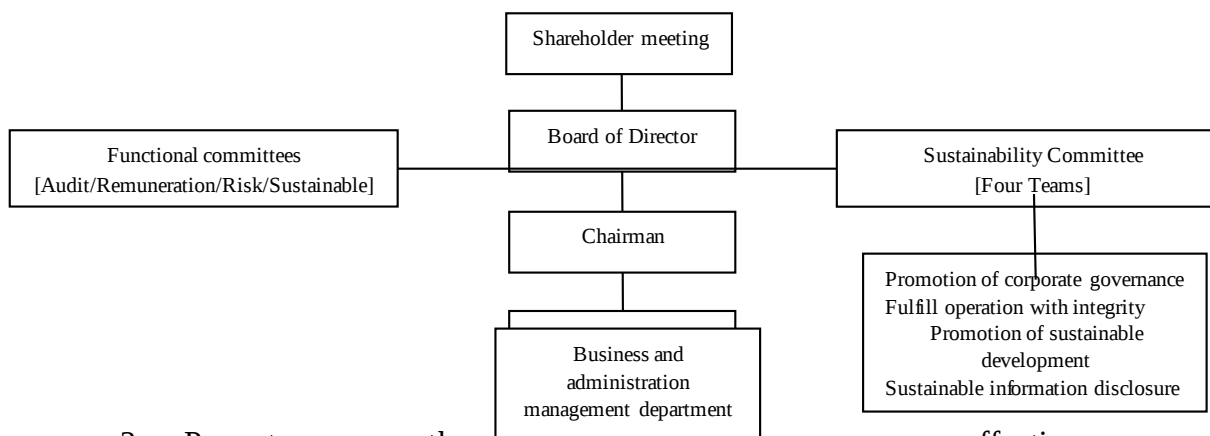
| Evaluation items                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Implementation status |   |                                                                                                                                            | Deviations and reasons                                                                                                                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---|--------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Y                     | N | Explanation                                                                                                                                |                                                                                                                                                          |
| IV. Strengthening of information disclosure<br>Does the Company disclose its ethical corporate management principles and the results of its implementation on the Company's website and MOPS?                                                                                                                                                                                                                                                                                                                                                                                                                                 | V                     |   | The Company has formulated the ethical corporate management principles which are revealed on the official website, annual report and MOPS. | No deviation from the "Codes of Ethical Conduct for TWSE/TPEX Listed Companies," and we will continue to comply with relevant regulations in the future. |
| V. If the Company has established the ethical corporate management principles based on the Ethical Corporate Management Principles for TWSE/TPEX Listed Companies, please describe any discrepancy between the principles and their implementation: There have been no differences.                                                                                                                                                                                                                                                                                                                                           |                       |   |                                                                                                                                            |                                                                                                                                                          |
| VI. Other mentionable information to facilitate a better understanding of the Company's ethical corporate management policy (e.g., review and amend its policy). The personnel department is in charge of implementing the three events as follow:<br>(I) Establishment of the ethical corporate management policy and project.<br>(II) Fulfillment of the ethical corporate management policy.<br>(III) Setting-up of a confidential reporting system.<br>The above-mentioned events are carried out effectively, with no violations of the policy or occurrences of confidential reporting taking place in the fiscal year. |                       |   |                                                                                                                                            |                                                                                                                                                          |

Note 1 : Approach to search the corporate governance principles and relevant regulations as defined by the Company.

Note 2 : The principle of materiality refers to those who have a significant impact on the company's investors and other stakeholders in relation to environmental, social and corporate governance issues.

(VII) Other important information that helps understand corporate governance:

1. Company governance structure:



2. Promote the effectiveness of corporate governance implementation (including specific quantitative performance): Please refer to the ESG section/governance or sustainability report on our company's official website.

3. The succession and operation of the company's chairman and important management:

- (1) In order to organize a good management team, the company selects candidates for the personnel appointment at the management level. In addition to excellent work ability and professional knowledge, values and personality traits must also be consistent with the company's business philosophy (active, active, Responsible and pragmatic).

The succession planning of board members and important management layers also adheres to the management personnel appointment principle and implements it in accordance with the times. It will be carried out next time after considering suitable candidates and at the right time to ensure the effective continuation of the leadership style and business philosophy.

- (2) For details of the succession operation, please refer to the investor -corporate governance section of the company's website.

(VIII) Implementation status of internal control

1. Declaration of internal control system

**Grand Fortune Securities Co., Ltd.**  
**Declaration of internal control system**

Date: March 15, 2025

The internal control system in 2024 conformed to the following declarations made in accordance with the self-inspection conducted:

1. We understand it is the responsibility of the Company's management to have internal control system established, enforced, and maintained. The Company has the internal control system established to provide a reasonable assurance for the realization of operating effect and efficiency (including profits, performance, and assets safety), the reliability of financial report, and the obedience of relevant regulations.
2. Internal control system is designed with limitations; therefore, no matter how perfectly it is designed, an effective internal control system is to ensure realization of the aforementioned three objectives. Due to the change of environment and condition, the effectiveness of an internal control system could change at any time. Our internal control system is designed with self-monitoring mechanism; therefore, we are able to have corrective actions initiated upon identifying any nonconformity.
3. We have based on the internal control criteria of "Governing Rules for handling internal control system by public offering companies" (referred to as "the Governing Rules" hereinafter) to determine the effectiveness of internal control design and enforcement. The internal control criteria of the "Governing Rules" are the management control process and with the internal control divided into five elements: 1. Environment control, 2. Risk analysis, 3. Control process, 4. Information and communication, and 5. Supervision. Each element is subdivided into several items. Please refer to the "Governing Rules" for the details of the said items.
4. We have based on the aforementioned internal control criteria to inspect the effectiveness of internal control design and enforcement.
5. We believe that our audits provide a reasonable basis for our opinion. On December 31, 2024, those standards require that we plan and perform the audit to obtain reasonable assurance about whether the internal control system (including the supervision and management over the subsidiaries) including the fulfillment of business performance and efficiency, the reliability of financial statements and the obedience of governing regulations, and the design and enforcement of internal control system is free of material misstatement and is able to ensure the realization of the aforementioned objectives.
6. The Declaration of Internal Control is the content of our annual report and prospectus for the information of the public. For any forgery and concealment of the aforementioned information to the public, we will be held responsible by law in accordance with Securities Transaction Regulation No. 20, No. 32, No. 171, and NO. 174.
7. We hereby declared that the Declaration of Internal Control was approved by the Board of Directors on March 15, 2025, unanimously by the eight directors at the meeting.

Grand Fortune Securities Co., Ltd.

Chairman: Huang Bing-Jing

CEO: Chen Sung-Cheng

Chief Auditor: Huang Wan-Jan

Chief supervisor responsible for information: Tang Zin Chiang

**Grand Fortune Securities Co., Ltd.**  
**Internal control system must be strengthened matters and improvement plan**  
 (Base date: December 31, 2014)

| Must be strengthened matters                                                                                                                                                                                                                                                                    | Improvement measure                                                                                                                                                            | Expected to complete |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
| 1. On August 8, 2024 received a letter with reference number 11606003831 from Taipei Exchange<br><br>(1) The company's external firewall parameter settings do not include both uppercase and lowercase letters and special characters, failing to meet the requirements for a strong password. | The firewall device has been configured according to good password standards                                                                                                   | Already Improved     |
| (2) The company's general employee accounts on personal computers should have the highest privilege controlled.                                                                                                                                                                                 | The procurement and construction of the highest authority recycling system has been completed, and the highest county-wide recycling control of personal computers is ongoing. | Already Improved     |
| (3) After conducting vulnerability scanning on the company's information systems and websites, the company did not promptly carry out vulnerability patching and retesting.                                                                                                                     | Vulnerabilities have been patched and an external information professional vendor has been commissioned to complete vulnerability scanning and retesting operations.           | Already Improved     |
| (4) The company's network segment configuration has some areas without appropriate network segregation mechanisms.                                                                                                                                                                              | The network segment adjustment of personal computers and OA areas has been completed.                                                                                          | Already Improved     |
| (5) Some of the company's information systems have not conducted account privilege reviews every six months.                                                                                                                                                                                    | The information and communication system has implemented an inventory of account permissions every six months.                                                                 | Already Improved     |

Note: Please list in detail the penalty imposed by the competent authority (inclusive) or more than NT\$240,000 or more; please also list the findings of the competent authority, stock exchange, stock exchange trading center, and futures exchange. Improvement of information security deficiencies

2. Declaration: Declaration of internal control system for preventing money laundering and combating the financing of terrorism

### **Anti-Money Laundering and Counter-Terrorist Financing Internal Control Statement**

On behalf of Grand Fortune Securities Co., Ltd., I hereby declare that the company has indeed complied with the relevant laws and regulations concerning anti-money laundering and counter-terrorism financing from January 1, 2024 to December 31, 2025. We have established internal control systems, implemented risk management measures, and conducted audits by an independent audit department, reporting regularly to the Board of Directors and the Audit Committee. After careful assessment, it is confirmed that the internal controls for anti-money laundering and counter-terrorism financing across all departments during the fiscal year were effectively executed, except for the matters listed in the attached "Areas for Strengthening Anti-Money Laundering and Counter-Terrorist Financing Internal Control Systems and Improvement Plans."

**To Financial Supervisory Commission**

**The Declaration: Grand Fortune Securities Co. Ltd.**

**Director: Huang Bing-Jing**

**President: Chen Sung-Cheng**

**Audit Manager: Huang Wang-Jin**

**Anti-Money Laundering and Counter-Terrorist: Yang Mu-Yu**

**March 15, 2025**

**Grand Fortune Securities Co. Ltd.**

**Areas for strengthening and improvement plans for internal controls on anti-money laundering and combating the financing of terrorism**

**(Baseline date: December 31, 2014)**

| <b>Are as requiring improvement</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>Improvement measures</b>                                                                                                                                                                                                                                                                                                                                                       | <b>Expected completion time for improvements</b>                                                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| 1. The company plans to launch new businesses and services such as in-market odd lot trading and online account opening. According to Article 3 of the "Regulations on the Implementation of Internal Control and Audit Systems for Anti-Money Laundering and Combating the Financing of Terrorism by Securities, Futures, and Other Financial Institutions Designated by the Financial Supervisory Commission," a money laundering and terrorism financing risk assessment must be conducted prior to the launch of new products, services, or the introduction of new types of business. Additionally, corresponding risk management measures should be established to mitigate the identified risks. | 1. A reminder was made at the Anti-Money Laundering and Countering the Financing of Terrorism (AML/CFT) meeting on February 13, 2025. Before the launch schedule for the new business and services by the brokerage department is confirmed, a related AML/CFT and terrorism financing risk assessment will be conducted, and corresponding control measures will be established. | 1. It will be completed before the brokerage department officially launches new businesses and services. |

(IX) Resolutions reached in the shareholder meeting and the board of director in the recent fiscal year and up to the date of the annual report printed:

1. Important resolutions at the general shareholder meeting (April 30, 2024):

| Matter             | Resolution and implementation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Implement situation                                                                                                              |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| Acknowledge matter | First Proposal: Proposal for the 2023 Annual Operating Report and Financial Statements.<br>Resolution: Resolution: After voting by shareholders present, the number of votes in favor has exceeded the statutory amount, and this case was passed as the case was.<br>Second Proposal: Proposal for the distribution of profits for the fiscal year 2023.<br>Resolution: After voting by shareholders present, the number of votes in favor has exceeded the statutory amount, and this case was passed as the case was.                                                                                                                                                                                                                                                                          | Relevant forms have been reported to the competent authority and announced in accordance with the regulations.<br><br>As passed. |
| Discussion matter  | First Proposal: Amendment to the "Articles of Incorporation".<br>Resolution: As a result of voting by the shareholders present, the number of votes in favor has exceeded the statutory amount, and the case was passed as the case was.<br>Second Proposal: Issuance of unsecured convertible corporate bonds domestically through private placement.<br>Resolution: As a result of voting by the shareholders present, the number of votes in favor has exceeded the statutory amount, and the case was passed as the case was.<br>Third Proposal: Case of lifting the restriction on director's non-compete clause.<br>Resolution: As a result of voting by the shareholders present, the number of votes in favor has exceeded the statutory amount, and the case was passed as the case was. | As passed.<br><br>As passed.<br><br>As passed.                                                                                   |
| Election matter    | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                  |

2. Major resolution at the board of director

| th/time<br>Date<br>(year/month/day)        | Major Resolution                                                                                                                                                                                                                                                                                 | Listed items<br>in Stock and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response | Attendance by director /<br>Voting                                                         |
|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| The fourteenth,<br>6th January 29,<br>2024 | Continued assessment of the independence and suitability of the company's auditors, as well as pre-approval of non-assurance services.                                                                                                                                                           | -                                                     | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                            | Addition of "Outsourcing Procedures", "Handling Mechanism for Significant Occasional Events", revision of "Information Security Inspection Mechanism", "Subsidiary Monitoring Operations Regulations", and "Handling and Reporting Mechanism for Significant and Significant Occasional Events". | ✓                                                     | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                            | Proposal to increase the high customer                                                                                                                                                                                                                                                           | ✓                                                     | The credit                                                      | The chairman sought the                                                                    |

| th/time<br>Date<br>(year/month/day)      | Major Resolution                                                                                                | Listed items<br>in Stock and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response               | Attendance by director /<br>Voting                                                         |
|------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
|                                          | margin trading and securities lending credit limit to four billion.                                             |                                                       | limit amount for this agenda item shall be annotated as "New Taiwan Dollars." | approval of all attending directors for the photo-taking proposal.                         |
|                                          | Approval for the change of name for Grand Fortune Venture Capital (Stock) Company.                              | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Proposal for the allocation ratio of directors' and employees' remuneration for the 2023.                       | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Discussion on the remuneration distribution for executives and subsidiary managers for the years 2022 and 2023. | ✓                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Discussion on the remuneration distribution for the Chairman and Executive Directors for the 2023.              | ✓                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Consultant appointment proposal.                                                                                | ✓                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Resolution to lift restrictions on competition for executives and directors.                                    | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Acceptance of proposals for shareholder meeting agendas submitted by shareholders.                              | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Establishment of matters related to the 2024 annual general meeting of shareholders.                            | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>7th March 12,<br>2024 | Proposal for 2023 Fiscal Year Business Report.                                                                  | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Proposal for the distribution of earnings for the 2023 fiscal year.                                             | -                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                          | Proposal for the distribution of cash dividends for the 2023 fiscal year.                                       | ✓                                                     | -                                                                             | The chairman sought the approval of all attending directors for the photo-taking proposal. |

| th/time<br>Date<br>(year/month/day)  | Major Resolution                                                                                                                                                                                                                                                                  | Listed items<br>in Stock<br>and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response | Attendance by director /<br>Voting                                                         |
|--------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------|
|                                      | Amendment to the "Articles of Incorporation".                                                                                                                                                                                                                                     | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Self-assessment of the company's internal control system for the 2023 fiscal year, including the internal control system and the anti-money laundering and counter-terrorism financing internal control system declaration.                                                       | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Disclosure of qualitative information on risk management for the 2023 fiscal year.                                                                                                                                                                                                | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Issuance of unsecured convertible corporate bonds domestically through private placement.                                                                                                                                                                                         | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Addition of agenda items for the 2024 Annual General Meeting of Shareholders for review.                                                                                                                                                                                          | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>8th May 6, 2024   | Proposal for the first quarter financial report for 2024.                                                                                                                                                                                                                         | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Amendment of the internal control systems for "Brokerage Commissioned Trading and Transaction Operations," "Equity Services Operations," and "Management for Preventing Insider Trading" for the company (including internal audit implementation rules and audit detail sheets). | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Amendment to the Company's "Financial Consumer Dispute Handling SOP" and incorporation into the Attachment of the "Financial Consumer Dispute and Complaint Handling Procedures."                                                                                                 | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>9th July 11, 2024 | Amendment of the internal control system for the company (including internal audit implementation rules and audit detail sheets).                                                                                                                                                 | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Amendment of the Company's "Insider Trading Prevention Management Procedures" and "Subsidiary Monitoring Procedures."                                                                                                                                                             | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | The Company proposes the issuance of the first domestically unsecured convertible corporate bonds.                                                                                                                                                                                | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                      | Discuss the distribution of directors' remuneration for 2023 by The Company.                                                                                                                                                                                                      | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |

| th/time<br>Date<br>(year/month/day)         | Major Resolution                                                                                                                                                      | Listed items<br>in Stock<br>and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response | Attendance by director /<br>Voting                                                         |
|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------|
|                                             | Discussion on the changes of the Company Manager.                                                                                                                     | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Discussion on the remuneration of the managers of the Company.                                                                                                        | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>10th August 13,<br>2024  | Proposal for the second quarter financial report for 2024.                                                                                                            | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Amendment of the internal control system for the company - "Brokerage of Foreign Securities" (including internal audit implementation rules and audit detail sheets). | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Formulation of the Company's "Operational Outsourcing Management Procedures."                                                                                         | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Amendment of the Company's "Risk Management Policy" and "Risk Management Procedures."                                                                                 | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Proposal for the 2023 sustainability report of The Company.                                                                                                           | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Proposal to change the institutional name of the subsidiary, Foryou Capital Co., Ltd.                                                                                 | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Its subsidiary, Grand Fortune Management Corporation, plans to invest in establishing a new venture capital business project.                                         | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>11th October 22,<br>2024 | Proposal for the third quarter financial report for 2024.                                                                                                             | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Amendment of the internal control system for the company regarding "Brokerage of Foreign Securities CA-18320, CA-18330".                                              | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Amendment of the Company's "Corporate Governance Practices" and "OTC Stock Negotiated Buying and Selling Internal Operation Regulations."                             | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                             | Discussion on the remuneration of the managers of the Company.                                                                                                        | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |

| th/time<br>Date<br>(year/month/day)          | Major Resolution                                                                                                                                                                                                                                                     | Listed items<br>in Stock<br>and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response | Attendance by director /<br>Voting                                                         |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| The fourteenth,<br>12th December<br>17, 2024 | Proposal for the operational plan and budget for the fiscal year 2025.                                                                                                                                                                                               | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Authorization for amendments to credit agreements with various financial institutions for the year 2025.                                                                                                                                                             | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Proposal for the internal audit operation check plan for the fiscal year 2025.                                                                                                                                                                                       | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Amendment of the internal control system(including Futures IB) and establishment of the Company's "Internal Control System for the Management of Sustainable Information," including internal audit implementation rules, audit detail sheets, and audit workpapers. | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Amendment of the Company's "Sustainable Development Committee Organizational Regulations," formulation of the "Sustainable Information Management Procedures," and amendment of the "Financial Consumer Dispute and Complaint Handling Procedures."                  | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Proposal for the risk limits and allocation for the year 2025 of The Company.                                                                                                                                                                                        | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Discussion on the appointment proposal for the Company's CEO.                                                                                                                                                                                                        | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Discussion on the changes of the Company Manager.                                                                                                                                                                                                                    | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | The severance payment proposal for CEO Lin, Ying-Ming and the senior consultant appointment proposal.                                                                                                                                                                | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>13th January 17,<br>2025  | Change of the designated CPA appointed from Deloitte Taiwan for the Company.                                                                                                                                                                                         | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Assessment of the independence and competency of The Company's CPAs, as well as pre-approval of non-assurance service matters.                                                                                                                                       | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Amendment of the internal control system for the company, internal audit implementation rules, and audit detail sheets.                                                                                                                                              | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |

| th/time<br>Date<br>(year/month/day)          | Major Resolution                                                                                                                                                                                                                  | Listed items<br>in Stock<br>and<br>Exchange<br>Act, 14-3 | Independent<br>directors'<br>opinion and<br>company<br>response | Attendance by director /<br>Voting                                                         |
|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------|
|                                              | The selection proposal for Members of the Company's Sustainability Committee.                                                                                                                                                     | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Proposal for the allocation ratio of directors' and employees' remuneration for FY2025 and recognition of directors' and employees' remuneration amounts for 2024.                                                                | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Discussion on the remuneration distribution for the Chairman, Executive Directors, managers, and subsidiary managers for the years 2023 and 2024.                                                                                 | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Acceptance of proposals for shareholder meeting agendas submitted by shareholders.                                                                                                                                                | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Establishment of matters related to the 2025 annual general meeting of shareholders.                                                                                                                                              | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
| The fourteenth,<br>14th February 25,<br>2025 | Proposal for the second quarter financial report for the fiscal year 2023.                                                                                                                                                        | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Amendment of the internal control systems for the company regarding "Brokerage Commissioned Trading and Transaction Operations CA-11210" and the internal control system for the operation of futures trading auxiliary business. | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | Amendment of the company's internal control system for "Important Matters for Anti-Money Laundering and Countering the Financing of Terrorism-Appendix on Patterns," including auxiliary business for transactions.               | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | The Company proposes a cash capital increase for its subsidiary, Grand Fortune Venture Capital Corporation.                                                                                                                       | -                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |
|                                              | The Company intends to revoke the proposal for the issuance of the first domestically unsecured convertible corporate bonds for 2024.                                                                                             | ✓                                                        | -                                                               | The chairman sought the approval of all attending directors for the photo-taking proposal. |

(X) Record or written statement made by a director or supervisor dissenting to important resolution passed by the board of director: None.

**V. CPA fee information: CPA fee information is disclosed on the basis of fee range**

Unit: NT\$1,000

| Name of the accounting firm                        | Name of the accountant | Audit Period | Audit expenses | Non-audit expenses | Total | Note |
|----------------------------------------------------|------------------------|--------------|----------------|--------------------|-------|------|
| Deloitte & Touche Taipei, Taiwan Republic of China | Hsieh, Chien-Hsin      | 2024         | 2,050          | 519                | 2,569 | Note |
|                                                    | Chen, Chiang-Hsun      |              |                |                    |       |      |

Note 1 : Non-audit public expenses mainly include tax visas, sustainability report assurance services, report printing, and other public expenses.

**VI. Change of CPA: None.**

To accommodate the internal adjustments of Deloitte Taiwan, starting from the first quarter of the 2025 certification process, the certification CPAs were changed to CPA Liao, Wan-Yi and CPA Hsieh, Chien-Hsin, replacing CPA Hsieh, Chien-Hsin and CPA Chen, Chiang-Hsun .

**(I) About the former CPA**

|                                                                                           |                                                          |                |                                    |
|-------------------------------------------------------------------------------------------|----------------------------------------------------------|----------------|------------------------------------|
| Date of change                                                                            | January 17, 2025 (approved by the Board of Directors)    |                |                                    |
| Cause and explanation                                                                     | Internal business realignment of Deloitte Taiwan (Note:) |                |                                    |
| Explanation for termination of appointment by appointer or CPA                            |                                                          | CPA            | Appointer                          |
|                                                                                           | Automatic termination                                    | Not applicable |                                    |
|                                                                                           | Refusal for continuation                                 |                |                                    |
| Opinions and reasons in audit reports other than unqualified opinions in recent two years | Not applicable                                           |                |                                    |
| Different opinions with securities houses                                                 | Yes                                                      |                | Accounting rule and practice       |
|                                                                                           |                                                          |                | Disclosures of financial statement |
|                                                                                           |                                                          |                | Scope and procedure in audit       |
|                                                                                           |                                                          |                | Others                             |
|                                                                                           | None                                                     | √              |                                    |
|                                                                                           | Note                                                     |                |                                    |
| Other disclosures (Subject to Regulations Governing Securities Firms, Article 31)         | None                                                     |                |                                    |

Note: The Company's former CPAs were Hsieh, Chien-Hsin and Chen, Chiang-Hsun, and in 2025 the Company appointed Liao, Wan-Yi and Hsieh, Chien-Hsin as new CPAs, due to the realignment of job positions in Deloitte Taiwan.

**(II) About the new CPA**

|                                                                                                         |                |
|---------------------------------------------------------------------------------------------------------|----------------|
| CPA firm                                                                                                |                |
| Name of the accountant                                                                                  |                |
| Date of appointment                                                                                     |                |
| Opinions provided by the new CPA in respect of accounting method and principle for special transactions | Not applicable |
| Written opinions in matters form between the former and new CPAs                                        |                |

(III) Reply from the former accountant to the matters in Subparagraph 1 of Article 24, Subparagraph 2 and Subparagraph 3 of Subparagraph 2 of Subparagraph 2 of Article 24 of the Financial Reporting Standards for the Insurance Industry: None.

**VII. The chairman, president, and financial or accounting manager of the Company who had worked for the independent auditor or the related party in the most recent year: None.**

**VIII. Information on change in shareholding and change in shares pledged by director, supervisor, department head and shareholder with an over-10% stake or more:**

(I) Information on change of shareholding

Unit: share

| Job Title                                                              | Name                                 | 2024                        |                                     | As of March 4, 2025         |                                     |
|------------------------------------------------------------------------|--------------------------------------|-----------------------------|-------------------------------------|-----------------------------|-------------------------------------|
|                                                                        |                                      | Share increase / (decrease) | Pledged share increase / (decrease) | Share increase / (decrease) | Pledged share increase / (decrease) |
| Chairman                                                               | Huang, Ping-Chun                     | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Huang Hsien-Hua                      | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Cai Ci Er Asset Management Co., Ltd. | 0                           | 0                                   | 0                           | 0                                   |
|                                                                        | Lin, Huo-Teng (Note 6)               | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Cheng, Keng-I                        | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Dayou Investment Co., Ltd.           | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Representative: Li, Ching-Jung       | 0                           | 0                                   | 0                           | 0                                   |
| Director                                                               | Huang, Chih-Chiang                   | 0                           | 0                                   | 0                           | 0                                   |
| Independent Director                                                   | Lo, Neng-Ching                       | 0                           | 0                                   | 0                           | 0                                   |
| Independent Director                                                   | Hsu, Mei-Li                          | 0                           | 0                                   | 0                           | 0                                   |
| Independent Director                                                   | Wu, Chung-Chun                       | 0                           | 0                                   | 0                           | 0                                   |
| Deputy General Manager                                                 | Chen, Sung-Cheng (Note 5)            | 0                           | 0                                   | 0                           | 0                                   |
| Vice president / governance head                                       | Shen, Hsin-Hsien                     | -58,000                     | 0                                   | 0                           | 0                                   |
| Deputy General Manager                                                 | Lan, Shun-Te (Note 3)                | 0                           | 0                                   | 20,000                      | 0                                   |
| Deputy General Manager                                                 | Vice General Manager                 | -40,000                     | 0                                   | 154,104                     | 0                                   |
| Deputy General Manager                                                 | Huang, Chun-Jung                     | -46,000                     | 0                                   | 988                         | 0                                   |
| Deputy General Manager                                                 | Cheng, Chih-Wen                      | +29,000                     | 0                                   | 35,000                      | 0                                   |
| Associate Manager and Head of Accounting Department<br>Department head | Chu, Shih-Cheng                      | 0                           | 0                                   | 0                           | 0                                   |
| Associate                                                              | Chiu, Meng-Chao                      | -51,842                     | 0                                   | 0                           | 0                                   |
| Associate                                                              | Huang, Chun-I                        | 0                           | 0                                   | 0                           | 0                                   |
| Associate                                                              | Hsu, Pin-Wei                         | 0                           | 0                                   | 0                           | 0                                   |
| Associate                                                              | Li, Li-Ling                          | 0                           | 0                                   | 0                           | 0                                   |
| Associate                                                              | Hsieh, Cho-Mei                       | 0                           | 0                                   | 0                           | 0                                   |
| Compliance officer                                                     | Yang, Mu-Yu                          | -25,000                     | 0                                   | 53,660                      | 0                                   |
| Audit supervisor                                                       | Huang, Wan-Chen                      | 0                           | 0                                   | 0                           | 0                                   |
| Senior Associate                                                       | Wang, Mei-Chuan (Note 4)             | -70,000                     | 0                                   | 11,398                      | 0                                   |

| Job Title           | Name            | 2024                        |                                     | As of March 4, 2025         |                                     |
|---------------------|-----------------|-----------------------------|-------------------------------------|-----------------------------|-------------------------------------|
|                     |                 | Share increase / (decrease) | Pledged share increase / (decrease) | Share increase / (decrease) | Pledged share increase / (decrease) |
| Senior Associate    | Chen, Yun-Chi   | 0                           | 0                                   | 0                           | 0                                   |
| Associate           | Chan, Yao-Hung  | 0                           | 0                                   | 0                           | 0                                   |
| Associate           | Wang, Kuo-Lien  | 0                           | 0                                   | 0                           | 0                                   |
| Associate           | Huang, Shen-Hui | 0                           | 0                                   | 0                           | 0                                   |
| Information manager | Wu, Wen-Jui     | +10,000                     | 0                                   | 15,000                      | 0                                   |

Note 1 : Shareholders holding more than 10% of the company's total shares should be marked as major shareholders and listed separately.

Note 2 : If the counterparty to the equity transfer or equity pledge is a related party, the following should be filled in: None.

Note 3 : July 11, 2024 Lan Shunde took office as vice president.

Note 4 : August 1, 2024 Hong Liang resigned as vice president, and Wang, Mei-Chuan was transferred from associate to vice president.

Note 5 : On Dec. 31, 2024, CEO Lin, Ying-Ming resigned, and Vice President Chen, Sung-Cheng was promoted to CEO, approved by the Securities and Futures Bureau on March 7, 2025.

Note 6 : On March 5, 2025, the legal entity director Cai Ci Er Asset Management Co., Ltd., Representative Lin, Huo-Teng, was dismissed (Reason: Deceased); on March 11, 2025, Representative Chen, Sung-Cheng (currently serving as the CEO of The Company) was appointed.

(II) Information on share transfer: None

| Name<br>(Note 1) | Cause<br>(Note 2) | Transfer date | Counterpart | Relation of the counterpart with<br>the Company's director,<br>supervisor and the<br>over-10%-stake shareholder | Shares | Price<br>transferred |
|------------------|-------------------|---------------|-------------|-----------------------------------------------------------------------------------------------------------------|--------|----------------------|
| -                | -                 | -             | -           | -                                                                                                               | -      | -                    |

Note 1 : The Company's director, supervisor and the over-10%-stake shareholder.

Note 2 : Fill in the list of acquisition or disposal.

(III) Information on share pledged by director, supervisor and the over-10%-stake shareholder: None

## IX. Name and relation among the top-ten shareholders as being interested party, spouse or relatives within second degree of kinship

MARCH 4, 2025

| Name (Note 1)                                                | My shareholding. |           | Shareholding by spouse and minor children |           | Shares held under the names of other parties total |           | Name and relation among the top-ten shareholders as being interested party, spouse or relatives within second degree of kinship. (Note 3) |           | Note |
|--------------------------------------------------------------|------------------|-----------|-------------------------------------------|-----------|----------------------------------------------------|-----------|-------------------------------------------------------------------------------------------------------------------------------------------|-----------|------|
|                                                              | Shares           | Stake (%) | Shares                                    | Stake (%) | Shares                                             | Stake (%) | Name (or Name)                                                                                                                            | Relation  |      |
| Huang Hsien-Hua                                              | 30,247,243       | 7.64%     | 7,565,256                                 | 1.91%     | -                                                  | -         | -                                                                                                                                         | -         |      |
| Hong Tai Electric Industrial Co. Ltd.<br>Rep.: Chen, Shih-I  | 17,407,992       | 4.39%     | -<br>-                                    | -<br>-    | -<br>-                                             | -<br>-    | -<br>-                                                                                                                                    | -<br>-    |      |
| Central investment holding co. Ltd.<br>Rep.: Chen, Shu       | 11,828,775       | 2.99%     | -<br>-                                    | -<br>-    | -<br>-                                             | -<br>-    | Sin Kung Hua                                                                                                                              | Same Rep. |      |
| Jui li enterprise Co., Ltd.<br>Representative: Wu, Ming-Tsan | 7,605,410        | 1.92%     | -<br>-                                    | -<br>-    | -<br>-                                             | -<br>-    | -                                                                                                                                         | -         |      |
| Chen YA<br>Rep.: Cheng, Wen-Tsung                            | 7,588,314        | 1.92%     | -<br>-                                    | -<br>-    | -<br>-                                             | -<br>-    | -<br>-                                                                                                                                    | -<br>-    |      |
| Wu, Mei-Yu                                                   | 7,565,256        | 1.91%     | 30,247,243                                | 7.64%     | -                                                  | -         | -                                                                                                                                         | -         |      |
| Sin Kung Hua<br>Rep.: Chen, Shu                              | 7,058,754        | 1.78%     | -<br>-                                    | -<br>-    | -<br>-                                             | -<br>-    | Central investment holding co. Ltd.                                                                                                       | Same Rep. |      |
| Central Movie Picture Co.<br>Kuo, Tai-Chiang                 | 6,004,188        | 1.52%     | -<br>--                                   | -<br>-    | -<br>-                                             | -<br>-    | -<br>-                                                                                                                                    | -<br>-    |      |
| Qiancheng Asset Management Co., Ltd.                         | 4,777,000        | 1.21%     | -                                         | -         | -                                                  | -         | -                                                                                                                                         | -         |      |
| HSBC                                                         | 3,066,086        | 0.77%     | -                                         | -         | -                                                  | -         | -                                                                                                                                         | -         |      |

Note 1 : Names of all of the top-ten shareholders must be disclosed, with the institutional shareholders needed to disclose its names and representatives respectively.

Note 2 : The stake is calculated on the basis of shares held by personal name, spouse, minor children, or under the names of other parties.

Note 3 : Status of the relation must be disclosed according to the rules for the compiling of financial statement.

**X. Shareholding and stake ratio in the same affiliate by the Company, director, manager, the Company's directly- and indirectly owned subsidiary:**

| Name of reinvestment<br>(Note 1)                                                                 | Number of invested companies<br>shares(Grand Fubon Securities) |                         | Directors, supervisors,<br>managers and directly or<br>indirectly controlled investors |                         | Total shareholding |                         |
|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-------------------------|----------------------------------------------------------------------------------------|-------------------------|--------------------|-------------------------|
|                                                                                                  | Shares                                                         | Ownership<br>Percentage | Shares                                                                                 | Ownership<br>Percentage | Shares             | Ownership<br>Percentage |
| Grand Fortune Investment Advisory                                                                | 9,480,000                                                      | 100%                    | -                                                                                      | -                       | 9,480,000          | 100%                    |
| Grand Fubon Venture Capital (Note 3)                                                             | 25,905,420                                                     | 100%                    | -                                                                                      | -                       | 25,905,420         | 100%                    |
| Grand Fortune Venture Capital Management Consulting Co., Ltd. (Grand Fortune Venture Management) | 61,945,139                                                     | 100%                    | -                                                                                      | -                       | 61,945,139         | 100%                    |

| Name of reinvestment<br>(Note 1)                                 | Number of invested companies<br>shares(Grand Fubon Securities) |                         | Directors, supervisors,<br>managers and directly or<br>indirectly controlled investors |                         | Total shareholding |                         |
|------------------------------------------------------------------|----------------------------------------------------------------|-------------------------|----------------------------------------------------------------------------------------|-------------------------|--------------------|-------------------------|
|                                                                  | Shares                                                         | Ownership<br>Percentage | Shares                                                                                 | Ownership<br>Percentage | Shares             | Ownership<br>Percentage |
| Grand Fortune Venture Capital Limited Partnership                | (Note 2)                                                       | 9.62%                   | -                                                                                      | -                       | (Note 2)           | 9.62%                   |
| Grand Fortune Private Equity Limited Partnership                 | (Note 2)                                                       | 10%                     | -                                                                                      | -                       | (Note 2)           | 10%                     |
| Beiley Biofund Inc. (originally FuBang Venture Capital) (Note 3) | 50,059,788                                                     | 24.39%                  | -                                                                                      | -                       | 50,059,788         | 24.39%                  |

Note 1 : The company adopts long-term investment using the equity method.

Note 2 : Limited partnership.

Note 3 : The group's organizational structure was adjusted. After the organizational reorganization, Grand Fubon Securities directly held Fubon Venture Capital (formerly Fuyou Capital Co., Ltd.), Fubon Venture Management directly held Beiley Biofund Inc. (formerly Fubon Venture Capital), and Beiley Biofund Ventures (formerly Fubon Venture Capital) was transformed into sustainable biotech venture capital.

# Chapter Three: Fundraising Situation Plan and use of capital raised by issuances of corporate bond, preferred share, global depository receipts, and employee stock ownership plan; Implementation of M&A (including merger, acquisition and split-off)

## I. History of capitalization

### (I) Type of share

Unit: share

| Type of share | Authorized shares  |                 |             | Type of share                             |
|---------------|--------------------|-----------------|-------------|-------------------------------------------|
|               | Outstanding shares | Unissued shares | Total       |                                           |
| Common shares | 396,161,841        | 103,838,159     | 500,000,000 | The Company's share is listed on the TWEx |

### (II) Issued shares

| Year Month | Par value (NT\$) | Authorized shares    |                    | Paid-in capital      |                    | Note                                                        |                                             |           |
|------------|------------------|----------------------|--------------------|----------------------|--------------------|-------------------------------------------------------------|---------------------------------------------|-----------|
|            |                  | Shares (1,000 units) | Amount (NT\$1,000) | Shares (1,000 units) | Amount (NT\$1,000) | History of capitalization (NT\$1,000)                       | Capital increased by assets other than cash | Others    |
| Sep. 1989  | 10               | 30,000               | 300,000            | 30,000               | 300,000            | Incorporation                                               | None                                        | -         |
| Apr. 1990  | 10               | 130,000              | 1,300,000          | 100,000              | 1,000,000          | Rights issue NT\$700,000                                    | None                                        | (Note 1)  |
| Apr. 1993  | 10               | 130,000              | 1,300,000          | 103,000              | 1,030,000          | Retained earnings NT\$25,863<br>Bonus to employee NT\$4,137 | None                                        | (Note 2)  |
| Dec. 1997  | 10               | 410,000              | 4,100,000          | 200,000              | 2,000,000          | Rights issue NT\$918,500<br>Retained earnings NT\$51,500    | None                                        | (Note 3)  |
| Dec. 1998  | 10               | 410,000              | 4,100,000          | 205,000              | 2,050,000          | Retained earnings NT\$50,000                                | None                                        | (Note 4)  |
| Jul. 1999  | 10               | 410,000              | 4,100,000          | 211,150              | 2,111,500          | Retained earnings NT\$61,500                                | None                                        | (Note 5)  |
| Jul. 2000  | 10               | 410,000              | 4,100,000          | 216,429              | 2,164,288          | Retained earnings NT\$52,788                                | None                                        | (Note 6)  |
| Oct. 2003  | 10               | 410,000              | 4,100,000          | 140,000              | 1,400,000          | Capital cut NT\$764,288                                     | None                                        | (Note 7)  |
| Dec. 2004  | 10               | 410,000              | 4,100,000          | 138,996              | 1,389,961          | Capital cut NT\$10,039                                      | None                                        | (Note 8)  |
| Apr. 2010  | 9                | 410,000              | 4,100,000          | 200,000              | 2,000,000          | Rights issue NT\$610,039                                    | None                                        | (Note 9)  |
| Aug. 2014  | 10               | 410,000              | 4,100,000          | 230,000              | 2,300,000          | Rights issue NT\$300,000                                    | None                                        | (Note 10) |
| Jan. 2016  | 11               | 410,000              | 4,100,000          | 241,700              | 2,417,000          | Rights issue NT\$117,000                                    | None                                        | (Note 11) |
| Oct. 2018  | 10               | 410,000              | 4,100,000          | 242,609              | 2,426,090          | ESOP NT\$9,090                                              | None                                        | (Note 12) |
| Jan. 2019  | 10               | 410,000              | 4,100,000          | 242,925              | 2,429,245          | ESOP NT\$3,155                                              | None                                        | (Note 12) |
| Mar. 2019  | 10               | 410,000              | 4,100,000          | 243,648              | 2,436,475          | ESOP NT\$7,230                                              | None                                        | (Note 12) |
| Oct. 2019  | 10               | 410,000              | 4,100,000          | 244,579              | 2,445,785          | ESOP NT\$9,310                                              | None                                        | (Note 12) |
| Jan. 2020  | 10               | 410,000              | 4,100,000          | 245,155              | 2,451,550          | ESOP NT\$5,765                                              | None                                        | (Note 12) |
| Apr. 2020  | 10               | 410,000              | 4,100,000          | 245,558              | 2,455,575          | ESOP NT\$4,025                                              | None                                        | (Note 12) |
| Aug. 2020  | 10               | 410,000              | 4,100,000          | 245,748              | 2,457,475          | ESOP NT\$1,900                                              | None                                        | (Note 12) |
| Oct. 2020  | 10               | 410,000              | 4,100,000          | 246,677              | 2,466,765          | ESOP NT\$9,290                                              | None                                        | (Note 12) |
| Jan. 2021  | 10               | 410,000              | 4,100,000          | 248,367              | 2,483,665          | ESOP NT\$16,900                                             | None                                        | (Note 12) |
| Apr. 2021  | 10               | 410,000              | 4,100,000          | 248,836              | 2,488,355          | ESOP NT\$4,690                                              | None                                        | (Note 12) |
| Jun. 2021  | 10               | 410,000              | 4,100,000          | 249,248              | 2,492,475          | ESOP NT\$4,120                                              | None                                        | (Note 12) |
| Jun. 2021  | 10               | 410,000              | 4,100,000          | 284,248              | 2,842,475          | Capital increase NT\$350,000                                | None                                        | (Note 13) |

| Year Month | Par value (NT\$) | Authorized shares    |                    | Paid-in capital      |                    | Note                                |                                             |           |
|------------|------------------|----------------------|--------------------|----------------------|--------------------|-------------------------------------|---------------------------------------------|-----------|
|            |                  | Shares (1,000 units) | Amount (NT\$1,000) | Shares (1,000 units) | Amount (NT\$1,000) | History of capitalization           | Capital increased by assets other than cash | Others    |
| Aug. 2021  | 10               | 410,000              | 4,100,000          | 300,025              | 3,000,249          | Retained earnings NT\$157,774       | None                                        | (Note 14) |
| Sep. 2021  | 10               | 410,000              | 4,100,000          | 300,135              | 3,001,349          | ESOP NT\$1,100                      | None                                        | (Note 12) |
| Oct. 2022  | 10               | 500,000              | 5,000,000          | 360,162              | 3,601,618          | Earnings capitalization NT\$600,270 | None                                        | (Note 15) |
| Apr. 2023  | 10               | 500,000              | 5,000,000          | 396,162              | 3,961,618          | Cash capital increase NT\$360,000   | None                                        | (Note 16) |

Note 1 : Approved at the sixth meeting by the 1st board of director on February 7, 1990.

Note 2 : Original plan was stated in the 1992.08.15 SFE Ruling (81) Tai-Tsai-Cheng (II) No. 67098 by rights issue for capital increase and recapitalization of retained earnings. The cash-raising program was dropped due to insufficient subscription interest, based on the 1993.03.02 SFE Ruling (82) Tai-Tsai-Cheng (II) No. 13232, in which the original plan of recapitalization of retained earnings was completed.

Note 3 : 1997.12.16 SFE Ruling (86) Tai-Tsai-Cheng (II) No. 77256

Note 4 : 1998.12.19 SFE Ruling (87) Tai-Tsai-Cheng (II) No. 104058

Note 5 : 1999.07.27 SFE Ruling (88) Tai-Tsai-Cheng (II) No. 69020

Note 6 : 2000.07.21 SFE Ruling (89) Tai-Tsai-Cheng (II) No. 64094

Note 7 : 2003.10.13 SFE Ruling (92) Tai-Tsai-Cheng (II) No. 0920147565

Note 8 : 2004.12.17 SFE Ruling Jin-Kwong-Cheng (II) No. 0930156719

Note 9 : 2010.04.07 SFE Ruling Jin-Kwong-Cheng No. 0990014564, in which the rights issue exercise was carried out at offering price of NT\$9 per share.

Note 10 : 2014.06.17 FSC Ruling Jin-Kwong-Cheng No.1030022941

Note 11 : 2015.12.22 FSC Ruling Jin-Kwong-Cheng No.1040052050

Note 12 : 2016.07.25 FSC Ruling Jin-Kwong-Cheng No.1050028413

Note 13 : 2021.04.13 FSC Ruling Jin-Kwong-Cheng No.1100337032

Note 14 : The FSC website announced on 2021.07.19 that the application for allotment of new shares for free will take effect.

Note 15 : The FSC website announced on 2022.07.25 that the application for allotment of new shares for free will take effect.

Note 16 : 2023.01.11 FSC Ruling Jin-Kwong-Cheng No.1110367678

(III) Self-registration system: Not applicable

## II. List of major shareholders

Record date: March 4, 2024

| Shareholders                          | Share holdings | Stake (%) |
|---------------------------------------|----------------|-----------|
| Huang Hsien-Hua                       | 30,247,243     | 7.64%     |
| Hong Tai Electric Industrial Co. Ltd. | 17,407,992     | 4.39%     |
| Central Investment Co., Ltd.          | 11,828,775     | 2.99%     |
| SWEETLY INVESTMENTS LIMITED           | 7,605,410      | 1.92%     |
| Cheng Ye Investment Co., Ltd.         | 7,588,314      | 1.92%     |
| Wu, Mei-Yu                            | 7,565,256      | 1.91%     |
| Hsin Kuang Hua Co., Ltd.              | 7,058,754      | 1.78%     |
| Central Movie Picture Co.             | 6,004,188      | 1.52%     |
| Qiancheng Asset Management Co., Ltd.  | 4,777,000      | 1.21%     |
| HSBC                                  | 3,066,086      | 0.77%     |

### III. Market price, net worth, earnings and dividend in recent two years

| Item \ Year            |                                    | 2023                         | 2024    | As of February 28, 2025 (Note 1) |
|------------------------|------------------------------------|------------------------------|---------|----------------------------------|
| Market price per share | Highest                            | 13.95                        | 17.35   | 13.5                             |
|                        | Lowest                             | 9.98                         | 12.05   | 12.05                            |
|                        | Average                            | 12.34                        | 14.84   | 12.84                            |
| Net worth per share    | Before distribution                | 14.38                        | 14.42   | 14.88                            |
|                        | After distribution                 | 13.1                         | —       | —                                |
| Earnings per share     | Weighted average shares            |                              | 387,581 | 396,162                          |
|                        | Earnings per share                 | Before adjust                | 1.61    | 1.11                             |
|                        |                                    | After adjust                 | —       | —                                |
| Dividend per share     | Stock dividend                     |                              | 1.28    | —                                |
|                        | Free allotment                     | Earnings allotment           | —       | —                                |
|                        |                                    | Allotment of capital reserve | —       | —                                |
|                        | Accumulated undistributed dividend |                              | —       | —                                |
| Return of investment   | Price earnings ratio               | Before adjust                | 7.43    | 12.61                            |
|                        |                                    | After adjust                 | —       | —                                |
|                        | Price/dividend ratio               |                              | —       | —                                |
|                        | Cash dividends yield rate          |                              | —       | —                                |

Note 1 : The earnings per share and net asset value per share are as of the audited financial statements for the fiscal years ended 2023 and 2024. As of February 28, 2025, they are based on the self-compiled financial statements.

Note 2 : If there are adjustments required due to bonus issues or other circumstances, the earnings per share before and after adjustment should be disclosed.

Note 3 : The P/E ratio = Average closing price per share for the year / Earnings per share.

Note 4 : The P/C ratio = Average closing price per share for the year / Dividends per share.

### IV. Execution of dividend policy and status

#### (I) Dividend policy

The Company's dividend policy, based on the stable growth of future business, the healthy conditions of long-term financial structure and the maximization of shareholder interest, is carried out on a balanced manner to include cash and stock dividend. The dividend shall not be less than 10% of distributable profit in the current year, but the distributable profit, if less than 5% of share capital, will not be paid out and will be accumulated into retained earnings. In the appropriation of earnings, the cash dividends shall not be less than 10% of the total dividends. However, if the cash dividend per share is less than NT\$1, the entire distribution may be made in stock dividends.

(II) Proposed distribution of dividend

The earnings distribution proposal at May 2, 2025 shareholder meeting is as follow:

**2024 Earnings Distribution Table**

Unit: NT\$

| Item                                                                                                                                                                                                                                     | Amount             |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| <b>Initial unappropriated earnings</b>                                                                                                                                                                                                   | <b>3,731,480</b>   |
| Add: 2024 after-tax net profits                                                                                                                                                                                                          | 437,893,108        |
| Add: Disposal of equity instruments measured at fair value through other comprehensive income, with accumulated gains or losses transferred to retained earnings                                                                         | 176,300,652        |
| Add: Defined benefit plan remeasurement (gain) included in retained earnings                                                                                                                                                             | 1,933,609          |
| -----                                                                                                                                                                                                                                    | -----              |
| Denote the total amount of after-tax net income for the period and other profit items adjusted to the current year's undistributed earnings other than after-tax net income for the period as calculated by a profit-seeking enterprise. | 616,127,369        |
| Less: 10% percent legal reserve                                                                                                                                                                                                          | (61,612,737)       |
| Less: 20% percent Special Reserve appropriated                                                                                                                                                                                           | (123,225,474)      |
| Add: Reverse provision of 0.5% special surplus reserve                                                                                                                                                                                   | 212,100            |
| <b>2024 Distributable earnings</b>                                                                                                                                                                                                       | <b>435,232,738</b> |
| Less: Allocation item                                                                                                                                                                                                                    |                    |
| Shareholder dividends--Cash (NT\$1.09862357 per share)                                                                                                                                                                                   | (435,232,738)      |
| Shareholder dividends--Stock (NT\$ 0 per share)                                                                                                                                                                                          | (0)                |
| <b>Final unappropriated earnings</b>                                                                                                                                                                                                     | <b>0</b>           |
| Note 1 : The allotment amount per share is calculated based on the number of 396,161,841 shares that can participate in the rights distribution when the board of directors resolves on March 15, 2025.                                  |                    |
| Note 2 : Pursuant to Article 28-1 of the company's articles of association, the board of directors is authorized to decide to distribute all or part of the dividends and bonuses to be distributed in the form of cash.                 |                    |

**V. Impact of the proposed stock dividend in shareholder meeting on business performances and EPS: Stock dividend is not proposed to be paid out, not applicable.**

## VI. Compensation:

- (I) Ratio and scope of remuneration to employees and director referred to in the Articles of Incorporation

The net profit earned in a fiscal year shall be reserved to cover accumulated loss in prior years, and then appropriated by 10% for legal reserves and 20% for special reserves. After setting aside or reversing special reserves, the remaining profit shall be added by the undistributed profit in the beginning of the fiscal year and be available for being paid out, through the dividend policy initiated by the board of director. A payout in the form of stock dividend is needed to be approved by the shareholder meeting.

The Company, if profitable in a fiscal year, shall appropriate net profit by between 1.5% to 2.5% as the employee remuneration that will be decided by the Board of Director, and paid out either through the form of cash or stock dividend. The Company shall appropriate net profit by 1.5% to 2.5% as the remuneration to Directors. The dividend payout to employee and director is required to be reported in the shareholder meetings. However, if the company still has accumulated losses, it should retain and offset these amounts first, and then allocate employee and director remuneration according to the aforementioned ratio.

- (II) The basis for the estimation of remuneration amounts for employees and directors, the basis for calculating the number of shares for employee compensation distributed as stock, and the accounting treatment if there is a discrepancy between the actual distribution amount and the estimated number:

The remuneration means the potential payout amount that is appropriated from pre-tax profit by a certain percentage as stated in the Articles of Incorporation. No stock dividend is paid out for the current fiscal year.

After the end of the year, if there is any change in the distribution amount resolved by the Board of Director, the changed amount shall be adjusted into the expenses for the next year. If the amount still changes on the shareholder meeting resolution date, it shall be treated as a change in accounting estimate and adjusted into the current year's profits and losses as approved by the shareholder meeting.

- (III) Remuneration distribution approved by the board of directors:

On February 25, 2025, the board of directors resolved to distribute NT\$8,640,000 of employee remuneration and NT\$8,640,000 of directors' remuneration, the amount is consistent with the recognition in the individual financial statements for 2024.

- (IV) The actual distribution of employees, directors, and remuneration in the previous year (including the number of shares distributed, amount, and share price), and the discrepancy from the recognized employees, directors, and remuneration, and the number of discrepancies, reasons, and handling should be stated:

On January 29, 2024, the board of directors resolved to distribute NT\$10,560,000 of employee remuneration and NT\$10,560,000 of directors' remuneration, the amount is consistent with the recognition in the individual financial statements for 2023, both distributed in cash. Directors' remuneration of NT\$10,560,000 was fully paid out by the end of 2024, and employees' remuneration of NT\$10,560,000 was also fully paid out in late January 2025.

- VII. Buyback of the Company's stock: None**
- VIII. Issues of corporate bonds (including overseas bonds): None**
- IX. Issues of preferred shares: None**
- X. Issues of GDR: None**
- XI. Status of employee stock option certificate: None**
- XII. Issuance of restricted shares to employee: None**
- XIII. Issuance of new shares due to merger and acquisition of other companies: None**
- XIV. Implementation of capital use: None**

## Chapter Four: Operation Overview

### I. Business scope

(I) The Company's main operations are as follows :

1. Acting as an agent for the trading of securities on the centralized securities market.
2. Acting as an agent for the trading of securities at the business premises.
3. Engaging in proprietary trading of securities on the centralized securities market.
4. Engaging in proprietary trading of securities at the business premises.
5. Underwriting securities.
6. Acting as an agent for securities registration and transfer services.
7. Operating auxiliary services for futures trading.
8. Other businesses permitted by regulators

(II) Revenue and portion Unit: NT\$1,000 / %

Unit: NT\$1,000 / %

| Product \ Year                              | 2023      |           | 2024      |           |
|---------------------------------------------|-----------|-----------|-----------|-----------|
|                                             | Amount    | Ratio (%) | Amount    | Ratio (%) |
| Brokerage                                   | 156,147   | 11.43     | 188,528   | 16.36     |
| Proprietary trading<br>(Including bond)     | 546,727   | 40.03     | 483,973   | 42.00     |
| Underwriting (including<br>stock registrar) | 515,378   | 37.73     | 414,823   | 36.00     |
| Others (including<br>subsidiary)            | 147,607   | 10.81     | 65,003    | 5.64      |
| Total                                       | 1,365,859 | 100.00    | 1,152,327 | 100.00    |

(III) Current business activities:

#### 1. Brokerage

- (1) Brokerage business for stock trading (including online trading).
- (2) Margin trading business.
- (3) Stock settlement, subscription for new share and participation in share auction.
- (4) Futures trading business

#### 2. Underwriting

- (1) Engage in planning, counseling, submission, and underwriting services for securities listing on the primary market (exchange or OTC), as well as handle counseling for the registration of the listing of company stocks on the emerging stock market and act as a recommended securities firm for emerging stock market stocks.
- (2) Engage in planning, counseling, submission, and underwriting services for domestic (and foreign) fundraising activities in the secondary securities market, including but not limited to cash increases, convertible bonds, overseas depositary receipts, overseas convertible bonds, and ordinary corporate bonds.
- (3) Provide comprehensive financial planning and financial advisory services, assist in corporate mergers, recommend strategic alliance partners, and provide planning services and consulting for fundraising.

#### 3. Proprietary trading

- (1) Trading for stocks on TWSE, TPEx, Innovation Board, Emerging Market and ETF.
    - (2) Trading for futures for the purpose of risk-hedging.
    - (3) Trading for stocks in the U.S., Hong Kong and Japan stock markets.
  4. Bond business
    - (1) Services related to central government bond, corporate bond, and bond repo activities.
    - (2) Services related to trading and bidding for government and corporate bond at the secondary market.
    - (3) Proprietary trading of convertible corporate bonds.
    - (4) Assist corporate entities and financial institutions in bidding and purchasing bond products, as well as provide interest rate consulting services.
  5. Stock registrar
    - (1) Stock registrar for publicly traded companies.
    - (2) Stock registrar for annual publicly traded companies.
    - (3) Arrangement for shareholder meeting.
    - (4) Arrangement for the payout of dividend and distribution of rights-issue new shares.
    - (5) Management for undistributed shares of publicly traded companies.
- (IV) Future plan:
1. Continuously optimize existing electronic trading systems and develop additional features for the trading platform.
  2. Enhance the research team's lineup and expertise to provide both internal departments and external clients with high-quality investment recommendations.
  3. Actively expand private fund management business and discretionary investment services, and, where regulations permit, develop management services for retirement funds, private equity funds, etc., to establish a comprehensive asset management platform.
  4. Establish a comprehensive ECM platform to strengthen underwriting and distribution capabilities, assist clients in fundraising, explore business opportunities, and enhance competitiveness.

## II. Industry and operation overview

### (I) Industry condition and development

#### 1. Macro Economy

##### (1) Global Economy

In 2024, the global economy is experiencing asymmetric growth. In Europe and emerging markets, the risk of economic recession outweighs the economic problems caused by inflation. The United States and Taiwan, benefiting from the development of new AI applications, have seen their stock markets continue setting new highs driven by tech stocks. Japan's economic structure is showing significant improvement amid changes in global political and economic conditions, gradually emerging from a 30-year economic slump, with the Nikkei index breaking the 1989 record of 38,957.44 points to set a new all-time high. Conversely, China's economy is constrained by the backlash against globalization, the competitive stance between the US and China, and structural economic deficiencies. With foreign capital withdrawal and the disappearance of the middle class, economic growth has lost momentum. Although the government has vigorously intervened in the market with unprecedented monetary policy, supplemented by fiscal policy subsidies, this has only lifted the stock market index performance, with limited improvements in the economic fundamentals.

In response to different economic conditions, central banks of major countries have also adopted different monetary policies. As Japan's economy returned to growth, overcoming a long-term deflationary predicament, the Bank of Japan was the first to raise interest rates in March, ending eight years of negative interest rates and taking the first step towards normalizing monetary policy. Then, in late July, it raised rates again, marking the first positive policy rate since the end of 2008, which resulted in a deleveraging-induced correction in global capital markets. To stimulate the economy and counter deflation, the Chinese government, on one hand, exported deflationary pressures to Europe and the United States through the global trade system, and on the other hand, adopted aggressively loose monetary policies to inject capital into the stock market. The FED, ECB, and other major central banks began cutting interest rates in the second half of the year to address the decline in neutral interest rates as inflation gradually cooled, in order to avoid excessive pressure on economic growth.

Looking forward to 2025, with Trump returning to the White House and the Republican Party gaining an advantage in Congress, the process of reshaping the global trade system led by the U.S. government has become the main source of market volatility risk. However, issues such as global supply chain restructuring, population aging, and geopolitical risks persist, and the economic environment characterized by "high inflation, high interest rates, and low growth" will continue. Although from the perspective of industry fundamentals, the market is once again expecting a more significant recovery in demand for consumer-grade products by mid-year, the strength of the recovery remains uncertain. In terms of monetary policy, due to the uncertainties caused by President Trump's renewed tariff war, the Fed is adopting a wait-and-see approach, with the possibility of only 1 to 2 accommodative rate cuts throughout the year. The ECB, meanwhile, faces a sluggish economic environment among its member states. Although the potential resolution of the Russia-Ukraine war could be seen as a positive factor, ongoing conflicts in the Middle East and the multifaceted competition between the two superpowers, the U.S. and China, in trade, technology, and finance will continue to impact Europe's fragile economy, introducing variables that could lead to a resurgence of inflation.

According to the latest "Global Economic Prospects" report from the World Bank, the global economy continues to be affected by the reshaping process of the world trade system led by U.S. President Trump and geopolitical risks. The global annual growth rate for 2024 is about 3.2%, lower than the 3.3% in 2023, and the economic growth rate for 2025 will further slow down to 2.7%. In the same report, the economic growth rate of the United States slightly decreased from 2.9% in 2023 to 2.8% in 2024, but it will slow down to 2.3% in 2025. China's economic growth rate fell from 5.4% in 2023 to 5.0% in 2024, and will further slow down to 4.5% in 2025. It is expected that this year, with accommodative monetary policies in major global economies and weak economic growth, financial markets will still face many uncertainties and shocks.

## (2) Taiwan economy

Taiwan's economy is primarily centered around the technology and electronics industry, with a high trade dependency, making it significantly impacted by global trade activities. In particular, the advanced processes of the semiconductor industry have become a strategic asset highly contested internationally. In 2024, driven by emerging AI applications, Taiwan's exports of information and communication products increased from \$83.355 billion in 2023 to \$132.501 billion in 2024, an annual growth of 59.0%. The overall export amount grew from \$432.424 billion in 2023 to \$475.070 billion in 2024, an annual increase of 9.9%, with the increased amount primarily contributed by information and communication products. At the same time, it can be observed that Taiwan's export value to China decreased by 1.1% year-on-year, while exports to the United States and ASEAN increased by 46.1% and 15.1%, respectively. This indicates the continued disintegration of the past Taiwan-China-Europe/US triangular trade

structure, replaced by direct exports from Taiwan to the United States or by ASEAN replacing China for final product assembly; the global trade structure is still undergoing restructuring. According to the economic statistics and forecast released by the Directorate-General of Budget, Accounting, and Statistics on February 26, the Taiwan economy in 2025 will continue to be influenced by the global trade structure adjustment. Based on the January projection by the International Monetary Fund (IMF), the global trade volume annual growth rate will decrease from 3.4% in 2024 to 3.2% in 2025. However, the accelerated expansion of AI technology and related applications is expected to drive the continuous growth of Taiwan's exports, with an estimated annual growth rate of 7.08%. Including services, the overall real export growth is projected to be 7.61%. After subtracting the estimated growth in the imports of goods and services at 7.22%, net exports are expected to contribute nearly 0.4% to GDP growth. Domestic consumption and investment, benefiting from AI business opportunities, are expected to contribute 2.12% and 6.03% to GDP growth, respectively. Total GDP growth for 2025 is projected to reach 3.14%.

## 2. Taiwan's stock and securities markets overview

### (1) Taiwan's stock market

The stock market reflects market efficiency and is highly correlated with economic cycles. Taiwan's electronics industry supply chain particularly benefits from innovative end applications by US tech giants. Hence, in 2024, the Taiwan stock market entered a bull run, spurred by leaders in AI high-speed computing and end applications such as NVIDIA, Amazon, Google, Microsoft, OpenAI, and others. From January onwards, under the leadership of Taiwan Semiconductor Manufacturing Company (TSMC), the Taiwan Weighted Index rose steadily, reaching the highest point of 24,416.67 points while the lowest point in January was 17,151.58, marking the lowest for the entire year. The difference between the high and low points was 7,265.09 points, with an overall increase of 5,104.29 points, marking a growth rate of 28.47%.

In 2024, considering geopolitical risks, foreign and Chinese investors had a total net sell of NT\$50.6 billion in Taiwan stocks. However, due to the Taiwanese government's previous initiatives, such as the "Action Plan for Welcoming Taiwanese Businesses Back for Investment" and the "Action Plan for Accelerated Investment by Enterprises Rooted in Taiwan," external funds continued to flow back. Combined with the momentum from funds moving from fixed deposits into high-dividend ETFs, despite the impact of geopolitical risks, a capital-driven market persisted. By the end of 2024, the total market capitalization of TWSE and TPEx stocks was NT\$62.63 trillion, an increase of NT\$17.80 trillion compared to NT\$80.43 trillion at the end of 2023.

According to the information in the table, in 2024, the trading proportion of domestic individual investors decreased by 3.8%, while the trading proportion of

domestic and foreign institutional investors increased. The overall transaction value increased by 37.51% compared to 2023. In 2024, foreign investors had a net sell of NT\$695.141 billion in Taiwan's centralized market, while domestic institutional investors responded to the increasing demand for passive investment by launching 21 new ETFs in the centralized market. The asset size surged to NT\$3.41 trillion, growing significantly by 83% compared to the previous year. They also had a net purchase of NT\$832.087 billion in the centralized market, and the stock market reached a new high of 24,416.67 points in July, with a maximum increase of 36.2%. The global economy remains resilient, and with the US and Europe entering an interest rate cut cycle, there is hope for stimulating consumption and investment. As global trade continues to expand, it supports domestic exports and private investment performance. With stable growth momentum in private consumption, Taiwan's economic growth in 2025 is expected to still rely on domestic demand for support, while the contribution from net external demand is likely to rebound. Overall, the performance is expected to be stable internally with a warming external sector. However, due to the high base effect, the overall economic growth rate in 2025 will be lower compared to 2024. According to the latest forecast released by the Taiwan Institute of Economic Research in November 2024, the GDP annual growth for 2025 is projected to be 3.15%, which is a decrease of 0.88 percentage points from the revised 4.03% in 2024.

#### Contribution ratio by investors on Taiwan Stock Exchange

Unit: %

| Type of investors                         | Ratio in 2023 | Ratio in 2024 | Up/(Down) |
|-------------------------------------------|---------------|---------------|-----------|
| Local individuals                         | 57.9%         | 54.1%         | (3.80)%   |
| Local institutions                        | 10.7%         | 12.2%         | 1.50%     |
| FINIs (not including foreign individuals) | 31.3%         | 33.7%         | 2.40%     |

#### Contribution ratio by investors on Taipei Exchange:

Unit: %

| Type of investors                         | Ratio in 2023 | Ratio in 2024 | Up/(Down) |
|-------------------------------------------|---------------|---------------|-----------|
| Local individuals                         | 69.3%         | 65.5%         | (3.80)%   |
| Local institutions                        | 9.6%          | 11.8%         | 2.20%     |
| FINIs (not including foreign individuals) | 21.0%         | 22.7%         | 1.70%     |

Source of data: Taiwan's SFB (December 2024)

#### (2) Taiwan's securities market

The emergence of Generative AI has brought about significant momentum to the global technology industry. The first step naturally involves the infrastructure of AI, with semiconductor-related supply chains

benefiting first, followed by the AI server ecosystem. These supply chains are predominantly concentrated among Taiwanese firms, presenting a once-in-a-decade opportunity for listed companies in the Taiwanese stock market. In 2024, the Taiwan stock market has already taken the lead in reflecting the major wave of the AI era, and it is expected to continue fermenting in 2025, becoming the main focus of the Taiwanese stock market. However, amidst the optimism, attention should also be paid to the Federal Reserve's interest rate reduction measures, the downward pressure on the Chinese economy, and the impact of tariff policies introduced by President Trump. It is important to remain vigilant to international developments and respond prudently.

#### Trading value in Taiwan's securities markets

Unit: NT\$1 billion / %

| Year                         | 2023       | 2024       | Annual growth |
|------------------------------|------------|------------|---------------|
| <b>TWSE</b>                  |            |            |               |
| Stock                        | 63,170.25  | 92,288.98  | 46.10%        |
| ETF                          | 3,454.32   | 6,724.38   | 94.67%        |
| Closed-end mutual fund (ETN) | 4.14       | 3.25       | (21.50) %     |
| TDR                          | 9.25       | 76.90      | 731.35%       |
| Depository receipts          | 36.58      | 5.33       | (85.43) %     |
| Option                       | 531.64     | 712.94     | 34.10%        |
| Subtotal                     | 67,206.19  | 99,811.77  | 48.52%        |
| <b>TOPIX</b>                 |            |            |               |
| Stock                        | 16,847.45  | 23,252.4   | 38.02%        |
| Bond                         | 29,461.27  | 31,495.9   | 6.91%         |
| Option                       | 171.80     | 189.4      | 10.24%        |
| Index-based ETF              | 1,237.04   | 3,279.2    | 165.08%       |
| ETN                          | 1.02       | 0.7        | (31.37) %     |
| Subtotal                     | 47,718.58  | 58,217.6   | 22.00%        |
| Total                        | 114,924.76 | 158,029.37 | 37.51%        |

Source of data: Taiwan's SFB(December 2024)

In the issuance market, as of the end of 2024, the total number of TWSE and TPEx listed companies in the domestic securities market reached 1,869, with a total capitalization of NT\$8,619.4 billion. This represents an increase of 56 companies and NT\$222.7 billion in capitalization compared to 2023. The government actively encourages enterprises with key core technologies, innovative capabilities, or innovative business models to enter the securities market. It has also relaxed the conditions for the innovation board to optimize the investment environment for innovative businesses, while balancing the development of the securities market and the stimulation of economic activities. This allows companies of different sizes and characteristics to find suitable investment platforms, making the overall capital market structure more complete. In addition to the expected continuous growth of the domestic capital market, the industrial attributes of listed companies will become more diverse.

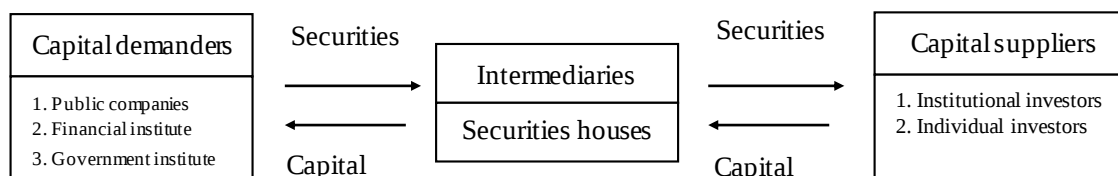
#### Number of listed firms and market capitalization

Unit: NT\$100 million

| Exchange \ Year | 2023                   |               | 2024                   |               |
|-----------------|------------------------|---------------|------------------------|---------------|
|                 | Number of listed firms | Share Capital | Number of listed firms | Share Capital |
| TWSE            | 997                    | 76,388        | 1,031                  | 78,239        |
| TPEX            | 816                    | 7,579         | 838                    | 7,955         |
| Total           | 1,813                  | 83,967        | 1,869                  | 86,194        |

Source of data: Taiwan's SFB (December 2024)

(II) Relation of the industry's upstream, midstream and downstream sector



(III) Development and competition of major products

1. Brokerage

In 2024, in addition to enhancing information security protection in collaboration with regulatory authorities, Fubon Securities also continues to optimize the functionality of its mobile app "Stock and Futures Mobile Network" to better serve customers. In 2024, The Company's electronic trading volume accounted for approximately 64.35% of the total trading volume, which was lower than the market's 78.29% electronic trading proportion. The Company will continue to improve and optimize its electronic trading system to provide customers with higher quality, more convenient, and secure services.

Driven by the demand for emerging AI business opportunities, listed companies have fully leveraged the advantages of the technology island, resulting not only in impressive revenue and profit margins but also in an increase in the number of listed firms to 1,031. As the investment value becomes evident, Taiwan's stock market has repeatedly achieved outstanding performance in both price and volume, with ample liquidity. In 2024, the average daily trading volume of Taiwan's stock market was NT\$477.439 billion, with a significant increase of 46.10% in trading volume on the centralized market and a 38.02% growth on the OTC market.

Grand Fortune Securities' brokerage business has long focused on institutional clients, combining underwriting and stock agency services to maximize comprehensive benefits. Looking ahead to 2025, the company will strengthen ECM business integration and digital services to provide customers with a comprehensive investment environment.

2. Underwriting

Looking back at 2024, Taiwan's capital market demonstrated strong performance, with the TAIEX reaching a record high closing level. The average daily trading value and capital raising activities remained robust. In 2024, the total number of capital market fundraising submissions reached 288 (including 121 for private placements, 149 for convertible bonds, 7 for exchangeable bonds, 7 for depository receipts, 2 for preferred stocks, and 2 for exchangeable bonds),

representing a 35% increase compared to 2023. Among these, The Company submitted 22 cases, ranking fifth in the market for the year, surpassing many comprehensive securities firms under large financial holdings. Additionally, the number of IPO applications and newly listed firms on the TWSE and TPEx reached a recent high. Among these, there were a total of 67 new listings (including the Innovation Board), raising a total of NT\$57.6 billion. Out of these, 12 were on the Innovation Board, accounting for 18% of the new TWSE/TPEx listings. They included diverse industries such as biotechnology and medical, semiconductors, green energy and environmental protection, the automotive industry, and digital cloud, among other emerging industries. The Company's Number of listed firms submissions for TWSE in 2024 was 5, and a total of 7 companies successfully listed on the TWSE that year (including 2 on the Innovation Board). During the year, it was awarded the "Partnership Award - Securities Underwriter" first place and the "Innovation Promotion Award" by the Stock Exchange.

Furthermore, starting from January 6, 2025, the Taiwan Stock Exchange will completely remove the restrictions on qualified investors for the Innovation Board. It will also relax the requirements such that when applying for listing on the Innovation Board, if the company has already proposed to publicly sell more than 10% of the total shares intended for listing, and if the company meets the equity dispersion standards when applying to transfer to the general board, it will be exempt from the public sale requirement. With the support of the Innovation Board 2.0, it is expected that more innovative companies meeting the qualifications will choose to enter the capital market through the Innovation Board.

Secondly, in order to prepare small and micro enterprises for future entry into the capital market, the Taipei Exchange announced "Emerging Stock Board Plus" on January 9, 2025. This initiative involves adjusting and relaxing the application mechanism for the Emerging Stock Board by increasing the number of sponsoring entities, simplifying the application process, including more advisory firms, offering diverse mentoring programs, and implementing an elite selection mechanism. These steps aim to gradually optimize the structure of Emerging Stock Board companies to better connect with the capital market.

In terms of the development of financial advisory services, facing rapid changes in the international political and economic situation, as well as the fast-paced advancements in artificial intelligence and semiconductor technology, many Taiwanese business owners and management teams are considering ways to adapt and transform. They are seeking new strategic layouts through mergers and acquisitions, organizational restructuring, strategic alliances, or the formation of investment or industry holding companies. In this context, there is a conducive environment for the growth of demand for financial advisory services, allowing firms to continue operating with service characteristics distinct from other securities firms.

### 3. Proprietary trading

There are still concerns about the direction of U.S. President Trump's

policies, particularly the potential impact of tariffs on bringing prices down to target levels. Interest rate policy will remain unchanged, and the pace of rate cuts will slow until inflation improves. Under the continued slowdown in interest rate cuts and tariff pressures, U.S. economic growth is cooling. Additionally, heightened geopolitical tensions and global economic fragmentation could lead to significant market volatility. In summary, the stock market will remain volatile this year. However, amidst the turbulence, there will be investment opportunities to buy low and sell high. Therefore, range trading will be the main strategy this year. Additionally, proprietary trading will continue to explore the impact of competitive factors represented by DeepSeek on the investment landscape of the technology industry, as well as the resulting changes in investment opportunities, to create better profits for proprietary trading.

4. Bond business

As inflation gradually comes under control, countries are ending their policies of raising interest rates. Our central bank, in particular, temporarily stopped raising interest rates in mid-2023. With inflation expected to stabilize gradually, it is anticipated that our central bank will maintain the policy rate unchanged in 2024. As interest rate levels have reached recent highs, the investment value of bonds has surfaced. However, given the economic slowdown, corporate credit risk has also significantly increased, so it is necessary to be cautious of the risk of companies being downgraded in investments.

(IV) Technology and research

Due to gradually controlled inflation, many countries have ended their monetary policies of interest rate hikes. The central bank of our country even temporarily ceased its interest rate hike actions in the middle of 2023. With inflation expected to gradually stabilize, it is anticipated that our country's central bank will maintain its policy interest rate unchanged in 2024. As interest rates have reached relatively high levels in recent years, the investment value of bonds has become apparent. However, given the slowdown in the economy, corporate credit risks have also increased significantly. Therefore, in investments, it is necessary to be vigilant about the risk of companies facing downgrades.

(V) Business development plan over the short- and long-term

Banking on the continued growth for corporate governance, operation management and risk control to ensure the Company's safety and legality, the Company is seeking better revenue and profit, as well as balance, stability and stronger results. Main business development plans are described as below:

1. Short-term business plan

- (1) Brokerage business The Company lifted its market share, on the back of new branch in Hsinchu County in June 2018, optimization of online trading platform, assistance of transaction by the underwriting department and stable growth at its headquarter in Taipei City. In terms of business, the Company will follow the policies of regulatory authorities to expand its business scope, increase revenue sources, and enhance profit margins.
- (2) Underwriting business: Provide one-stop diversified services to discover and nurture high-quality and potential Taiwanese enterprises into the capital market, enhancing their competitiveness and growth. In view of the increasingly important role that the group's "little golden chick" has played in Taiwan's IPO market in recent years, the focus will be on cultivating the group's core clients and their reinvestment companies, expanding the underwriting client base, and strengthening collaboration with clients to address ESG sustainable development issues, supporting Taiwanese companies in navigating the evolving political and economic environment and future.

The Company will focus on building an investment banking platform, establishing diversified financial advisory services across the Greater China region, and staying attuned to industry consolidation trends to assist clients in enhancing their competitiveness. We will continue to provide customers with comprehensive services, starting from professionalism, increasing business depth and breadth through word-of-mouth marketing, and serving customers sincerely. Using "niche," "professional," and "flexible" management strategies, we will continuously enhance the professionalism and service attitude of our colleagues.

- (3) Proprietary trading business: Utilizing the research outcomes of its subsidiary investment advisory companies to assist in selecting investment targets, Fubon Securities aims to grasp the cyclical trends of industry sectors to enhance operational flexibility and performance. In terms of investment strategy, the selection of investment targets primarily relies on industry trend analysis to identify the most competitive stocks within industries for investment portfolios. Short-term investment is the primary focus, supplemented by timely range trading strategies.
- (4) Bond business: Actively expand proprietary activities related to convertible corporate bonds, leveraging the Company's research capabilities in capital markets to increase opportunities for primary auctions and secondary trading of convertible bonds. Additionally, use conditional trading tools to reduce funding costs and capitalize on the downside risk protection advantage of convertible bonds to earn excess returns.

- (5) Stock registrar service: Internally, optimize operational processes, implement SOP execution, and strengthen education and training to cultivate outstanding stock personnel, thereby stabilizing staff turnover. In terms of business, actively seek the development of various business opportunities, deepen customer relations, integrate resources across departments, and focus on project execution to ensure execution efficiency and service quality. Actively expand networking resources and provide differentiated services to enhance value.

2. Long-term business plan

- (1) Investment banking business: Transformation into an investment bank: Underpinned by the underwriting and stock registrar businesses, the Company is pursuing stable growth for business related to institutional investors, stock registrar, brokerage, proprietary trading and bond. Through the expertise from the venture capital and stock consulting subsidiaries, the Company is able to discover potential industry and value of investment, thereby expediting its target to become a nimble investment bank that in the future will enhance talent quality, talent cultivation and professional training. In addition, the company actively cultivates outstanding international professionals, expands globally, and strives for balanced development in diversified corporate finance and asset management businesses.
- (2) Asset management service: Driven by major operations related to asset management and investment, the Company has designed consulting services based on client's needs, leading investment banking groundwork, and enhancing asset management, venture capital management consulting, financial advisory, and private equity services.
- (3) Strategic alliance for expansion into Asia market: In addition to setting up an investment platform between Taiwan and China, the Company in the future plans to co-own or invest in a subsidiary with the peers in China, Japan and Southeast Asia.

(VI) Market analysis and revenue/output conditions

1. Market analysis

- (1) Main revenue items and targets
  - A. Service items: Brokerage, underwriting, stock registrar, proprietary trading and bond.
  - B. Service targets: Domestic institutional investors and individual investors.

(2) Market share

A. Brokerage business in the past three years.

Our company's brokerage business primarily serves institutional clients. Despite the impact of the Russia-Ukraine war, the Israel-Hamas conflict, global economic inflationary pressures, and international policy uncertainties in 2024, the Taiwan stock market's performance was boosted by the themes of artificial intelligence (AI). Furthermore, geopolitical factors highlighted TSMC's advanced processing as a critical strategic asset. As a result, the total trading value of the stock market reached a new record high, as did the major indices. The Company's market share slightly declined.

Market share of brokerage business

Unit: %

| Year             | 2022   | 2023    | 2024   |
|------------------|--------|---------|--------|
| Market share (%) | 0.082% | 0.1128% | 0.094% |

Source of data: Monthly report from Taiwan Stock Exchange

B. Underwriting business in past three years

Our company has been deeply engaged in professional investment banking services, with outstanding underwriting records. In recent years, our achievements and awards include:

| Year | Record                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2022 | <ul style="list-style-type: none"><li>• Awarded third place in the Stock Exchange's "Economic Vitalization Award - IPO Market Value".</li><li>• Awarded second place in the Stock Exchange's "Economic Vitality Award - IPO Fundraising Amount."</li></ul>                                                                                                                                                                                                                                                                                                                                                                             |
| 2023 | <ul style="list-style-type: none"><li>• Awarded second place in the Stock Exchange's "Marching Towards the Future Award - IPO Fundraising Amount."</li><li>• Awarded third place in the Stock Exchange's "Marching Towards the Future Award - IPO Market Value."</li><li>• Awarded third place in the Stock Exchange's "Collaboration with Industry Award - Number of Submissions."</li><li>• Awarded the Stock Exchange's "Innovation Promotion Award - Capital Market Contribution Award."</li><li>• Awarded second place by the OTC Center for "Recommendation and Guidance for Emerging Stock Registration Performance."</li></ul> |
| 2024 | <ul style="list-style-type: none"><li>• Awarded first place in the Stock Exchange's "Together Onward Award - Securities Underwriter."</li><li>• Awarded the "Promoting Innovation Award" by the Taiwan Stock Exchange.</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                       |

## Underwriting statistics

Unit: NT\$1,000; cases

| Results \ Year         | 2022      | 2023       | 2024      |
|------------------------|-----------|------------|-----------|
| IPO fund-raising value | 5,668,167 | 7,126,532  | 1,966,875 |
| SPO fund-raising value | 2,901,933 | 6,407,253  | 3,636,581 |
| Total in value         | 8,570,100 | 13,533,785 | 5,603,456 |
| IPO cases              | 2         | 4          | 7         |
| SPO cases              | 11        | 14         | 13        |
| Cases in total         | 13        | 18         | 20        |

Source of data: Taiwan Securities Association.

In the field of financial consulting services, due to the rapid changes in global industries, artificial intelligence, and semiconductor technology, as well as the ongoing increase in trade tariffs and competition under the new U.S. government, an increasing number of Taiwanese companies feel the urgent need to undergo streamlining, organizational restructuring, or industry consolidation. They are also actively seeking alliances and partnerships to maintain their advantage and competitive position in the market. In response to such a rapidly changing environment, The Company is actively enhancing the service capabilities of its financial consulting business to meet the needs of corporate clients facing rapid global changes, with the number of cases steadily increasing each year. To date, we have successfully completed more than 100 cases of various types of financial consulting services. In the future, we will be committed to integrating various resources, continuously focusing on international political and economic industry trends, and assisting clients in upgrading and expanding their competitive strength and market presence.

Furthermore, our company continues to stay abreast of the industry developments and consolidation trends among our group clients. We will assist long-term, financially sound clients in actively developing strategies, leveraging our professional expertise to identify more merger and investment opportunities, thereby enhancing their competitiveness.

## C. Statistics of stock registrar business in past three years

The stock registrar business includes routine registrar activities, shareholder meeting, ex-dividend/rights trade and professional consultation. The department has won clients' confidence, with the number of its clients and the market share having risen annually.

#### Number of clients and market share at the stock registrar department

Unit: Number of companies: %

| Results \ Year    | 2022 | 2023 | 2024 |
|-------------------|------|------|------|
| Number of clients | 296  | 312  | 331  |
| Market share (%)  | 7.95 | 8.08 | 8.32 |

Source of data: The Company

#### D. Statistics of proprietary trading business in past three years

Our proprietary trading operations encompass the buying and selling of stocks and bonds in both the domestic concentrated and over-the-counter markets. In our investment decision-making process, we rely on research reports provided by analysts, covering macroeconomic trends, industries, and individual companies. We supplement this analysis with technical, fund flow, event, and market sentiment analyses to inform our investments. All investment activities are conducted with effective risk management practices in place to achieve stable investment performance.

#### Profit and loss by the proprietary trading business

Unit: NT\$1,000

| Results \ Year | 2021    | 2022   | 2024    |
|----------------|---------|--------|---------|
| Profit (Loss)  | 750,905 | 22,014 | 473,700 |

Source of data: The Company

#### E. Business outlook

Looking ahead to 2025, under the active promotion of the Taiwan Stock Exchange and the Taipei Exchange, the Taiwanese IPO market, with a multi-tiered capital market structure and diversified industrial development, coupled with favorable policies that help create a friendly fundraising environment, is expected to continue its growth trend. Secondly, on the company's operational front: small and medium-sized securities firms must have their strategic niches and integrate resources across departments to surpass large securities firms under financial holding companies and maintain their market position. The Company also continues to monitor market regulations and government policies, pursue diversified products, and provide more comprehensive services to clients, continuously assisting high-quality enterprises in entering the capital market. Overall, the future business development and growth prospects appear promising.

#### (3) Supply/demand condition and growth prospects

Supply and demand condition are provided by the Company as being a securities service company.

#### A. Supply side

According to data from Taiwan SFB, the number of securities houses and branches in the past decade has been on the decline, with the number described in the table below.

Number of securities houses Unit: house

Unit: Number of companies

| Year | Number of securities houses |        | Brokerage firm | Proprietary trader | Underwriter |
|------|-----------------------------|--------|----------------|--------------------|-------------|
|      | Headquarter                 | Branch |                |                    |             |
| 2012 | 120                         | 1,031  | 83             | 80                 | 55          |
| 2013 | 121                         | 993    | 82             | 81                 | 54          |
| 2014 | 119                         | 964    | 78             | 80                 | 57          |
| 2015 | 120                         | 965    | 80             | 81                 | 62          |
| 2016 | 116                         | 910    | 78             | 79                 | 59          |
| 2017 | 111                         | 883    | 74             | 77                 | 58          |
| 2018 | 108                         | 871    | 72             | 76                 | 58          |
| 2019 | 106                         | 853    | 71             | 75                 | 58          |
| 2020 | 105                         | 848    | 70             | 74                 | 58          |
| 2021 | 105                         | 849    | 70             | 74                 | 58          |
| 2022 | 105                         | 849    | 69             | 74                 | 59          |
| 2023 | 102                         | 828    | 67             | 74                 | 58          |
| 2024 | 102                         | 828    | 67             | 74                 | 58          |

Source of data: Taiwan's SFB/Key Indicators for the Securities and Futures Markets

The overall number of securities merchants decrease three of them and 21 branch office. At present, domestic securities firms are mainly comprehensive securities firms that also engage in brokerage, underwriting, and proprietary trading. Compared with securities firms operating a single business type, they can provide more comprehensive services and have better risk response capabilities.

#### B. Demand side

The Taiwanese IPO market, under the vigorous promotion of the Taiwan Stock Exchange and the Taipei Exchange to establish a multi-tiered capital market structure, continues to develop steadily. The growing interest of investors in the new economy industries is expected to attract more new industries to join the Taiwanese capital market. Additionally, with the Financial Supervisory Commission actively promoting ESG sustainable information disclosure to align with international standards, the positive atmosphere persists. Therefore, it is anticipated that the number of new listings on the stock exchange and the Taipei Exchange will continue to grow in 2025, offering promising prospects.

(4) Competitive edge

A. Compact and appropriate operation size

The advantage will allow the Company to run efficiently, flexibly and easily, making it achieve the goal to satisfy clients demand, integrate resource, adopt a rigorous risk-control policy, and promote business in a balanced manner. Under the advantage, the Company is self-independent and nimble, with it showing stellar efficiency and results in operation.

B. Concentration of resource on investment bank business

The Company has been driven by its company asset management and investment management businesses that are provided to its clients on demand. The company's asset management business is highlighted by the capital raising and shareholder management that is accompanied with the underwriting and stock registrar services. In addition, in the corporate different periods from inception, growth to transformation, the Company is poised to offer corresponding services and grow itself along the way.

C. Experienced team and experts

Setting sight on the securities talent that is aggressive about the securities industry, the Company is comprised of experienced team and experts to provide professional, trustful and enthusiastic services that have been welcomed by clients and pivotal to the Company's growth.

D. Close-cooperation culture in corporate structure

Setting sight on the securities talent that is aggressive about the securities industry, the Company is comprised of experienced team and experts to provide professional, trustful and enthusiastic services that have been welcomed by clients and pivotal to the Company's growth.

(5) Advantage and disadvantage in development and countermeasures

A. Advantage

a. Implementation of the market bailout program

To solidify the capital market and stimulate securities trading, the government has implemented various IPO and trading measures, including advancing trade-by-trade transactions, intraday odd lots, dual-currency ETF, ETN, innovation board, strategic new board, opening equity crowdfunding platforms, broadening the scope of available day-trade stocks, enhancing the function of settlement accounts at securities firms, and opening receivables of settlement fund claims (unrestricted purpose loan business), all of which are beneficial to the Responsibility of securities houses.

b. Government's aggressive development of capital market

In recent years, the government has aimed to provide innovative enterprises with emerging funding channels and expand Taiwan's capital market scale to support the development of small and medium-sized enterprises and innovative industries, creating a friendly environment for innovative enterprises. This enables a diverse range of startups at different stages of development to find suitable platforms for listing. The Innovation Board 2.0 was launched in January 2025, removing restrictions on qualified investors to provide better liquidity for the Innovation Board. This not only allows more investors to participate in the investment of Innovation Board-listed companies but also helps enterprises discover the value of innovative listings. Additionally, external experts are introduced to conduct rigorous innovation reviews to safeguard investors, attracting and uncovering more Asian startups to choose Taiwan for listing and assisting in achieving Taiwan's goal of becoming an Asian asset management center.

c. The Company's ideal operation size and mode

With core principle to become an investment bank, the Company is running in an ideal size and keeping its operation flexible. The management's policy is efficient, so that each department is cooperating with functional committee, attention is focused on mid-size and SME clients, services to clients are the Company's goals, and outstanding efficiency and performance are being shown by the Company.

B. Disadvantage and countermeasures

a. Ups and downs in financial markets

The global economy will continue to face numerous uncertainties, such as the new policies under Trump, the monetary policy direction of central banks worldwide, China's economic stimulus policies, and domestic investment momentum. These factors will impact Taiwan's trade and investment performance, directly affecting the operational performance of securities firms. Risks arise for underwriting and proprietary trading departments due to market price fluctuations, while market volatility also affects the revenue of brokerage businesses. Looking ahead to 2025, numerous challenges remain.

Countermeasures: The Company has established several guidelines to monitor internal control and risk management. Flexible and careful measures are also adopted to deal with the pricing in underwriting new company on the Emerging Market, the scale of shareholdings in a firm-commitment underwriting case, and the investment strategy by the proprietary trading. The Company upholds its high standard for capital adequacy ratio, in order to manage operation risk, maintain investment, grow new business, and stabilize fee revenue from financial consulting and stock registrar activities.

b. Stiff competition in industry

In an industry where local securities houses adopt price competition strategies to increase market share, the Company's brokerage business is limited due to the lack of collaboration with financial holdings and banks, resulting in the absence of cross-marketing synergies and restricting the scope to specialized brokerage stock trading, thus offering limited revenues. Additionally, the substantial investment amount in digital information systems creates a cost burden, and the level of information services differs from industry peers. The way to solve the dire situation is to deepen relation with institutional investors, provide forward-looking investment consulting service, and cover the deficiency as being small-size and cost-burdened.

Countermeasure: The Company's operation is based on underwriting and asset management, extended by investment banking activities, and focused on mid-size, SME, and high-quality clients. With reputation being built by excellent services, the Company tries to differentiate itself with peers and transform into a niche securities house.

2. Usage and manufacturing process in main products

(1) Usage of main products and services

| Item                | Usage and function                                                                                                                                                                                                                                                                                                                                                                    |
|---------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Brokerage           | Providing services for trading at the Taiwan Stock Exchange and Taipei Stock Exchange, as well as services for futures trading.                                                                                                                                                                                                                                                       |
| Underwriting        | Providing services for fund raising, share offering, listing application, financial planning, special projects and investment banking activities.                                                                                                                                                                                                                                     |
| Proprietary trading | We engage in the trading of securities on the centralized exchange market, over-the-counter market, and bond market. Apart from seeking benefits for our company, we also bear the responsibility of regulating market supply and demand to stabilize stock prices.                                                                                                                   |
| Bond business       | <ol style="list-style-type: none"> <li>1. Participate in the auctioning of central government bonds as well as primary corporate bond offerings.</li> <li>2. Engaging in bond outright and repo trading.</li> <li>3. Providing information about interest rate for the underwriting department to sell corporate bond.</li> <li>4. Trading of convertible corporate bonds.</li> </ol> |
| Stock registrar     | Providing services related to stock registrar, shareholder meeting, ex-dividend/right trade, special project and consulting.                                                                                                                                                                                                                                                          |

- (2) Manufacturing process: The company, as being in a securities service industry, has no manufacturing process.
3. Source of major materials: As being a securities services provider, the Company complies with regulators' rules in business and operation and is not influenced by the source of material as seen in the traditional manufacturing industry.
4. Explanations for significant changes of product mix and gross margin in recent two years: The Company, as being in a securities services industry, is not subject to the explanations.
5. Major clients and supplier lists
  - (1) Major clients list:  
The Company is a securities services provider that operates in a wide range, with its revenue based on the brokerage fee from individual and institutional investors, the underwriting fee from listed companies, as well as the disposal profit from the underwriting and proprietary trading departments in selling stocks. Also, its client base is well diversified, and no significant revenue portion is occupied by individual clients. As such, a list of top-ten clients is not available.
  - (2) Major suppliers list:  
The Company is a securities services provider and is not involved in material purchase. The list is not necessary to be provided by the Company.
6. Output value in recent two years: Not applicable.
7. Shipment's value in recent two years: Not applicable.

### **III. Status of employees over the past two years and up to the date of the annual report printed**

| Year                     |                        | 2022  | 2023  | 2024  |
|--------------------------|------------------------|-------|-------|-------|
| Employee                 | Male                   | 74    | 78    | 82    |
|                          | Female                 | 125   | 142   | 126   |
|                          | Total                  | 199   | 220   | 208   |
| Average age              |                        | 37.34 | 40.86 | 40.14 |
| Average years of service |                        | 5.53  | 6.27  | 7.21  |
| Degree                   | Ph. D                  | 0.00% | 0.00% | 0.00% |
|                          | Master                 | 26.1% | 23.9% | 18.3% |
|                          | College/University     | 64.8% | 67.4% | 73.0% |
|                          | Junior college (below) | 9.1%  | 8.7%  | 8.7%  |

### **IV. Expenditure on environmental protection: As being a securities house, the Company does not confront any environment pollution issues. Meanwhile, the Europe's RoHS regulation is not applicable to the Company.**

Note (RoHS): Restriction of Hazardous Substances Directive 2002/95/EC, abbreviated RoHS) is an environmental directive (but not a law) passed by the European Union in February 2003.

## **V. Employee and employer relation**

- (I) Status of employee welfare package, advanced study, training, and retirement system; status of employee/employer agreement; and measures in protecting employee rights

1. Employee welfare and implementation status:

In addition to adhering to Labor Standards Act, the Company requires that all the employees must enroll in labor insurance and group insurance to be protected with insurance payments. Meanwhile, the Company sets up an employee welfare committee which reserves employee benefits per month. As such, the well-established benefit and promotion systems provide a stable working environment where employees can reflect what they are specialized at.

- ◎ Group insurance and accident insurance.
- ◎ Free health check with medical check at office. Reports suggest no occupational disease.
- ◎ Benefit: NT\$1,000 compensation for major national holidays and birthday, NT\$1,500-NT\$6,000 for funeral and wedding events, and NT\$5,000 for staff traveling.
- ◎ Company banquet: Held at the beginning of a western new year along with lucky draw activities.
- ◎ Bonus will be paid to employee before Chinese Lunar New Year holiday based on the KPI performance examination system.
- ◎ Promotion: Held every year.

2. Advanced study and training:

To cultivate a right expertise in a right place, the Company builds a sound training that covers pre-job, on-job and professional courses. The rotation in job duties also enables employees to gain access to multi-facet learning and evolution opportunities.

- ◎ Details of participant number and training hours are provided at CSR report.

3. Retirement system and implementation status:

- (1) Old system: Subject to Labor Standards Act, the Company established its retirement committee and guideline. Employee, who took the job position before June 30, 2005, can opt to use the old or new national labor pension system. The Company will allocate 2% out of salary as the pension fund reserves under either the old or new system. The 2% reserves from the Company's monthly salary payment are saved under the designated account in Bank of Taiwan for the old system pension and are monitored by the Company's retirement committee.
- (2) New system: For employee who took job position after July 01, 2005, and opted to use new labor pension system, the Company will comply with regulations to allocate 6% of salary into the designated account to safeguard the living after retirement.

4. Employee/employer agreement; and measures in protecting employee rights:  
The Company has always emphasized the harmony of employee and employer relations, enhancing employee welfare through various benefit measures and education training. It also strengthens employee cohesion through employee stock ownership, achieving a win-win objective for both employees and employers. Any new or revised measures related to employee and employer relations in the Company are finalized only after thorough negotiation and communication between both parties, and up until now, there have been no major disputes.
  - ◎ In addition to the distribution of year-end bonuses to employees, the 1.5% remuneration for employees appropriated from the surplus according to the Articles of Incorporation can serve as the basis for dividends.
  - ◎ Amend the "Articles of Incorporation" to protect the salary standards of grassroots employees.
- (II) Impact arising from employee/employer disputes over the past two years and up to the date of the annual report printed must be disclosed along with the potential losses amount and countermeasures. Fact must be provided, if the losses cannot be calculated: The Company always emphasizes employee's welfare, providing excellent working environment and focusing on bilateral communication to keep the relation in a harmonic condition, so there are no major disputes in the past two years.
  1. Losses due to labor disputes in 2024: None.
  2. Estimated amount and countermeasures for current and potential future occurrences: In 2024, no employees filed relevant lawsuits and we will continue to operate in compliance with regulations in the future.
- (III) Results of the labor inspection:  
In 2024, no employees filed related lawsuits, and operations will continue in accordance with regulations.

## VI. Information Security Management.

### (I) State the information security risk management structure, information security policy, specific management plan and invest resources in the information security management.

The Company has established various information security and disaster recovery measures to enhance information and communication security, preventing the improper use, leakage, alteration, and destruction of information systems and related data. It also ensures the security of information systems connected to the network and their data, maintains the smooth operation of computers, and ensures the safety, integrity, and confidentiality of equipment and data. By implementing computer information usage management, The Company aims to maintain the effective utilization of computer resources to ensure the smooth operation of overall information business activities.

The Company continues to strengthen management and enhance defensive capabilities for critical information systems, not only complying with regulations and information security requirements set by regulatory authorities but also further achieving the protection and security of important customer data.

The Company annually reviews its information security policy and establishes an Information Security Implementation Team, with the General Manager serving as the convener and department heads as team members. And every year, the overall implementation of information security in the previous year, the top executive responsible for information security, the CEO, CEO, and audit supervisor jointly issue a statement of internal control system (including the overall implementation of information security) and submit it to the Board of Directors for approval. Disclose the contents of the statement to the Public Information Observatory within three months after the end of the fiscal year.

The Company, in response to DDoS attacks, has completed the implementation of ISP traffic scrubbing services, which can prevent network hacker attacks. The mobile APP provided to customers also requires the manufacturer to confirm that it does not contain malicious code and sensitive data before the mobile application is released, and to fully define the special symbol filtering mechanism. And every year, it is commissioned by a third-party testing laboratory certified by the National Certification Foundation to conduct and complete the information security test.

In the event of a major information service incident or an information security incident, The Company will promptly handle incident reporting to enable the Financial Supervisory Commission's Securities and Futures Bureau and related units to effectively grasp the incident information and report the information security incident in accordance with the planned procedures.

The company's data communication security and other related IT investment departments invested resources in personnel salary training, software and hardware purchase, maintenance and outsourced development. These investments amounted to NT\$12,858,000 in 2024 and NT\$11,401,000 in 2023.

- (II) List the losses, possible impacts and countermeasures caused by major information security incidents in the most recent year and up to the date of publication of the annual report. If it cannot be reasonably estimated, the fact that it cannot be reasonably estimated shall be stated: None.**

**VII. Important contract: None.**

## Chapter Five: Review of financial position, management performance and risk management

### I. Analysis of financial position

#### 1. Analysis of change of assets, liabilities and equity in recent two years

Unit: NT\$1,000

| Item \ Year                                                                                                                                                                                                                                                                                                                                                                                                                                                       | December 31,<br>2023 | December 31,<br>2024 | Difference |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|------------|---------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                      |                      | Amount     | %       |
| Current assets                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 11,620,328           | 11,183,846           | (436,482)  | (3.76)  |
| Property, plant and equipment                                                                                                                                                                                                                                                                                                                                                                                                                                     | 20,963               | 16,112               | (4,851)    | (23.14) |
| Other noncurrent assets                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1,281,650            | 1,253,736            | (27,914)   | (2.18)  |
| Total amount of ASSETS                                                                                                                                                                                                                                                                                                                                                                                                                                            | 12,922,941           | 12,453,694           | (469,247)  | (3.63)  |
| Current liabilities                                                                                                                                                                                                                                                                                                                                                                                                                                               | 7,189,260            | 6,732,806            | (456,454)  | (6.35)  |
| Noncurrent liabilities                                                                                                                                                                                                                                                                                                                                                                                                                                            | 35,965               | 6,845                | (29,120)   | (80.97) |
| Total liability                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 7,225,225            | 6,739,651            | (485,574)  | (6.72)  |
| Capital stock                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 3,961,619            | 3,961,619            | -          | -       |
| Capital surplus                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 153,832              | 153,832              | -          | -       |
| Retained Earnings                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 1,450,626            | 1,559,666            | 109,040    | 7.52    |
| Others                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 131,639              | 38,926               | (92,713)   | (70.43) |
| Non-controlling interests                                                                                                                                                                                                                                                                                                                                                                                                                                         | 0                    | 0                    | 0          | 0       |
| Total equity                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 5,697,716            | 5,714,043            | 16,327     | 0.29    |
| <p>1. Noncurrent liabilities:<br/>Decreased as of December 31, 2024, compared to December 31, 2023, primarily due to a decrease in advances received for the distribution of dividends.</p> <p>2. Others:<br/>Decreased as of December 31, 2024, compared to December 31, 2023, primarily due to the realization of financial asset positions measured at fair value through other comprehensive income being transferred into retained earnings during 2024.</p> |                      |                      |            |         |

## II. Analysis of financial management performance

### 1. Analysis of change of revenue, operation profit and net profit in recent two years

Unit: NT\$1,000

|                                                                                   | 2023      | 2024      | Additions<br>(deductions)<br>amount | Change (%) |
|-----------------------------------------------------------------------------------|-----------|-----------|-------------------------------------|------------|
| Income                                                                            | 1,365,859 | 1,152,327 | (213,532)                           | (15.63)    |
| Expenses and costs                                                                | 646,556   | 629,769   | (16,787)                            | (2.60)     |
| Operating profit                                                                  | 719,303   | 522,558   | (196,745)                           | (27.35)    |
| Share of profits of subsidiaries<br>accounted for using equity<br>method (Note 4) | 9,161     | 11,377    | 2,216                               | 24.19      |
| Other gains and losses, net                                                       | 8,921     | 20,528    | 11,607                              | 130.11     |
| Income before income tax                                                          | 737,385   | 554,463   | (182,922)                           | (24.81)    |
| Income tax expense                                                                | (103,682) | (116,570) | (12,888)                            | 12.43      |
| Net profit                                                                        | 633,703   | 437,893   | (195,810)                           | (30.90)    |

Explanation for the annual change by over 20% and by over NT\$10 million:

1. Operating profit: Decreased in 2024 compared to 2023 due to the impact of stock market fluctuations, leading to an increase in net losses from financial assets measured at fair value through profit or loss by the end of 2024.
2. Other incomes and losses: Increased in 2024 compared to 2023, primarily due to an increase in financial income in 2024 compared to 2023.
3. Net profit before tax and Net profit: Decreased in 2024 compared to 2023, primarily due to an increase in net losses from financial assets measured at fair value through profit or loss by the end of 2024.

### 2. Impact and countermeasures from anticipated revenue over the Company's financial condition.

The Company's profit is expecting to be on the rise, through its new business of stock borrowing and lending and increased proprietary trading for index futures and bond repo activities, on top of the well-balanced businesses among the brokerage, underwriting, stock registrar, proprietary trading and bond departments.

### III. Analysis of cash flow

#### (I) Change of cash flow in 2024

| Item                  | Cash Inflow (Outflow) |           | Change: Increase (decrease) |          |
|-----------------------|-----------------------|-----------|-----------------------------|----------|
|                       | 2023                  | 2024      | Amount                      | %        |
| Operating activity    | (742,071)             | 1,065,260 | 1,807,331                   | (243.55) |
| Investment activity   | (260,542)             | 56,184    | 316,726                     | (121.56) |
| Fund raising activity | 456,760               | (908,975) | (1,365,735)                 | (299.00) |

Explanation of changes in ratios of cash flows:

- (1) Operating cash inflows increased compared to the same period last year, primarily due to the fluctuating stock market in 2024, which led to an increase in realized gains and valuation losses from investment positions. Additionally, the amount of debt repurchase commitments (RPRS) increased compared to 2023, contributing to the increase in net cash inflows from operating activities.
- (2) Net cash inflows from investment activities increased compared to the same period last year, mainly due to an increase in dividends received from Grand Fortune's subsidiary related enterprises, foryou Venture Capital and Foryou Private Equity.
- (3) Net cash outflows from fund raising activities increased compared to the same period last year, primarily due to an increase in cash dividend distribution by Fubon's parent company in 2024.

(II) Plan to improve the liquidity shortage problem: The Company does not face the problem.

(III) Future 1-Year Cash Flow Liquidity Analysis:

Unit: NT\$1,000

| Balance at the beginning of year (1) | Expected cash flow from operating activity (2) | Expected cash flow from investment activity (3) | Expected total cash flow balance (deficit) (1) +(2) +(3) | Remedial Measures for Expected Cash Shortfall |                |
|--------------------------------------|------------------------------------------------|-------------------------------------------------|----------------------------------------------------------|-----------------------------------------------|----------------|
|                                      |                                                |                                                 |                                                          | Investment plan                               | Financing plan |
| 588,054                              | 550,173                                        | (504,532)                                       | 633,694                                                  | -                                             | -              |

#### 1. Future 1-Year Cash Flow Changes Analysis

- (1) Operating activities: The net cash generated by operating activities in the next 1 year is expected to mainly result from increased profitability contributions and the net effect of adjusting the inventory of operating securities.
  - (2) Investing and financing activities: Net cash outflows in the next 1 year are expected mainly due to the impact of cash dividends and capital increases in subsidiaries.
2. Analysis of Expected Cash Shortage: It is expected that the total net cash inflow from operating, investing, and financing activities in the next 1 year will result in no cash shortage.

### IV. The impact of significant capital expenditure on financial operations in the most recent year: None.

### V. Investment policies for the most recent year, the main reasons for profits or losses, improvement plans, and investment plans for the next Year:

1. The Company continues to monitor reinvestment businesses in accordance with the

procedures for Subsidiary supervision and the management procedures for specific companies, group enterprises, and RELATED PARTY TRANSACTIONS, allowing the Company's reinvestment businesses to achieve maximum effectiveness.

2. Profit and loss of reinvestment in 2023 and remedy measures

Unit: NT\$1,000

| Name of reinvestment                                                                             | Ownership Percentage | The main reasons for profits or losses          |                                                                                                                  | Improvement plan                                                              |
|--------------------------------------------------------------------------------------------------|----------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
|                                                                                                  |                      | Recognition of investment profit (loss) in 2024 | Note                                                                                                             |                                                                               |
| Grand Fortune Securities Investment Advisory Co., Ltd. (Grand Fortune Investment Advisory)       | 100.00%              | 2,648                                           | Impairment loss in holding value                                                                                 | None                                                                          |
| Grand Fortune Venture Capital Co., Ltd (formerly known as Fuyou Capital Co., Ltd.) (Note 1)      | 100.00%              | (8,845)                                         | Due to an increase in gains from the sale of securities in 2024, resulting in an increase in payable income tax. | Stable outlook<br>Reallocation of short-term stock portfolio to make a profit |
| Grand Fortune Venture Capital Management Consulting Co., Ltd. (Grand Fortune Venture Management) | 100.00%              | 35,232                                          | Impairment loss in holding value                                                                                 | None                                                                          |

Note 1 : The group's organizational structure has been adjusted, and following the organizational restructuring, Grand Fortune Securities directly holds 100% of the shares of Fuyou Capital Co., Ltd..

3. Investment plan in next year: Grand Fortune Venture Capital Co., Ltd (formerly known as Fuyou Capital Co., Ltd.) plans a capital increase of 200 million.

## VI. Risk analysis and evaluation

(I) Risk factor

1. Impact of interest rate, currency exchange rate, and inflation rate on the Company's profit profile, and the countermeasures:

(1) Impact of interest rate on the Company's profit profile, and the countermeasures:

Change of interest rate poses a direct impact to bond repo trading, but the Company has a rigorous policy in managing bond portfolio, building up its positions to take advantage of a rebound of interest rate in the future and thereby generate contribution to the Company's results. In terms of bank loans, the Company on a regular basis evaluates the interest rate level in borrowings and tries to seek preferential interest rate by communicating closely with banks. As such, change of interest rate is not influential to the Company's profit significantly.

(2) Impact of currency exchange rate on the Company's profit profile, and the countermeasures: The Company's revenue is mainly based on local currency, with very minimal impact to come from the trend of currency exchange rate.

(3) Impact of inflation on the Company's profit profile, and the countermeasures:

The Company's main costs and expenses are personnel salaries, so inflation has no significant impact on The Company's financial and business operations.

2. Policies for engaging in high-risk and highly leveraged investments, FINANCINGS PROVIDED, endorsements/ guarantees, and derivative transactions, the main reasons for profits or losses, and future countermeasures:

In the recent year and up to the date of report printed, the Company did not engage in financial derivatives trading, nor grant loans, endorsement and guarantee to others.

3. R&D plan and budgeted expense:

The Company will comply with regulatory requirements to initiate new types of business in line with market trends and investor demands. In 2024, efforts were made to optimize the Code Base of the AP order placement system, and in 2025, it is anticipated that an online account opening system will be established, with related expenses being handled accordingly. However, as the capital size currently does not meet certain qualifications, there are no expenses related to the introduction of new financial products.

Please refer to our company's official website:

[https://www.gfortune.com.tw/Static/投資人專區\\_index.html](https://www.gfortune.com.tw/Static/投資人專區_index.html)).

4. Impact of domestic and international policies and law change on the Company's finance, and the countermeasures:

The Company is in the securities service industry, and changes in financial and securities-related regulations will impact its business and management. Currently, operations are primarily focused on the domestic market. The policy and legal risks faced are related to the regulatory framework for the securities service industry by the competent authority. However, the trend is moving towards encouragement and liberalization. The Company closely monitors changes in domestic policies and regulations, and there has been no significant impact on financial operations due to domestic policy or legal changes.

5. Impact of a technology change and industrial change on the Company's finance, and the countermeasures:

The impact of technological changes (including information security risks) and industry changes on the financial operations of the company, as well as the corresponding measures, are evaluated through appropriate risk assessment and risk management. Risk assessment is conducted using information asset risk assessment methods, which categorize information assets and manage them accordingly to control residual risks within acceptable limits. Utilizing information assets, usage, process controls, and real-time controls (such as anti-malware software, firewalls, and email security), organize key metrics such as: the financial value provided by information assets compared to the expenditure on information security, the cost of information security controls for each information asset, and the reduction in financial value at risk due to information security risks.

The first measure involves establishing a permanent working group to coordinate the implementation of information security control measures, ensuring reasonable assignment of responsibilities, and effective resource management.

The second measure entails participating in the Financial Information Sharing and Analysis Center (F-ISAC), which serves as a window for reporting and handling cybersecurity incidents. It also provides consultation and assistance services, and access to industry-specific cybersecurity intelligence.

The third measure involves appointing security manager and security personnel who receive ongoing training in evolving cybersecurity concepts and technologies. They are responsible for managing information security systems and control measures, thereby establishing and maintaining continuous business operations.

The company maintains a moderate scale and responds to changes in the industrial environment through flexible, autonomous and efficient operation methods. Overall, technological changes and industry changes have had no significant impact on The Company's financial operations.

For information on security risk response measures, please refer to the fifth and sixth, information security management of the company's annual report.

6. Impact of a corporate image change on risk management, and the countermeasures:

Since the inception, the Company has followed the best-practice operation principle, not only providing perfect planning to clients with professional and high-quality services, but also prioritizing its internal management and law compliance. Up until now, the Company does not run into any event that impacts corporate image.

7. Expected benefits, potential risks, and countermeasures of undertaking mergers and acquisitions:

In the recent year and up to the date of report printed, the Company did not undertake any merger.

8. Anticipated effect, potential risk and countermeasures in a factory establishment:  
In the recent year and up to the date of report printed, the Company did not undertake any factory establishment.
  9. Concentration risk of procurement or sales faced by the Company, and the countermeasures:
    - (1) Concentration risk of procurement and the countermeasures:  
Being a securities house, the Company does not procure any material or merchandise, so that there is no procurement event. The subsidiaries stated in the separate financial statement do not have any procurement either. The item is not applicable.
    - (2) Concentration risk of sales, and the countermeasures:  
The Company's major business is related to brokerage, underwriting and stock registrar with client base being well diversified, so that there is no concentration risk of sales.
  10. Impact and risk from massive stock transfer or from change by director, supervisor, and shareholder with over 10% shareholding, and the countermeasures:  
There was no massive stock transfer or change by director, supervisor, and shareholder with over 10% shareholding in the recent year and up to the date of the report printed.
  11. Impact and risk of ownership change over the Company, and the countermeasures:  
The Company's ownership is mostly controlled by directors and its management team, which for a long time have devoted themselves into the Company's operation, thereby facing no impact from an ownership change.
- (II) Litigation or non-litigation events
1. Disclosures must be provided regarding causes of disputes, subject-matter amounts of money, beginning date of litigation, major litigation persons and current litigation progress in the lawsuits, non-litigation and administrative disputes that have been adjudicated or still in the litigation process in the recent two years and up to the date of the report printed, with the verdict potentially impacting shareholders' equity or the Company's share price significantly: None
  2. Disclosures must be provided regarding the involvement of director, supervisor, president, de facto owner, as well as the shareholder and the Company's associate with over 10% stake in the lawsuits, non-litigation and administrative disputes that have been adjudicated or still in the litigation process in the recent two years and up to the date of the report printed, with the verdict potentially impacting shareholders' equity or the Company's share price significantly: None.
  3. Disclosures must be provided regarding the violation of the Securities Transaction Act, Article 157, by director, supervisor, manager and the shareholder with over 10% stake in the recent two years and up to the date of the report printed: None.

4. Disclosures must be provided regarding the impact to the Company's financial status due to financial difficulties and insolvency suffered by director, supervisor, manager and the shareholder with over 10% stake in the recent two years and up to the date of the report printed: None.
- (III) Other significant risks and countermeasures The capital adequacy ratio of a securities house is used to gauge its capability in face of the whole operation risk, with a higher level of such ratio conducive to operation. The Company's capital adequacy ratio and its operation-risk cash equivalents are described as below:

December 31, 2024 Ratio

| Date                   | December 31, 2024 | Average | Highest | Lowest |
|------------------------|-------------------|---------|---------|--------|
| Capital adequacy ratio | 493%              | 401%    | 493%    | 326%   |

Operation-risk cash equivalents on December 31, 2024

Unit: NT\$

| Item           | Risk-linked cash equivalents |
|----------------|------------------------------|
| Market risk    | 577,726,694                  |
| Credit risk    | 57,740,343                   |
| Procedure risk | 192,438,585                  |
| Total          | 827,905,622                  |

## VII. Crisis Management Response Mechanism:

The Company belongs to the financial franchise industry. In addition to operating in accordance with the policies and regulations of the competent authorities, in order to ensure the sustainable operation of the company in the event of a major crisis and strengthen the efficiency of emergency response to emergencies, it has formulated business crisis response measures and set up a decision-making team for emergency events, whose members are assigned by the CEO, responsible for commanding and supervising the handling of various crisis events; contingency measures include but are not limited to: system backup and recovery, procedures for rapid establishment and removal of existing positions, delivery by default, and temporary cash management needs program.

The Company has established a risk management committee that is equipped with several execution units, in order to confront, monitor and deal with risk management matters, including the risks that will take place at the market, credit, liquidity, operation and law sectors. The risk management policy has been reinforced, under review on a regular basis for its adequacy, and has been adjusted to adapt to the market change.

For the description of information security risk assessment and analysis and countermeasures, please refer to the company's annual report VII(VI) 4. "Technology changes (including information security risks) and industry changes on the company's financial business and countermeasures."

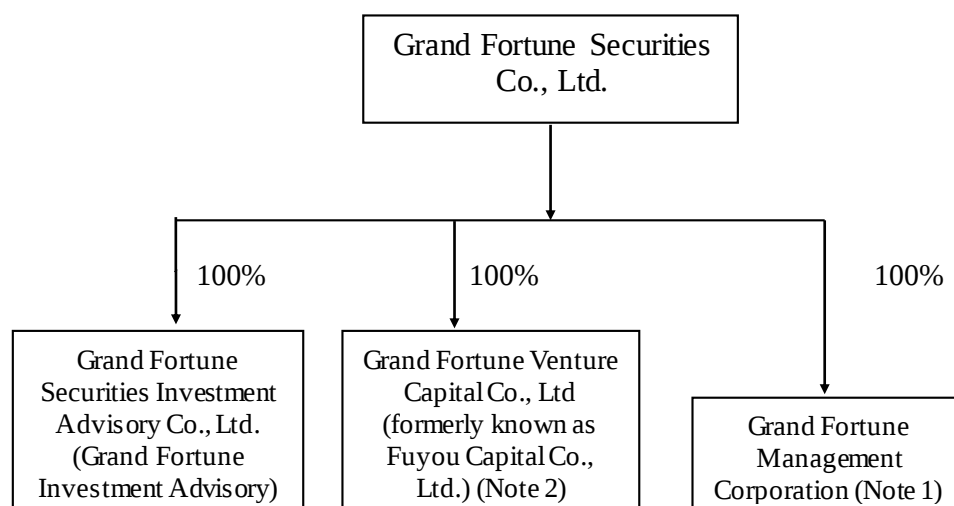
## VIII. Other important matters: None

## Chapter Six: Special disclosures

### I. Information about the Company's associate

#### (I) Consolidated business reports about associate

##### 1. Business structure of associate:



Note 1 : On August 22, 2023, the Company increased its capital in Grand Fortune Management Corporation by cash injection of NT\$150,000,000.

Note 2 : Grand Fortune Management Corporation underwent organizational restructuring in March 2023, and following the restructuring, the Company directly held 100% of the shares of Fortune Venture. Additionally, on August 22, 2023, the Company increased its capital in Grand Fortune Venture Capital by a cash injection of NT\$50,000,000. Fortune Venture, formerly known as Fuyou Capital Co., Ltd., was approved by the shareholders' meeting in October 2024 to change its business policy and changed its name to Grand Fortune Venture Capital Co., Ltd. on October 24, 2024.

##### 2. Basic information about associate

| Name of associate                                                                  | Established date (year/month/day) | Address                                                                               | Share capital (NT\$1,000) | Main operation                                  |
|------------------------------------------------------------------------------------|-----------------------------------|---------------------------------------------------------------------------------------|---------------------------|-------------------------------------------------|
| Grand Fortune Securities Investment Consulting Co., Ltd.                           | March 5, 2010                     | 14th Floor, No. 6, Section 1, Zhong-xiao West Road, Zhong-Zheng District, Taipei City | 94,800                    | Securities consulting service                   |
| Grand Fortune Venture Capital Co., Ltd (formerly known as Fuyou Capital Co., Ltd.) | January 22, 2020                  | 14th Floor, No. 6, Section 1, Zhong-xiao West Road, Zhong-Zheng District, Taipei City | 259,054                   | Investment activities                           |
| Grand Fortune Management Corporation                                               | October 24, 2013                  | 14th Floor, No. 6, Section 1, Zhong-xiao West Road, Zhong-Zheng District, Taipei City | 619,451                   | Investment consulting and management consulting |

##### 3. Shareholder background in the Company's controlled and associated companies:

None

##### 4. Explanation of operation in entire associates

Explanation of operation in entire associates Operation in associates

| Name of associate                                                                  | Operation                                                                                                                                                                                                                            |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Grand Fortune Securities Investment Consulting Co., Ltd.                           | Research of global and Taiwan economy/analysis and forecast for capital market/industry survey and economic forecast/company analysis and investment assessment/publishing of research/fully-designated consignment trading business |
| Grand Fortune Venture Capital Co., Ltd (formerly known as Fuyou Capital Co., Ltd.) | Direct funding to associate/providing of business management to associate                                                                                                                                                            |
| Grand Fortune Management Corporation                                               | Consulting services of investment management to start-up.                                                                                                                                                                            |

5. Director, supervisor and president in associate

Unit: shares, %

| Name of associate                                                                  | Title                                                              | Name or representative                                                                                                               | Shareholding |                      |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|--------------|----------------------|
|                                                                                    |                                                                    |                                                                                                                                      | Shares       | Ownership Percentage |
| Grand Fortune Securities Investment Consulting Co., Ltd.                           | Chairman & CEO<br>Director<br>Director<br>Supervisors              | Huang Yu Ting (Note 1)<br>Hsu, Hui-Lan (Note 1)<br>Wu, Wen-Jui (Note 1)<br>Chu, Shih-Cheng (Note 1)                                  | 9,480,000    | 100%                 |
| Grand Fortune Venture Capital Co., Ltd (formerly known as Fuyou Capital Co., Ltd.) | Chairman<br>Director<br>Director<br>Supervisors<br>General Manager | Huang, Ping-Chun (Note 1)<br>Cheng, Keng-I (Note 1)<br>Chen, Sung-Cheng (Note 1)<br>Chu, Shih-Cheng (Note 1)<br>Vicky Cheng (Note 1) | 25,905,420   | 100%                 |
| Grand Fortune Management Corporation                                               | Chairman & CEO<br>Director<br>Director<br>Supervisors              | Huang Hsien-Hua (Note 1)<br>Cheng, Keng-I (Note 1)<br>Vicky Cheng (Note 1)<br>Chen, Sung-Cheng (Note 1)                              | 61,945,139   | 100%                 |

Note 1 : Representing Grand Fortune Securities Co., Ltd.

6. Operation results in associates

Unit: NT\$1,000

| Name of associate                       | Share Capital | Total assets | Total liability | Equity  | Revenue | Operating profit (loss) | Net profit (loss) EPS | Earnings per share (NT\$(after-tax)) |
|-----------------------------------------|---------------|--------------|-----------------|---------|---------|-------------------------|-----------------------|--------------------------------------|
| Grand Fortune Management Corporation    | 94,800        | 109,394      | 7,549           | 101,845 | 24,810  | 1,942                   | 2,648                 | 0.28                                 |
| Grand Fortune Venture Capital Co., Ltd. | 259,054       | 391,798      | 6,934           | 384,864 | 5,222   | 1,394                   | (8,845)               | (0.34)                               |
| Grand Fortune Management Corporation    | 619,451       | 862,002      | 16,957          | 845,045 | 52,740  | 31,605                  | 35,232                | 0.57                                 |

Note 1: A limited corporation

- (II) For consolidated financial statement with associates, The Company, for 2024 (from January 1 to December 31, 2024), is required by the "Consolidated Business Reports about Associate and Related Enterprise Financial Statement and Related Report Preparation Guidelines" to include the same companies in the preparation of the consolidated financial report for associate enterprises as those required to be included in the preparation of the parent-subsidiary consolidated financial report according to International Financial Reporting Standard 10. The relevant information that should be disclosed in the consolidated financial report of associated enterprises has already been disclosed in the aforementioned parent-subsidiary consolidated financial report. Therefore, a separate consolidated financial report for associate enterprises will not be prepared.
- (III) Associates report: Not required to be prepared.

**II. The status of private placement (In the most recent year and as of the printing date of the annual report)**

The resolution to add a new private placement of unsecured convertible corporate bonds was made by the Board of Directors on March 12, 2024, and was therefore discussed at the shareholders' meeting on April 30, 2024; Additionally, the resolution for the private placement of unsecured convertible bonds was made by the Board of Directors on March 15, 2025, which automatically expire upon maturity, and it was reported at the shareholders' meeting on May 2, 2025.

**III. In the most recent year and up to the date report printed, addition or disposal by subsidiaries in the Company's stock: None**

**IV. Other supplementary explanation items: Commitment items on the TPEX.**

| Commitments with the TPEX                                                                                                                                                                                                                            | Promise handling situation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The Company will not waive its participation at the capital increase program in subsidiaries. However, the Company will have to report to the TPEX for its break of such promise, if under the consideration of strategic alliance or other factors. | <ol style="list-style-type: none"> <li>1. "Beiley Biofund Inc." (originally: Grand Fubon Venture Capital submitted all relevant documents for the transformation, capital increase, and name change resolutions in 2023 for review to the TPEX. Approval was granted by the FSC Letter No. 1120347623 dated July 20, 2023 and FSC Letter No. 1130332829 dated February 16, 2024.</li> <li>2. Additionally, according to the TPEX Letter No. 1120201317 dated June 9, 2023, there is no need for prior reporting in the future.</li> <li>3. There has been no violation of the committed matters outlined above.</li> </ol> |

**V. In the most recent year and up to the date of the annual report printed, if there is an event that has a significant impact on shareholders' rights and interests or the price of securities, as specified in the second paragraph of Article 36 of the Securities and Exchange Act: None**

**Grand Fortune Securities Co., Ltd**

**Chairman: Huang Bing-Jing**